

SOFIC MEAN LENGTH

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ABSTRACT. Given a length function L on the R -modules of a unital ring R , for each sofic group Γ we define a mean length for every locally L -finite $R\Gamma$ -module relative to a bigger $R\Gamma$ -module. We establish an addition formula for the mean length.

We give two applications. The first one shows that for any unital left Noetherian ring R , $R\Gamma$ is stably direct finite. The second one shows that for any $\mathbb{Z}\Gamma$ -module \mathcal{M} , the mean topological dimension of the induced Γ -action on the Pontryagin dual of \mathcal{M} coincides with the von Neumann-Lück rank of \mathcal{M} .

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1. INTRODUCTION

The notion of length function was introduced by Northcott and Reufel in [51]. Given a unital ring R , a *length function* L on left R -modules assigns a numerical isomorphism invariant $L(\mathcal{M}) \in \mathbb{R}_{\geq 0} \cup \{+\infty\}$ to every left R -module \mathcal{M} satisfying suitable conditions (see Definition 2.1 below for details). The major requirement on L is that for any left R -modules $\mathcal{M}_1 \subseteq \mathcal{M}_2$, one has the addition formula

$$L(\mathcal{M}_2) = L(\mathcal{M}_1) + L(\mathcal{M}_2/\mathcal{M}_1).$$

In particular, it implies that L is increasing in the sense that submodules have smaller L -length. Length functions have been studied in [67, 72], especially in detail in the thesis of Vámos [68].

Given a discrete group Γ , one can form the group ring $R\Gamma$ of Γ with coefficients in R (see Section 2.2 below) [54]. The left $R\Gamma$ -modules are exactly left R -modules equipped with a Γ -action by automorphisms. When L is a length function on left R -modules with $L(R) = 1$ and Γ is infinite, the left $R\Gamma$ -modules, e.g. $R\Gamma$ itself, are typically large as left R -modules and could easily have infinite L -length. Thus it is desirable to define a length function mL on left $R\Gamma$ -modules which takes into account the Γ -action. This can be thought of as developing an equivariant version of the L -invariant. More precisely, the question is whether there is a length function mL on the left $R\Gamma$ -modules satisfying $mL(R\Gamma) = 1$, or more generally, $mL(R\Gamma \otimes_R \mathcal{M}) = L(\mathcal{M})$ for every left R -module \mathcal{M} .

This question has been studied and answered affirmatively for amenable groups Γ (see Section 5 for details). It was studied by Salce-Zanardo and Salce-Vámos-Virili in [58, 60] for $\Gamma = \mathbb{Z}$ in the case L is discrete in the sense that the set of finite values of L is order isomorphic to \mathbb{N} , and by Salce-Virili in [59] for $\Gamma = \mathbb{Z}^d$, with motivation from the entropy theory for algebraic actions. Elek considered finitely generated left $R\Gamma$ -modules for any field R and any amenable group Γ [12]. We studied the case $L(R) < +\infty$ for amenable groups Γ in [38], and used mL in the special case $R = \mathbb{Z}$ and $L(\mathcal{M}) = \dim_{\mathbb{Q}}(\mathbb{Q} \otimes_{\mathbb{Z}} \mathcal{M})$ to establish a correspondence between the mean dimension for algebraic actions of amenable groups and the von Neumann-Lück rank of left $\mathbb{Z}\Gamma$ -modules in the theory of L^2 -invariants. Virili considered discrete L for amenable groups in [69], and used mL to show that $R\Gamma$ for left Noetherian R and amenable Γ is stably direct finite. It turns out that when $L(R) = +\infty$, the suitable domain for mL is the class of left $R\Gamma$ -modules \mathcal{M} which are *locally L -finite* in the sense that every finitely generated R -submodule of \mathcal{M} has finite L -length.

For nonamenable groups, the above question is hopeless, since the answer is already negative for the free group \mathbb{F}_2 with 2 generators. Indeed, it is well known [54] that, as a left $R\mathbb{F}_2$ -module, $R\mathbb{F}_2$ contains $R\mathbb{F}_2 \oplus R\mathbb{F}_2$ as a submodule, violating the monotonicity of mL (see Example 6.3 below for more on this example). Interestingly enough, if we take $R = \mathbb{Z}/2\mathbb{Z}$, then the embedding $R\mathbb{F}_2 \oplus R\mathbb{F}_2 \hookrightarrow R\mathbb{F}_2$ of left $R\mathbb{F}_2$ -modules yields a factor map from the algebraic action of \mathbb{F}_2 associated to

$R\mathbb{F}_2$ to the algebraic action of \mathbb{F}_2 associated to $R\mathbb{F}_2 \oplus R\mathbb{F}_2$, which is exactly the Ornstein-Weiss example [52, page 138] of a factor map from the Bernoulli shift over \mathbb{F}_2 with 2 symbols to the Bernoulli shift over \mathbb{F}_2 with 4 symbols (this example had been an obstruction to developing an entropy theory for nonamenable group actions for many years until the work of Bowen in [4]).

The class of sofic groups was introduced by Gromov [19] and Weiss [71]. It contains all discrete amenable groups and residually finite groups, especially the free groups. So far it is unknown whether non-sofic groups exist. In the last several years, the entropy and mean dimension theory for amenable group actions has been extended to actions of sofic groups [4, 5, 30–32, 37], pioneered by the work of Bowen. Thus one might hope to define mL for sofic groups. However, in all these works, of crucial use is the a priori given metric structure that allows one to talk about approximations and then use the size of the space of approximations to define the invariant. In the measure-preserving action situation, the metric structure is that on the measurable subsets coming from taking the measure of the symmetric difference, while in the topological action situation, the metric structure is that of the underlying compact space. Thus it is not clear how one could proceed in the purely algebraic setting when handling length functions.

In this paper, we find a satisfactory way to define mean length mL for sofic groups. There are two main ingredients in our approach. The first is that we find a way to define invariants for sofic group actions in a purely algebraic setting. The second is that, we define relative invariants for a pair of objects. That is, for any left $R\Gamma$ -modules $\mathcal{M}_1 \subseteq \mathcal{M}_2$, under the mild condition that \mathcal{M}_1 is locally L-finite, we define the *mean length of \mathcal{M}_1 relative to \mathcal{M}_2* , denoted by $\text{mL}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2)$ (Definition 3.1). Here Σ is a fixed sofic approximation net for Γ and ω is a fixed free ultrafilter. For any left $R\Gamma$ -module \mathcal{M} which is locally L-finite, we then define its mean length $\text{mL}_{\Sigma, \omega}(\mathcal{M})$ as $\text{mL}_{\Sigma, \omega}(\mathcal{M} | \mathcal{M})$. The relative invariants are completely a sofic phenomenon, since $\text{mL}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2)$ does not depend on \mathcal{M}_2 when Γ is amenable and \mathcal{M}_2 is locally L-finite (Theorem 5.1). One advantage of introducing invariants for two variables (\mathcal{M}_1 and \mathcal{M}_2) is that the monotonicity is preserved for each variable: $\text{mL}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2)$ increases with \mathcal{M}_1 and decreases with \mathcal{M}_2 (Proposition 3.4). Most importantly, using these relative invariants we are able to establish a modified addition formula.

Theorem 1.1. *Let $\mathcal{M}_1 \subseteq \mathcal{M}_2 \subseteq \mathcal{M}_3$ be locally L-finite left $R\Gamma$ -modules. Then*

$$\text{mL}_{\Sigma, \omega}(\mathcal{M}_2 | \mathcal{M}_3) = \text{mL}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_3) + \text{mL}_{\Sigma, \omega}(\mathcal{M}_2 / \mathcal{M}_1 | \mathcal{M}_3 / \mathcal{M}_1).$$

In particular, for any locally L-finite left $R\Gamma$ -modules $\mathcal{M}_1 \subseteq \mathcal{M}_2$, taking $\mathcal{M}_3 = \mathcal{M}_2$ one has

$$\text{mL}_{\Sigma, \omega}(\mathcal{M}_2) = \text{mL}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2) + \text{mL}_{\Sigma, \omega}(\mathcal{M}_2 / \mathcal{M}_1).$$

We give two applications of the sofic mean length.

The first application concerns the stably direct finiteness of $R\Gamma$. A unital ring \tilde{R} is called *directly finite* or *von Neumann finite* if for any $a, b \in \tilde{R}$ with $ab = 1$, one

has $ba = 1$. It is called *stably direct finite* if $M_n(\tilde{R})$ is directly finite for every $n \in \mathbb{N}$. Kaplansky's *direct finiteness conjecture* asserts that for any field R and any group Γ , $R\Gamma$ is directly finite [29, page 123]. Kaplansky proved that $R\Gamma$ is stably direct finite when R is a field with characteristic 0 and Γ is any group [29, page 122] (see also [49, 53] and [54, Corollary 2.1.9]). Using the sofic mean length, we are able to prove the following result.

Theorem 1.2. *Let R be a unital left Noetherian ring and Γ be a sofic group. Then $R\Gamma$ is stably direct finite.*

Theorem 1.2 proves a conjecture of Virili in [70]. It was proved in the cases when R is a skew-field and Γ is residually amenable by Ara et al. [3, Remark 3.5.(iii)], when R is a skew-field and Γ is sofic by Elek and Szabó [13, Corollary 4.7], when R is an Artinian ring and Γ is sofic by Ceccherini-Silberstein and Coornaert [7, Corollary 1.5], and when R is a unital left Noetherian ring and Γ is amenable by Virili [69, Theorem A].

Just as commutative Noetherian rings play a vital role in commutative algebra, left Noetherian rings are at the heart of the theory of noncommutative rings [17, 48]. When R is a unital subring of the direct product $\prod_{j \in J} R_j$ for a family of rings $\{R_j\}_{j \in J}$, $R\Gamma$ is a unital subring of $\prod_{j \in J} R_j\Gamma$, and hence the direct finiteness of $R\Gamma$ follows from that of $R_j\Gamma$. For unital commutative rings R , it is then natural to try to obtain the direct finiteness of $R\Gamma$ by embedding R into the direct product of fields. However, this is not always possible. Indeed, it is easy to show that a unital commutative ring R embeds into the direct product of fields exactly when the nilradical of R , consisting of all nilpotent elements, is trivial. For the noncommutative case, Goldie's theorem implies that a semiprime left Noetherian ring has a semisimple (and hence Artinian) left quotient ring [16] [48, Theorem 2.3.6] [17, Corollary 6.16]. Beyond that, it is not clear when one can embed a left Noetherian ring into the direct product of Artinian rings. For example, for any finite-dimensional Lie algebra \mathfrak{g} over a field, since the enveloping algebra $U(\mathfrak{g})$ is left Noetherian [48, Corollary 1.7.4], for any two-sided ideal I of $U(\mathfrak{g})$ which is not the intersection of prime ideals, we do not know whether the left Noetherian ring $U(\mathfrak{g})/I$ embeds into the direct product of Artinian rings. Here a two-sided ideal I' of R is called prime if for any $a, b \in R \setminus I'$ one has $aRb \not\subseteq I'$. Thus Theorem 1.2 enables us to establish the stable direct finiteness of $R\Gamma$ for many cases in which one cannot reduce to the results of Elek-Szabó and Ceccherini-Silberstein-Coornaert.

Our second application concerns the correspondence between the mean dimension in dynamical systems and the von Neumann-Lück rank in the theory of L^2 -invariants. Mean topological dimension was introduced by Gromov [20], and developed systematically by Lindenstrauss and Weiss [42] for continuous actions of countable amenable groups on compact metrizable spaces, as an equivariant version of the covering dimension for compact metrizable spaces. Lindenstrauss and Weiss also introduced the metric mean dimension in the same setting, as an equivariant

version of the infimum of the Minkowski dimensions over the compatible metrics on a compact metrizable space. Later these mean dimensions were extended to continuous actions of countable sofic groups on compact metrizable spaces [37]. The mean topological dimension has applications to the problem of embedding one dynamical system into another [42] and the problem of finding factors with small entropy [40]. The mean dimensions have attracted much attention in the last several years [10, 11, 21–25, 34, 35, 41, 46, 47, 63–66].

The von Neumann-Lück dimension was originally defined for finitely generated projective left modules over the group von Neumann algebra $\mathcal{L}\Gamma$ for a discrete group Γ , and later extended to arbitrary left $\mathcal{L}\Gamma$ -modules by Lück [44] in order to extend Atiyah's L^2 -Betti numbers [2] to arbitrary continuous Γ -actions. In our terminology, it is a length function on the left $\mathcal{L}\Gamma$ -modules. It has profound applications to the theory of L^2 -invariants [45]. Via taking a tensor product with $\mathcal{L}\Gamma$, one can define the von Neumann-Lück rank for any left $\mathbb{Z}\Gamma$ -module \mathcal{M} , which is in fact the 0-th L^2 -Betti number of \mathcal{M} .

Despite the fact that the mean dimensions are dynamical invariants while the von Neumann-Lück rank is an analytic invariant, using the mean length we shall show that they correspond to each other in the setting of algebraic actions of sofic groups. Since the relative invariants are crucial for the sofic mean length, in order to establish such a correspondence, we must introduce relative invariants for the mean dimensions and the von Neumann-Lück rank. For any countable sofic group Γ acting on compact metrizable spaces X and Y and any factor map $X \rightarrow Y$, we define the mean topological dimension $\text{mdim}_{\Sigma, \omega}(Y|X)$ of $\Gamma \curvearrowright Y$ relative to the extension $\Gamma \curvearrowright X$ (Definition 8.6) and the metric mean dimension $\text{mdim}_{\Sigma, \omega, \mathcal{M}}(Y, \rho|X)$ of $\Gamma \curvearrowright Y$ relative to $\Gamma \curvearrowright X$ with respect to a compatible metric ρ on Y (Definition 9.3). The metric mean dimension $\text{mdim}_{\Sigma, \omega, \mathcal{M}}(Y|X)$ of $\Gamma \curvearrowright Y$ relative to $\Gamma \curvearrowright X$ is defined as the infimum of $\text{mdim}_{\Sigma, \omega, \mathcal{M}}(Y, \rho|X)$ for ρ ranging over all compatible metrics on Y . For any discrete group Γ and any left $\mathbb{Z}\Gamma$ -modules $\mathcal{M}_1 \subseteq \mathcal{M}_2$, we define the von Neumann-Lück rank $\text{vrk}(\mathcal{M}_1|\mathcal{M}_2)$ of \mathcal{M}_1 relative to \mathcal{M}_2 (Definition 7.1). In the absolute case $X = Y$ or $\mathcal{M} = \mathcal{M}_1 = \mathcal{M}_2$, we write the invariants as $\text{mdim}_{\Sigma, \omega}(X)$, $\text{mdim}_{\Sigma, \omega, \mathcal{M}}(X)$ and $\text{vrk}(\mathcal{M})$ respectively.

Note that for any countable $\mathbb{Z}\Gamma$ -module \mathcal{M} , its Pontryagin dual $\widehat{\mathcal{M}}$, consisting of all group homomorphisms from \mathcal{M} to the circle group \mathbb{R}/\mathbb{Z} , is a compact metrizable abelian group. The $\mathbb{Z}\Gamma$ -module structure of \mathcal{M} induces a natural Γ -action on $\widehat{\mathcal{M}}$ by continuous automorphisms. The information about the $\mathbb{Z}\Gamma$ -module \mathcal{M} is equivalent to the information about the Γ -action on the compact metrizable abelian group $\widehat{\mathcal{M}}$. Thus, if we forget the group structure of $\widehat{\mathcal{M}}$, a priori there is no reason that the Γ -action on the compact metrizable space $\widehat{\mathcal{M}}$ should remember any information about the $\mathbb{Z}\Gamma$ -module \mathcal{M} . Our second application in the following theorem says that indeed the mean dimensions of the Γ -action on the compact metrizable space $\widehat{\mathcal{M}}$ are exactly the von Neumann-Lück rank of the $\mathbb{Z}\Gamma$ -module \mathcal{M} .

Theorem 1.3. *Let Γ be a countable sofic group and $\mathcal{M}_1 \subseteq \mathcal{M}_2$ be countable $\mathbb{Z}\Gamma$ -modules. Then*

$$\text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}_1} | \widehat{\mathcal{M}_2}) = \text{mdim}_{\Sigma, \omega}(\widehat{\mathcal{M}_1} | \widehat{\mathcal{M}_2}) = \text{vrk}(\mathcal{M}_1 | \mathcal{M}_2).$$

Furthermore, there exists a translation-invariant compatible metric ρ on $\widehat{\mathcal{M}_1}$ with

$$\text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}_1}, \rho | \widehat{\mathcal{M}_2}) = \text{mdim}_{\Sigma, \omega}(\widehat{\mathcal{M}_1} | \widehat{\mathcal{M}_2}).$$

The first and the last equalities of Theorem 1.3 are the algebraic action case of the dynamical analogue of the Pontryagin-Schnirelmann theorem [56] which says that for any compact metrizable space X , its covering dimension is the minimal value of the Minkowski dimension of (X, ρ) for ρ ranging over all compatible metrics on X . The amenable group case of Theorem 1.3 was proved in [38]. Hayes proved [27] that $\text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}}) = \text{vrk}(\mathcal{M})$ for countable sofic groups Γ and finitely generated $\mathbb{Z}\Gamma$ -modules \mathcal{M} , and that $\text{mdim}_{\Sigma, \omega}(\widehat{\mathcal{M}}) = \text{vrk}(\mathcal{M})$ for residually finite groups Γ and finitely presented $\mathbb{Z}\Gamma$ -modules \mathcal{M} when the sofic approximation sequence Σ of Γ comes from finite quotient groups of Γ .

The correspondence between mean dimensions and von Neumann-Lück rank in Theorem 1.3 is parallel to the correspondence between entropy and L^2 -torsion in [39, Theorem 1.1], though the latter is known only for amenable groups so far.

The ideas in this paper can be applied to many other problems such as dimension for isometric actions on Banach spaces and addition formulas for sofic entropy, which we plan to investigate in subsequent papers.

This paper is organized as follows. We recall some basic definitions and results in Section 2. In Section 3 we introduce the sofic mean length and establish some basic properties including Theorem 1.1. Theorem 1.2 is proved in Section 4. In Section 5 we show that for amenable groups the sofic mean length coincides with the mean length introduced in [38, 69]. In Section 6 we give a formula for the mean length of a finitely generated $R\Gamma$ -module relative to a free $R\Gamma$ -module. We prove the equality of the mean length and the von Neumann-Lück rank when R is a unital subring of \mathbb{Q} in Section 7. The relative mean topological dimension and the relative metric mean dimension are introduced in Sections 8 and 9 respectively. Theorem 1.3 is proved in Section 10. In Section 11 we give three applications to mean dimension.

Throughout this paper, R will be a unital ring, and Γ will be a discrete group. All modules are left modules unless specified. For any $d \in \mathbb{N}$, we write $[d]$ for the set $\{1, \dots, d\}$ and $\text{Sym}(d)$ for the permutation group of $[d]$. We denote by $\mathcal{F}(\Gamma)$ the set of all finite subsets of Γ .

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2. PRELIMINARIES

2.1. Length functions. In this section we give some examples of length functions, following the thesis of Vámos [68].

Definition 2.1. By a *length function* L on R -modules we mean associating a value $L(\mathcal{M}) \in \mathbb{R}_{\geq 0} \cup \{+\infty\}$ to each R -module \mathcal{M} such that the following conditions are satisfied:

- (1) $L(0) = 0$;
- (2) (additivity) for any short exact sequence $0 \rightarrow \mathcal{M}_1 \rightarrow \mathcal{M}_2 \rightarrow \mathcal{M}_3 \rightarrow 0$ of R -modules, one has $L(\mathcal{M}_2) = L(\mathcal{M}_1) + L(\mathcal{M}_3)$;
- (3) (upper continuity) for any R -module \mathcal{M} , one has $L(\mathcal{M}) = \sup_{\mathcal{N}} L(\mathcal{N})$ for \mathcal{N} ranging over all finitely generated submodules of \mathcal{M} .

By a *chain* τ for an R -module \mathcal{M} , we mean a finite sequence of submodules of \mathcal{M} of the form $0 = \mathcal{M}_0 \subseteq \mathcal{M}_1 \subseteq \cdots \subseteq \mathcal{M}_n = \mathcal{M}$. The modules $C_j = \mathcal{M}_j / \mathcal{M}_{j-1}$ for $1 \leq j \leq n$ are called the *chain factors* of τ . One chain τ *refines* another chain $\tau' : 0 = \mathcal{M}'_0 \subseteq \mathcal{M}'_1 \subseteq \cdots \subseteq \mathcal{M}'_m = \mathcal{M}$ if τ is obtained from τ' by inserting more submodules. The two chains τ and τ' are called *equivalent* if $m = n$ and they have the same chain factors up to permutation, i.e. there is some $\sigma \in \text{Sym}(n)$ such that $C_j \cong C'_{\sigma(j)}$ for all $1 \leq j \leq n$, where C'_j for $1 \leq j \leq m$ are the chain factors of τ' . The Jordan-Hölder theorem [28, VIII.1.10] states that any two chains for \mathcal{M} have equivalent refinements.

If $\mathcal{M}_1 \subseteq \mathcal{M}_2$ are submodules of an R -module \mathcal{M} , then $\mathcal{M}_2 / \mathcal{M}_1$ is called a *segment* of \mathcal{M} .

Example 2.2. For each R -module \mathcal{M} and each chain $\tau : 0 = \mathcal{M}_0 \subseteq \mathcal{M}_1 \subseteq \cdots \subseteq \mathcal{M}_n = \mathcal{M}$ for \mathcal{M} with chain factors $\{C_j\}_{1 \leq j \leq n}$, denote by $l(\tau)$ the number of $1 \leq j \leq n$ for which C_j is a simple module, and by $l'(\tau)$ the number of $1 \leq j \leq n$ for which $C_j \neq 0$. Denote by $L(\mathcal{M})$ the supremum of $l(\tau)$ for τ ranging over all chains for \mathcal{M} . Since every nonzero R -module has a segment which is a simple module, it is easily checked that $L(\mathcal{M})$ is equal to the supremum of $l'(\tau)$ for τ ranging over all chains for \mathcal{M} . Using the Jordan-Hölder theorem it is easy to see that L satisfies the conditions (1) and (2) in Definition 2.1. Let τ be a chain for \mathcal{M} as above, and denote by J the set of $1 \leq j \leq n$ such that C_j is a simple module. For each $j \in J$, take a finitely generated submodule \mathcal{N}_j of \mathcal{M}_j such that \mathcal{N}_j maps onto C_j under the quotient homomorphism $\mathcal{M}_j \rightarrow C_j$. Then $\mathcal{N} := \sum_{j \in J} \mathcal{N}_j$ is a finitely generated submodule of \mathcal{M} . For each $j \in J$, set $\mathcal{N}'_j = \sum_{i \leq j, i \in J} \mathcal{N}_i$. Then $\{\mathcal{N}'_j\}_{j \in J}$ together with $\mathcal{N}'_0 = 0$ is a chain τ' for \mathcal{N} , and $\mathcal{M}_j / \mathcal{M}_{j-1}$ is a quotient module of $\mathcal{N}'_j / \mathcal{N}'_k$ for each $j \in J$, where k is the largest element in $J \cup \{0\}$ strictly less than j . Thus \mathcal{N} has a chain τ'' refining τ' such that the modules C_j for $j \in J$ appear in the chain factors of τ'' . Therefore $l(\tau'') \geq l(\tau)$. Thus L satisfies the condition (3) of Definition 2.1, and hence is a length function on R -modules. Furthermore, L is the unique length

function L' on R -modules satisfying $L'(\mathcal{M}) = 1$ for every simple R -module \mathcal{M} [68, Proposition 2.12].

Example 2.3. Let R be a *left Ore domain* [36, 10.19], i.e. $ab \neq 0$ and $Ra \cap Rb \neq \{0\}$ for all nonzero $a, b \in R$. Then R has a *left ring of fractions* Q [36, Theorem 10.6], uniquely determined up to isomorphism by the following properties:

- (1) R is a subring of Q ,
- (2) every nonzero element of R is invertible in Q ,
- (3) every element of Q is of the form $a^{-1}b$ for some $b \in R$ and nonzero $a \in R$.

Note that Q is a skew-field, thus every left Q -module \mathcal{M}' has its dimension $\dim_Q(\mathcal{M}')$, which is also the same as the length of \mathcal{M}' defined in Example 2.2. Furthermore, Q is flat as a right R -module [36, Exercise 10.17]. It follows that the function rk on left R -modules defined by

$$\text{rk}(\mathcal{M}) := \dim_Q(Q \otimes_R \mathcal{M})$$

is a length function [68, 2.4.II]. It is the unique length function L on R -modules satisfying $L(R) = 1$ [68, Proposition 2.13].

For the proof of Theorem 4.5 below, we need a length function L_α on R -modules with suitable properties for each ordinal α , generalizing the length function in Example 2.2. This uses the Krull dimension which Gabriel introduced for abelian categories [15]. Here we follow the approach of Vámos in [68]. We refer the reader to [6, 18, 50] for more information on Krull dimension.

Denote by ${}_R\mathfrak{M}$ the category of R -modules. By a subcategory of ${}_R\mathfrak{M}$ we mean a nonempty full subcategory. A subcategory \mathfrak{A} of ${}_R\mathfrak{M}$ is called a *Serre-category* if for any short exact sequence

$$0 \rightarrow \mathcal{M}_1 \rightarrow \mathcal{M}_2 \rightarrow \mathcal{M}_3 \rightarrow 0$$

in ${}_R\mathfrak{M}$, \mathcal{M}_2 is in \mathfrak{A} if and only if both \mathcal{M}_1 and \mathcal{M}_3 are in \mathfrak{A} . Note that the category ${}_R\mathfrak{N}$ of Noetherian R -modules is a Serre category.

Note that $\mathcal{M} \in {}_R\mathfrak{M}$ is simple if and only if $\mathcal{M} \neq 0$ and for any submodule \mathcal{M}' of \mathcal{M} , either $\mathcal{M}' = 0$ or $\mathcal{M}/\mathcal{M}' = 0$. This motivates the following definition. Let \mathfrak{A} be a Serre-subcategory of ${}_R\mathfrak{N}$. We say that $\mathcal{M} \in {}_R\mathfrak{N}$ is *\mathfrak{A} -simple* [68, page 32] if $\mathcal{M} \notin \mathfrak{A}$ and for any submodule \mathcal{M}' of \mathcal{M} , either $\mathcal{M}' \in \mathfrak{A}$ or $\mathcal{M}/\mathcal{M}' \in \mathfrak{A}$. Denote by \mathfrak{A}' the Serre-category generated by \mathfrak{A} and all \mathfrak{A} -simple modules in ${}_R\mathfrak{N}$. Then \mathfrak{A}' consists of all $\mathcal{M} \in {}_R\mathfrak{M}$ admitting a chain τ such that each chain factor of τ is either in \mathfrak{A} or \mathfrak{A} -simple [68, Proposition 3.5].

For each ordinal α (starting with -1), we define a Serre-subcategory \mathfrak{A}_α of ${}_R\mathfrak{N}$ as follows. Set \mathfrak{A}_{-1} to be the category consisting of the zero module. Assume that \mathfrak{A}_β has been defined for every ordinal $\beta < \alpha$. We set

$$\mathfrak{A}_\alpha := (\mathfrak{A}_\beta)'$$

if $\alpha = \beta + 1$, and

$$\mathfrak{A}_\alpha := \bigcup_{\beta < \alpha} \mathfrak{A}_\beta$$

if α is a limit ordinal. Every $\mathcal{M} \in {}_R\mathfrak{N}$ is in \mathfrak{A}_α for some ordinal α [68, Theorem 3.7]. The smallest such ordinal is called the *Krull dimension* of \mathcal{M} .

Example 2.4. Let α be an ordinal. For any $\mathcal{M} \in {}_R\mathfrak{N}$ and any chain $\tau : 0 = \mathcal{M}_0 \subseteq \mathcal{M}_1 \subseteq \cdots \subseteq \mathcal{M}_n = \mathcal{M}$ for \mathcal{M} with chain factors $\{C_j\}_{1 \leq j \leq n}$, denote by $l_\alpha(\tau)$ the number of $1 \leq j \leq n$ for which C_j is Noetherian and \mathfrak{A}_α -simple. Denote by $L_\alpha(\mathcal{M})$ the supremum of $l_\alpha(\tau)$ for τ ranging over all chains for \mathcal{M} . Note that the length function in Example 2.2 is exactly L_{-1} . Using the Jordan-Hölder theorem and the fact that if $\mathcal{M} \in {}_R\mathfrak{N}$ is \mathfrak{A}_α -simple and τ as above is a chain for \mathcal{M} , then exactly one of the chain factors C_j is \mathfrak{A}_α -simple [68, Lemmas 3.1, 3.2], one checks easily that L_α is a length function on R -modules, as we did in Example 2.2 for L_{-1} . Furthermore, it is clear that L_α vanishes on \mathfrak{A}_α , and that for any $\mathcal{M} \in \mathfrak{A}_{\alpha+1} \setminus \mathfrak{A}_\alpha$, one has $0 < L_\alpha(\mathcal{M}) < +\infty$.

2.2. Group rings. The *group ring of Γ with coefficients in R* , denoted by $R\Gamma$, consists of all finitely supported functions $f : \Gamma \rightarrow R$. We shall write f as $\sum_{s \in \Gamma} f_s s$, where $f_s \in R$ for all $s \in \Gamma$ and $f_s = 0$ for all except finitely many $s \in \Gamma$. The algebraic operations on $R\Gamma$ are defined by

$$\sum_{s \in \Gamma} f_s s + \sum_{s \in \Gamma} g_s s = \sum_{s \in \Gamma} (f_s + g_s) s, \text{ and } \left(\sum_{s \in \Gamma} f_s s \right) \left(\sum_{t \in \Gamma} g_t t \right) = \sum_{s, t \in \Gamma} f_s g_t (st).$$

We refer the reader to [54] for more information on group rings.

2.3. von Neumann-Lück dimension. We recall the definitions and basic properties of the von Neumann-Lück dimension. For details, we refer the reader to [44] and [45, Section 1.1, Chapter 6].

Denote by $\ell^2(\Gamma)$ the Hilbert space of square summable functions $f : \Gamma \rightarrow \mathbb{C}$, i.e. $\sum_{s \in \Gamma} |f_s|^2 < +\infty$. Then Γ has two canonical commuting unitary representations on $\ell^2(\Gamma)$, namely the *left regular representation* l and the *right regular representation* r defined by

$$(l_s x)_t = x_{s^{-1}t}, \text{ and } (r_s x)_t = x_{ts}$$

for all $x \in \ell^2(\Gamma)$ and $s, t \in \Gamma$. The *group von Neumann algebra* of Γ , denoted by $\mathcal{L}\Gamma$, consists of all bounded linear operators $\ell^2(\Gamma) \rightarrow \ell^2(\Gamma)$ commuting with r_s for all $s \in \Gamma$. Since l commutes with r , the map $\sum_{s \in \Gamma} f_s s \mapsto \sum_{s \in \Gamma} f_s l_s$ is an embedding from $\mathbb{C}\Gamma$ into $\mathcal{L}\Gamma$.

Denote by δ_{e_Γ} the unit vector of $\ell^2(\Gamma)$, which is 1 at the identity element e_Γ of Γ , and 0 everywhere else. The canonical *trace* on $\mathcal{L}\Gamma$ is the linear functional $\text{tr}_{\mathcal{L}\Gamma} : \mathcal{L}\Gamma \rightarrow \mathbb{C}$ given by $\text{tr}_{\mathcal{L}\Gamma} T = \langle T \delta_{e_\Gamma}, \delta_{e_\Gamma} \rangle$. For each $n \in \mathbb{N}$, the extension of $\text{tr}_{\mathcal{L}\Gamma}$ to $M_n(\mathcal{L}\Gamma)$ sending $(T_{j,k})_{1 \leq j, k \leq n}$ to $\sum_{j=1}^n \text{tr}_{\mathcal{L}\Gamma}(T_{j,j})$ will also be denoted by $\text{tr}_{\mathcal{L}\Gamma}$. It is *faithful* in the sense that for any $T \in M_n(\mathcal{L}\Gamma)$, $\text{tr}_{\mathcal{L}\Gamma}(T^*T) = 0$ exactly when $T = 0$.

For any finitely generated projective $\mathcal{L}\Gamma$ -module \mathbb{P} , one has $\mathbb{P} \cong (\mathcal{L}\Gamma)^{1 \times n} P$ for some $n \in \mathbb{N}$ and some $P \in M_n(\mathcal{L}\Gamma)$ with $P^2 = P$. The *von Neumann dimension* of \mathbb{P} is defined as

$$\dim'_{\mathcal{L}\Gamma}(\mathbb{P}) := \text{tr}_{\mathcal{L}\Gamma} P \in [0, n],$$

and does not depend on the choice of n and P . For an arbitrary $\mathcal{L}\Gamma$ -module \mathbb{M} , its *von Neumann-Lück dimension* [45, Definition 6.6] is defined as

$$\dim_{\mathcal{L}\Gamma}(\mathbb{M}) := \sup_{\mathbb{P}} \dim'_{\mathcal{L}\Gamma}(\mathbb{P}),$$

where \mathbb{P} ranges over all finitely generated projective $\mathcal{L}\Gamma$ -submodules of \mathbb{M} .

The following theorem collects the fundamental properties of the von Neumann-Lück dimension [45, Theorem 6.7].

Theorem 2.5. $\dim_{\mathcal{L}\Gamma}$ is a length function on the $\mathcal{L}\Gamma$ -modules with $\dim_{\mathcal{L}\Gamma}(\mathcal{L}\Gamma) = 1$.

2.4. Sofic groups. The group Γ is called *sofic* if for any $F \in \mathcal{F}(\Gamma)$ and any $\delta > 0$ there exists a map $\sigma : \Gamma \rightarrow \text{Sym}(d)$ for some $d \in \mathbb{N}$ such that $|\{v \in [d] : \sigma_s \sigma_t(v) = \sigma_{st}(v)\}|/d > 1 - \delta$ for all $s, t \in F$ and $|\{v \in [d] : \sigma_s(v) \neq \sigma_t(v)\}|/d > 1 - \delta$ for all distinct $s, t \in F$. Such a map σ is called a *sofic approximation* for Γ . We shall frequently write sv for $\sigma_s(v)$. Equivalently, Γ is sofic if there is a net $\Sigma := \{\sigma_i : \Gamma \rightarrow \text{Sym}(d_i)\}_{i \in J}$ satisfying the following conditions:

- (1) $\lim_{i \rightarrow \infty} |\{v \in [d_i] : \sigma_{i,s} \sigma_{i,t}(v) = \sigma_{i,st}(v)\}|/d_i = 1$ for all $s, t \in \Gamma$,
- (2) $\lim_{i \rightarrow \infty} |\{v \in [d_i] : \sigma_{i,s}(v) \neq \sigma_{i,t}(v)\}|/d_i = 1$ for all distinct $s, t \in \Gamma$,
- (3) $\lim_{i \rightarrow \infty} d_i = +\infty$.

Such a net Σ is called a *sofic approximation net* for Γ . When Γ is countable and sofic, one can find a sofic approximation sequence for Γ .

The group Γ is called *amenable* if for any nonempty $K \in \mathcal{F}(\Gamma)$ and any $\delta > 0$ there is a nonempty $F \in \mathcal{F}(\Gamma)$ with $|KF \setminus F| < \delta|F|$. All discrete amenable groups and residually finite groups are sofic.

We refer the reader to [8, 55] for more information on sofic groups.

3. SOFIC MEAN LENGTH

In the rest of this paper, we fix a unital ring R and a length function L on R -modules. For any R -module \mathcal{M} , we denote by $\mathcal{F}(\mathcal{M})$ the set of all finitely generated R -submodules of \mathcal{M} . We fix a sofic group Γ with the identity element e_Γ and a sofic approximation net $\Sigma = \{\sigma_i : \Gamma \rightarrow \text{Sym}(d_i)\}_{i \in J}$ for Γ . We also fix an ultrafilter ω on J such that ω is *free* in the sense that for any $j \in J$, the set $\{i \in J : i \geq j\}$ is in ω .

In this section we define the sofic mean length and establish some basic properties. We say that an R -module \mathcal{M} is *locally L -finite* if $L(\mathcal{N}) < +\infty$ for every $\mathcal{N} \in \mathcal{F}(\mathcal{M})$.

Let \mathcal{M} be an $R\Gamma$ -module. Let $\mathcal{A}, \mathcal{B} \in \mathcal{F}(\mathcal{M})$, $F \in \mathcal{F}(\Gamma)$, and σ be a map $\Gamma \rightarrow \text{Sym}(d)$ for some $d \in \mathbb{N}$. For any $x \in \mathcal{M}$ and $v \in [d]$, denote by $\delta_v x$ the element of \mathcal{M}^d taking value x at v and 0 everywhere else. Denote by $\mathcal{M}(\mathcal{B}, F, \sigma)$

the R -submodule of \mathcal{M}^d generated by the elements $\delta_v b - \delta_{sv} s b$ for all $v \in [d], b \in \mathcal{B}$ and $s \in F$, and by $\mathcal{M}(\mathcal{A}, \mathcal{B}, F, \sigma)$ the image of \mathcal{A}^d in $\mathcal{M}^d / \mathcal{M}(\mathcal{B}, F, \sigma)$ under the quotient map $\mathcal{M}^d \rightarrow \mathcal{M}^d / \mathcal{M}(\mathcal{B}, F, \sigma)$.

Definition 3.1. Let $\mathcal{M}_1 \subseteq \mathcal{M}_2$ be $R\Gamma$ -modules such that \mathcal{M}_1 is locally L-finite. For $\mathcal{A} \in \mathcal{F}(\mathcal{M}_1)$, $\mathcal{B} \in \mathcal{F}(\mathcal{M}_2)$ and $F \in \mathcal{F}(\Gamma)$, we define

$$\begin{aligned} \text{mL}_{\Sigma, \omega}(\mathcal{A} | \mathcal{B}, F) &= \lim_{i \rightarrow \omega} \frac{L(\mathcal{M}(\mathcal{A}, \mathcal{B}, F, \sigma_i))}{d_i}, \\ \text{mL}_{\Sigma, \omega}(\mathcal{A} | \mathcal{B}) &= \inf_{F \in \mathcal{F}(\Gamma)} \text{mL}_{\Sigma, \omega}(\mathcal{A} | \mathcal{B}, F), \\ \text{mL}_{\Sigma, \omega}(\mathcal{A} | \mathcal{M}_2) &= \inf_{\mathcal{B} \in \mathcal{F}(\mathcal{M}_2)} \text{mL}_{\Sigma, \omega}(\mathcal{A} | \mathcal{B}), \\ \text{mL}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2) &= \sup_{\mathcal{A} \in \mathcal{F}(\mathcal{M}_1)} \text{mL}_{\Sigma, \omega}(\mathcal{A} | \mathcal{M}_2). \end{aligned}$$

The *mean length of \mathcal{M}_1 relative to \mathcal{M}_2* is defined as $\text{mL}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2)$. The *mean length of \mathcal{M}_1* is defined as $\text{mL}_{\Sigma, \omega}(\mathcal{M}_1) := \text{mL}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_1)$.

We prove Theorem 1.1.

Proof of Theorem 1.1. Denote by π the quotient map $\mathcal{M}_3 \rightarrow \mathcal{M}_3 / \mathcal{M}_1$.

We prove first $\text{mL}_{\Sigma, \omega}(\mathcal{M}_2 | \mathcal{M}_3) \geq \text{mL}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_3) + \text{mL}_{\Sigma, \omega}(\mathcal{M}_2 / \mathcal{M}_1 | \mathcal{M}_3 / \mathcal{M}_1)$. Let $\mathcal{A}_1 \in \mathcal{F}(\mathcal{M}_1)$ and $\mathcal{A}_3 \in \mathcal{F}(\mathcal{M}_2 / \mathcal{M}_1)$. Take $\overline{\mathcal{A}_3} \in \mathcal{F}(\mathcal{M}_2)$ with $\pi(\overline{\mathcal{A}_3}) = \mathcal{A}_3$. Set $\mathcal{A}_2 = \mathcal{A}_1 + \overline{\mathcal{A}_3} \in \mathcal{F}(\mathcal{M}_2)$. Then it suffices to show

$$\text{mL}_{\Sigma, \omega}(\mathcal{A}_2 | \mathcal{M}_3) \geq \text{mL}_{\Sigma, \omega}(\mathcal{A}_1 | \mathcal{M}_3) + \text{mL}_{\Sigma, \omega}(\mathcal{A}_3 | \mathcal{M}_3 / \mathcal{M}_1).$$

Let $\mathcal{B}_2 \in \mathcal{F}(\mathcal{M}_3)$ and $F \in \mathcal{F}(\Gamma)$. Set $\mathcal{B}_1 = \mathcal{B}_2$ and $\mathcal{B}_3 = \pi(\mathcal{B}_2) \in \mathcal{F}(\mathcal{M}_3 / \mathcal{M}_1)$. Now it suffices to show

$$(1) \quad \text{mL}_{\Sigma, \omega}(\mathcal{A}_2 | \mathcal{B}_2, F) \geq \text{mL}_{\Sigma, \omega}(\mathcal{A}_1 | \mathcal{B}_1, F) + \text{mL}_{\Sigma, \omega}(\mathcal{A}_3 | \mathcal{B}_3, F).$$

Let σ be a map from Γ to $\text{Sym}(d)$ for some $d \in \mathbb{N}$. Note that $\mathcal{M}(\mathcal{A}_1, \mathcal{B}_1, F, \sigma)$ is an R -submodule of $\mathcal{M}(\mathcal{A}_2, \mathcal{B}_2, F, \sigma)$, and

$$\mathcal{M}(\mathcal{A}_3, \mathcal{B}_3, F, \sigma) = \mathcal{A}_2^d / (\mathcal{A}_2^d \cap (\mathcal{M}_1^d + \mathcal{M}(\mathcal{B}_2, F, \sigma)))$$

is a quotient R -module of

$$\mathcal{M}(\mathcal{A}_2, \mathcal{B}_2, F, \sigma) / \mathcal{M}(\mathcal{A}_1, \mathcal{B}_1, F, \sigma) = \mathcal{A}_2^d / (\mathcal{A}_2^d \cap (\mathcal{A}_1^d + \mathcal{M}(\mathcal{B}_2, F, \sigma))).$$

Thus

$$\begin{aligned} L(\mathcal{M}(\mathcal{A}_2, \mathcal{B}_2, F, \sigma)) &= L(\mathcal{M}(\mathcal{A}_1, \mathcal{B}_1, F, \sigma)) + L(\mathcal{M}(\mathcal{A}_2, \mathcal{B}_2, F, \sigma) / \mathcal{M}(\mathcal{A}_1, \mathcal{B}_1, F, \sigma)) \\ &\geq L(\mathcal{M}(\mathcal{A}_1, \mathcal{B}_1, F, \sigma)) + L(\mathcal{M}(\mathcal{A}_3, \mathcal{B}_3, F, \sigma)). \end{aligned}$$

It follows that (1) holds.

Next we prove $\text{mL}_{\Sigma, \omega}(\mathcal{M}_2 | \mathcal{M}_3) \leq \text{mL}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_3) + \text{mL}_{\Sigma, \omega}(\mathcal{M}_2 / \mathcal{M}_1 | \mathcal{M}_3 / \mathcal{M}_1)$. Let $\mathcal{A}_2 \in \mathcal{F}(\mathcal{M}_2)$. Set $\mathcal{A}_3 = \pi(\mathcal{A}_2) \in \mathcal{F}(\mathcal{M}_2 / \mathcal{M}_1)$. Then it suffices to show

$$\text{mL}_{\Sigma, \omega}(\mathcal{A}_2 | \mathcal{M}_3) \leq \text{mL}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_3) + \text{mL}_{\Sigma, \omega}(\mathcal{A}_3 | \mathcal{M}_3 / \mathcal{M}_1).$$

Let $\mathcal{B}_3 \in \mathcal{F}(\mathcal{M}_3/\mathcal{M}_1)$ and $F_3 \in \mathcal{F}(\Gamma)$ containing e_Γ . Let $\varepsilon > 0$. Take $\overline{\mathcal{B}_3} \in \mathcal{F}(\mathcal{M}_3)$ with $\pi(\overline{\mathcal{B}_3}) = \mathcal{B}_3$. Set $\mathcal{D} = (\mathcal{A}_2 + \sum_{t \in F_3} t\overline{\mathcal{B}_3}) \cap \mathcal{M}_1$. Note

$$L(\mathcal{D}) \leq L(\mathcal{A}_2 + \sum_{t \in F_3} t\overline{\mathcal{B}_3}) < +\infty.$$

By the upper continuity of L , we can find an $\mathcal{A}_1 \in \mathcal{F}(\mathcal{M}_1)$ with $\mathcal{A}_1 \subseteq \mathcal{D}$ and

$$L(\mathcal{D}) < L(\mathcal{A}_1) + \varepsilon.$$

(If R is left Noetherian, then \mathcal{D} is a finitely generated R -module, and we can simply take $\mathcal{A}_1 = \mathcal{D}$ without invoking the upper continuity of L .) Then it suffices to show

$$mL_{\Sigma, \omega}(\mathcal{A}_2|\mathcal{M}_3) \leq mL_{\Sigma, \omega}(\mathcal{A}_1|\mathcal{M}_3) + mL_{\Sigma, \omega}(\mathcal{A}_3|\mathcal{B}_3, F_3) + \varepsilon.$$

Let $\mathcal{B}_1 \in \mathcal{F}(\mathcal{M}_3)$ and $F_1 \in \mathcal{F}(\Gamma)$. Set $\mathcal{B}_2 = \mathcal{B}_1 + \overline{\mathcal{B}_3} \in \mathcal{F}(\mathcal{M}_3)$ and $F_2 = F_1 \cup F_3 \in \mathcal{F}(\Gamma)$. Now it suffices to show

$$(2) \quad mL_{\Sigma, \omega}(\mathcal{A}_2|\mathcal{B}_2, F_2) \leq mL_{\Sigma, \omega}(\mathcal{A}_1|\mathcal{B}_1, F_1) + mL_{\Sigma, \omega}(\mathcal{A}_3|\mathcal{B}_3, F_3) + \varepsilon.$$

Let σ be a map from Γ to $\text{Sym}(d)$ for some $d \in \mathbb{N}$. Denote by φ the quotient map $\mathcal{M}_3^d \rightarrow \mathcal{M}_3^d/\mathcal{M}(\overline{\mathcal{B}_3}, F_3, \sigma)$, and by ψ the quotient map $\mathcal{M}_3^d/\mathcal{M}(\overline{\mathcal{B}_3}, F_3, \sigma) \rightarrow \mathcal{M}_3^d/\mathcal{M}(\mathcal{B}_2, F_2, \sigma)$. From the quotient map $\varphi(\mathcal{D}^d) \rightarrow \varphi(\mathcal{D}^d)/\varphi(\mathcal{A}_1^d)$, we see that $(\varphi(\mathcal{A}_2^d) \cap \varphi(\mathcal{D}^d))/(\varphi(\mathcal{A}_2^d) \cap \varphi(\mathcal{A}_1^d))$ is isomorphic to an R -submodule of $\varphi(\mathcal{D}^d)/\varphi(\mathcal{A}_1^d)$. Therefore

$$\begin{aligned} L((\varphi(\mathcal{A}_2^d) \cap \varphi(\mathcal{D}^d))/(\varphi(\mathcal{A}_2^d) \cap \varphi(\mathcal{A}_1^d))) &\leq L(\varphi(\mathcal{D}^d)/\varphi(\mathcal{A}_1^d)) \\ &\leq L(\mathcal{D}^d/\mathcal{A}_1^d) \\ &= L((\mathcal{D}/\mathcal{A}_1)^d) \\ &= d \cdot L(\mathcal{D}/\mathcal{A}_1) \\ &< d\varepsilon. \end{aligned}$$

Note that

$$\varphi(\mathcal{A}_2^d) \cap \varphi(\mathcal{M}_1^d) = \varphi(\mathcal{A}_2^d) \cap \varphi(\mathcal{D}^d).$$

Also note that $\mathcal{M}(\mathcal{A}_3, \mathcal{B}_3, F_3, \sigma)$ is isomorphic to $\varphi(\mathcal{A}_2^d)/(\varphi(\mathcal{A}_2^d) \cap \varphi(\mathcal{M}_1^d))$ as R -modules. Thus

$$\begin{aligned} L(\mathcal{M}(\mathcal{A}_3, \mathcal{B}_3, F_3, \sigma)) &= L(\varphi(\mathcal{A}_2^d)/(\varphi(\mathcal{A}_2^d) \cap \varphi(\mathcal{M}_1^d))) \\ &= L(\varphi(\mathcal{A}_2^d)/(\varphi(\mathcal{A}_2^d) \cap \varphi(\mathcal{D}^d))) \\ &= L(\varphi(\mathcal{A}_2^d)/(\varphi(\mathcal{A}_2^d) \cap \varphi(\mathcal{A}_1^d))) \\ &\quad - L((\varphi(\mathcal{A}_2^d) \cap \varphi(\mathcal{D}^d))/(\varphi(\mathcal{A}_2^d) \cap \varphi(\mathcal{A}_1^d))) \\ &\geq L(\varphi(\mathcal{A}_2^d)/(\varphi(\mathcal{A}_2^d) \cap \varphi(\mathcal{A}_1^d))) - d\varepsilon. \end{aligned}$$

Note that $\psi(\varphi(\mathcal{A}_2^d) \cap \varphi(\mathcal{A}_1^d)) \subseteq \psi \circ \varphi(\mathcal{A}_2^d) \cap \psi \circ \varphi(\mathcal{A}_1^d)$, whence $\psi \circ \varphi(\mathcal{A}_2^d)/(\psi \circ \varphi(\mathcal{A}_2^d) \cap \psi \circ \varphi(\mathcal{A}_1^d))$ is a quotient R -module of $\varphi(\mathcal{A}_2^d)/(\varphi(\mathcal{A}_2^d) \cap \varphi(\mathcal{A}_1^d))$. Therefore

$$L(\mathcal{M}(\mathcal{A}_3, \mathcal{B}_3, F_3, \sigma)) \geq L(\varphi(\mathcal{A}_2^d)/(\varphi(\mathcal{A}_2^d) \cap \varphi(\mathcal{A}_1^d))) - d\varepsilon$$

$$\begin{aligned}
&\geq L(\psi \circ \varphi(\mathcal{A}_2^d) / (\psi \circ \varphi(\mathcal{A}_2^d) \cap \psi \circ \varphi(\mathcal{A}_1^d))) - d\varepsilon \\
&= L(\psi \circ \varphi(\mathcal{A}_2^d)) - L(\psi \circ \varphi(\mathcal{A}_2^d) \cap \psi \circ \varphi(\mathcal{A}_1^d)) - d\varepsilon \\
&\geq L(\psi \circ \varphi(\mathcal{A}_2^d)) - L(\psi \circ \varphi(\mathcal{A}_1^d)) - d\varepsilon \\
&= L(\mathcal{M}(\mathcal{A}_2, \mathcal{B}_2, F_2, \sigma)) - L(\mathcal{M}(\mathcal{A}_1, \mathcal{B}_2, F_2, \sigma)) - d\varepsilon \\
&\geq L(\mathcal{M}(\mathcal{A}_2, \mathcal{B}_2, F_2, \sigma)) - L(\mathcal{M}(\mathcal{A}_1, \mathcal{B}_1, F_1, \sigma)) - d\varepsilon.
\end{aligned}$$

It follows that (2) holds. \square

Corollary 3.2. *For any locally L-finite $R\Gamma$ -modules \mathcal{M}_1 and \mathcal{M}_2 , one has*

$$\text{mL}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_1 \oplus \mathcal{M}_2) = \text{mL}_{\Sigma, \omega}(\mathcal{M}_1), \text{ and } \text{mL}_{\Sigma, \omega}(\mathcal{M}_1 \oplus \mathcal{M}_2) = \text{mL}_{\Sigma, \omega}(\mathcal{M}_1) + \text{mL}_{\Sigma, \omega}(\mathcal{M}_2).$$

Proof. The first identity is obvious. The second one follows from Theorem 1.1. \square

The following lemma says that to calculate the mean length for finitely generated $R\Gamma$ -modules, it suffices to consider generators.

Lemma 3.3. *Let $\mathcal{M}_1 \subseteq \mathcal{M}_2$ be $R\Gamma$ -modules such that \mathcal{M}_1 is locally L-finite. The following are true.*

- (1) *If $\mathcal{A} \in \mathcal{F}(\mathcal{M}_1)$ generates \mathcal{M}_1 as an $R\Gamma$ -module, then $\text{mL}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2) = \text{mL}_{\Sigma, \omega}(\mathcal{A} | \mathcal{M}_2) \leq L(\mathcal{A})$.*
- (2) *If \mathcal{M}_2 is also locally L-finite and $\mathcal{B} \in \mathcal{F}(\mathcal{M}_2)$ generates \mathcal{M}_2 as an $R\Gamma$ -module, then $\text{mL}_{\Sigma, \omega}(\mathcal{A} | \mathcal{M}_2) = \text{mL}_{\Sigma, \omega}(\mathcal{A} | \mathcal{B})$ for all $\mathcal{A} \in \mathcal{F}(\mathcal{M}_1)$.*

Proof. (1). Let $\mathcal{A}' \in \mathcal{F}(\mathcal{M}_1)$. Take $K \in \mathcal{F}(\Gamma)$ with $\mathcal{A}' \subseteq \sum_{s \in K} s\mathcal{A}$.

Let $\mathcal{B} \in \mathcal{F}(\mathcal{M}_2)$ and $F \in \mathcal{F}(\Gamma)$. Set $\mathcal{B}' = \mathcal{B} + \mathcal{A}' \in \mathcal{F}(\mathcal{M}_2)$ and $F' = F \cup K \in \mathcal{F}(\Gamma)$. Let σ be a map from Γ to $\text{Sym}(d)$ for some $d \in \mathbb{N}$. Denote by φ the quotient map $\mathcal{M}_2^d \rightarrow \mathcal{M}_2^d / \mathcal{M}(\mathcal{B}', F', \sigma)$. For any $v \in [d]$, $a \in \mathcal{A}$ and $s \in K$, taking $v' \in [d]$ with $sv' = v$, we have

$$\varphi(\delta_v sa) = \varphi(\delta_{sv'} sa) = \varphi(\delta_{v'} a) \in \mathcal{M}(\mathcal{A}, \mathcal{B}', F', \sigma).$$

Thus $\mathcal{M}(\mathcal{A}', \mathcal{B}', F', \sigma) \subseteq \varphi((\sum_{s \in K} s\mathcal{A})^d) \subseteq \mathcal{M}(\mathcal{A}, \mathcal{B}', F', \sigma)$. Therefore

$$L(\mathcal{M}(\mathcal{A}', \mathcal{B}', F', \sigma)) \leq L(\mathcal{M}(\mathcal{A}, \mathcal{B}', F', \sigma)) \leq L(\mathcal{M}(\mathcal{A}, \mathcal{B}, F, \sigma)).$$

It follows that $\text{mL}_{\Sigma, \omega}(\mathcal{A}' | \mathcal{B}', F') \leq \text{mL}_{\Sigma, \omega}(\mathcal{A} | \mathcal{B}, F)$. Therefore $\text{mL}_{\Sigma, \omega}(\mathcal{A}' | \mathcal{M}_2) \leq \text{mL}_{\Sigma, \omega}(\mathcal{A} | \mathcal{M}_2)$. It is obvious that $\text{mL}_{\Sigma, \omega}(\mathcal{A} | \mathcal{M}_2) \leq L(\mathcal{A})$.

(2). Let $\mathcal{B}' \in \mathcal{F}(\mathcal{M}_2)$. Take $K \in \mathcal{F}(\Gamma)$ with $\mathcal{B}' \subseteq \sum_{t \in K} t\mathcal{B}$. Set $\mathcal{B}'' = \sum_{t \in K} t\mathcal{B}$.

Let $F' \in \mathcal{F}(\Gamma)$ containing e_Γ . Set $F = F'K \in \mathcal{F}(\Gamma)$. Then $F \supseteq K$. Let $0 < \varepsilon < 1$. Let $\sigma : \Gamma \rightarrow \text{Sym}(d)$ be a sufficiently good sofic approximation for Γ with $|\mathcal{W}| \geq (1 - \varepsilon/|K|)d$, where

$$\mathcal{W} := \{v \in [d] : s(tv) = (st)v \text{ for all } s \in F', t \in K\}.$$

Denote by \mathcal{M} (resp. \mathcal{M}^\dagger) the R -submodule of \mathcal{M}_2^d generated by $\delta_v b'' - \delta_{sv} sb''$ for $v \in \bigcap_{t \in K} t\mathcal{W}$ (resp. $v \in [d] \setminus \bigcap_{t \in K} t\mathcal{W}$), $s \in F'$ and $b'' \in \mathcal{B}''$. Then $\mathcal{M}(\mathcal{B}'', F', \sigma) =$

$\mathcal{M} + \mathcal{M}^\dagger$. For any $v \in \bigcap_{t \in K} t\mathcal{W}$, $b \in \mathcal{B}$, $t \in K$ and $s \in F'$, writing v as tv' for some $v' \in \mathcal{W}$, we have

$$\delta_v tb - \delta_{sv} stb = (\delta_{v'} b - \delta_{stv'} stb) - (\delta_{v'} b - \delta_{tv'} tb) \in \mathcal{M}(\mathcal{B}, F, \sigma).$$

Thus $\mathcal{M} \subseteq \mathcal{M}(\mathcal{B}, F, \sigma)$. Denote by ψ and φ the quotient maps $\mathcal{M}_2^d \rightarrow \mathcal{M}_2^d / \mathcal{M}(\mathcal{B}, F, \sigma)$ and $\mathcal{M}_2^d \rightarrow \mathcal{M}_2^d / \mathcal{M}$ respectively. Then ψ factors through φ . Thus

$$\begin{aligned} L(\mathcal{M}(\mathcal{A}, \mathcal{B}', F', \sigma)) &\geq L(\mathcal{M}(\mathcal{A}, \mathcal{B}'', F', \sigma)) \\ &= L(\varphi(\mathcal{A}^d) / (\varphi(\mathcal{A}^d) \cap \varphi(\mathcal{M}^\dagger))) \\ &= L(\varphi(\mathcal{A}^d) + \varphi(\mathcal{M}^\dagger)) - L(\varphi(\mathcal{M}^\dagger)) \\ &\geq L(\varphi(\mathcal{A}^d)) - L(\mathcal{M}^\dagger) \\ &\geq L(\psi(\mathcal{A}^d)) - \left| [d] \setminus \bigcap_{t \in K} t\mathcal{W} \right| \cdot |F'| \cdot L(\mathcal{B}'') \\ &\geq L(\mathcal{M}(\mathcal{A}, \mathcal{B}, F, \sigma)) - d\varepsilon |F'| \cdot |K| \cdot L(\mathcal{B}). \end{aligned}$$

It follows that $\text{mL}_{\Sigma, \omega}(\mathcal{A}|\mathcal{B}', F') \geq \text{mL}_{\Sigma, \omega}(\mathcal{A}|\mathcal{B}, F) - \varepsilon |F'| \cdot |K| \cdot L(\mathcal{B})$. Letting $\varepsilon \rightarrow 0$, we get $\text{mL}_{\Sigma, \omega}(\mathcal{A}|\mathcal{B}', F') \geq \text{mL}_{\Sigma, \omega}(\mathcal{A}|\mathcal{B}, F)$. Therefore $\text{mL}_{\Sigma, \omega}(\mathcal{A}|\mathcal{B}') \geq \text{mL}_{\Sigma, \omega}(\mathcal{A}|\mathcal{B})$. \square

Next we discuss some continuity properties for mean length.

Proposition 3.4. *Let $\mathcal{M}_1 \subseteq \mathcal{M}_2$ be $R\Gamma$ -modules such that \mathcal{M}_1 is locally L-finite. The following are true.*

- (1) *If $\{\mathcal{M}'_j\}_{j \in \mathcal{J}}$ is an increasing net of $R\Gamma$ -submodules of \mathcal{M}_1 with union \mathcal{M}_1 , then $\text{mL}_{\Sigma, \omega}(\mathcal{M}'_j|\mathcal{M}_2) \nearrow \text{mL}_{\Sigma, \omega}(\mathcal{M}_1|\mathcal{M}_2)$. If furthermore \mathcal{M}_2 is locally L-finite and $\text{mL}_{\Sigma, \omega}(\mathcal{M}_2) < +\infty$, then $\text{mL}_{\Sigma, \omega}(\mathcal{M}_2/\mathcal{M}'_j) \searrow \text{mL}_{\Sigma, \omega}(\mathcal{M}_2/\mathcal{M}_1)$.*
- (2) *If \mathcal{M}_1 is a finitely generated $R\Gamma$ -module, and $\{\mathcal{M}'_j\}_{j \in \mathcal{J}}$ is an increasing net of $R\Gamma$ -submodules of \mathcal{M}_2 containing \mathcal{M}_1 with union \mathcal{M}_2 , then $\text{mL}_{\Sigma, \omega}(\mathcal{M}_1|\mathcal{M}'_j) \searrow \text{mL}_{\Sigma, \omega}(\mathcal{M}_1|\mathcal{M}_2)$.*

Proof. (1). The first part is trivial. The second part follows from the first part and Theorem 1.1.

(2) follows from Lemma 3.3. \square

Now we consider $R\Gamma$ -modules of the form $R\Gamma \otimes_R \mathcal{N}$ for R -modules \mathcal{N} .

Proposition 3.5. *The following are true.*

- (1) *For any R -modules $\mathcal{N}_1 \subseteq \mathcal{N}_2$ such that \mathcal{N}_2 is locally L-finite, one has $\text{mL}_{\Sigma, \omega}(R\Gamma \otimes_R \mathcal{N}_1|R\Gamma \otimes_R \mathcal{N}_2) = L(\mathcal{N}_1)$.*
- (2) *For any locally L-finite R -module \mathcal{N} and any $R\Gamma$ -submodule \mathcal{M} of $R\Gamma \otimes_R \mathcal{N}$, $\text{mL}_{\Sigma, \omega}(\mathcal{M}|R\Gamma \otimes_R \mathcal{N}) = 0$ if and only if $\text{mL}_{\Sigma, \omega}(\mathcal{M}) = 0$, if and only if $L(\mathcal{M}) = 0$.*

Proof. (1). Let $\mathcal{A} \in \mathcal{F}(R\Gamma \otimes_R \mathcal{N}_1)$. Then $\mathcal{A} \subseteq R\Gamma \otimes_R \mathcal{A}'$ for some $\mathcal{A}' \in \mathcal{F}(\mathcal{N}_1)$. Note that $e_\Gamma \otimes \mathcal{A}'$ is in $\mathcal{F}(R\Gamma \otimes_R \mathcal{A}')$ and generates $R\Gamma \otimes_R \mathcal{A}'$ as an $R\Gamma$ -module. By Lemma 3.3 one has

$$\mathrm{mL}_{\Sigma, \omega}(\mathcal{A} | R\Gamma \otimes_R \mathcal{N}_2) \leq \mathrm{mL}_{\Sigma, \omega}(R\Gamma \otimes_R \mathcal{A}' | R\Gamma \otimes_R \mathcal{N}_2) \leq \mathrm{L}(e_\Gamma \otimes \mathcal{A}') = \mathrm{L}(\mathcal{A}') \leq \mathrm{L}(\mathcal{N}_1).$$

Thus $\mathrm{mL}_{\Sigma, \omega}(R\Gamma \otimes_R \mathcal{N}_1 | R\Gamma \otimes_R \mathcal{N}_2) \leq \mathrm{L}(\mathcal{N}_1)$.

Let $\mathcal{A} \in \mathcal{F}(\mathcal{N}_1)$. Let $\mathcal{B} \in \mathcal{F}(R\Gamma \otimes_R \mathcal{N}_2)$. Then $\mathcal{B} \subseteq R\Gamma \otimes_R \mathcal{B}'$ for some $\mathcal{B}' \in \mathcal{F}(\mathcal{N}_2)$. Let $F \in \mathcal{F}(\Gamma)$ and $0 < \varepsilon < 1$. Let $\sigma : \Gamma \rightarrow \mathrm{Sym}(d)$ be a good enough sofic approximation for Γ with $|\mathcal{W}| \geq (1 - \varepsilon)d$, where

$$\mathcal{W} := \{v \in [d] : e_\Gamma v = v\}.$$

Note that $(e_\Gamma \otimes \mathcal{A})^d \cap \mathcal{M}(e_\Gamma \otimes \mathcal{B}', F, \sigma) \subseteq \sum_{v \in [d] \setminus \mathcal{W}} (\delta_v(e_\Gamma \otimes \mathcal{B}') + \delta_{e_\Gamma v}(e_\Gamma \otimes \mathcal{B}'))$. Thus

$$\begin{aligned} \mathrm{L}(\mathcal{M}(e_\Gamma \otimes \mathcal{A}, e_\Gamma \otimes \mathcal{B}', F, \sigma)) &= \mathrm{L}((e_\Gamma \otimes \mathcal{A})^d) - \mathrm{L}((e_\Gamma \otimes \mathcal{A})^d \cap \mathcal{M}(e_\Gamma \otimes \mathcal{B}', F, \sigma)) \\ &\geq d\mathrm{L}(\mathcal{A}) - \mathrm{L}\left(\sum_{v \in [d] \setminus \mathcal{W}} (\delta_v(e_\Gamma \otimes \mathcal{B}') + \delta_{e_\Gamma v}(e_\Gamma \otimes \mathcal{B}'))\right) \\ &\geq d\mathrm{L}(\mathcal{A}) - 2|[d] \setminus \mathcal{W}|\mathrm{L}(\mathcal{B}') \\ &\geq d\mathrm{L}(\mathcal{A}) - 2\varepsilon d\mathrm{L}(\mathcal{B}'). \end{aligned}$$

Therefore

$$\mathrm{mL}_{\Sigma, \omega}(e_\Gamma \otimes \mathcal{A} | e_\Gamma \otimes \mathcal{B}', F) \geq \mathrm{L}(\mathcal{A}) - 2\varepsilon \mathrm{L}(\mathcal{B}').$$

Letting $\varepsilon \rightarrow 0$, we get $\mathrm{mL}_{\Sigma, \omega}(e_\Gamma \otimes \mathcal{A} | e_\Gamma \otimes \mathcal{B}', F) \geq \mathrm{L}(\mathcal{A})$. Since F is an arbitrary finite subset of Γ , we conclude that $\mathrm{mL}_{\Sigma, \omega}(e_\Gamma \otimes \mathcal{A} | e_\Gamma \otimes \mathcal{B}') \geq \mathrm{L}(\mathcal{A})$. By Lemma 3.3 we get

$$\mathrm{mL}_{\Sigma, \omega}(e_\Gamma \otimes \mathcal{A} | \mathcal{B}) \geq \mathrm{mL}_{\Sigma, \omega}(e_\Gamma \otimes \mathcal{A} | R\Gamma \otimes_R \mathcal{B}') = \mathrm{mL}_{\Sigma, \omega}(e_\Gamma \otimes \mathcal{A} | e_\Gamma \otimes \mathcal{B}') \geq \mathrm{L}(\mathcal{A}).$$

Since \mathcal{B} is an arbitrary finitely generated R -submodule of $R\Gamma \otimes_R \mathcal{N}_2$, we get

$$\mathrm{mL}_{\Sigma, \omega}(R\Gamma \otimes_R \mathcal{N}_1 | R\Gamma \otimes_R \mathcal{N}_2) \geq \mathrm{mL}_{\Sigma, \omega}(e_\Gamma \otimes \mathcal{A} | R\Gamma \otimes_R \mathcal{N}_2) \geq \mathrm{L}(\mathcal{A}).$$

By the upper continuity of L , we conclude that $\mathrm{mL}_{\Sigma, \omega}(R\Gamma \otimes_R \mathcal{N}_1 | R\Gamma \otimes_R \mathcal{N}_2) \geq \mathrm{L}(\mathcal{N}_1)$. Therefore $\mathrm{mL}_{\Sigma, \omega}(R\Gamma \otimes_R \mathcal{N}_1 | R\Gamma \otimes_R \mathcal{N}_2) = \mathrm{L}(\mathcal{N}_1)$.

(2). If $\mathrm{L}(\mathcal{M}) = 0$, then clearly $\mathrm{mL}_{\Sigma, \omega}(\mathcal{M}) = 0$. Since $\mathrm{mL}_{\Sigma, \omega}(\mathcal{M} | R\Gamma \otimes_R \mathcal{N}) \leq \mathrm{mL}_{\Sigma, \omega}(\mathcal{M})$, it suffices to show that if $\mathrm{mL}_{\Sigma, \omega}(\mathcal{M} | R\Gamma \otimes_R \mathcal{N}) = 0$, then $\mathrm{L}(\mathcal{M}) = 0$.

Let $\mathcal{A} \in \mathcal{F}(\mathcal{M})$. Then there exists some $K \in \mathcal{F}(\Gamma)$ such that $e_\Gamma \in K = K^{-1}$ and $\mathcal{A} \subseteq \sum_{t \in K} t \otimes \mathcal{N}$. Let $\mathcal{B} \in \mathcal{F}(R\Gamma \otimes_R \mathcal{N})$. Then $\mathcal{B} \subseteq R\Gamma \otimes_R \mathcal{B}'$ for some $\mathcal{B}' \in \mathcal{F}(\mathcal{N})$. Let $F \in \mathcal{F}(\Gamma)$.

Let $0 < \varepsilon < 1$. Let $\sigma : \Gamma \rightarrow \mathrm{Sym}(d)$ be a good enough sofic approximation of Γ with $|\mathcal{W}| \geq (1 - \varepsilon)d$, where

$$\mathcal{W} := \{v \in [d] : t(sv) = (ts)v \text{ for all } s, t \in K, sv \neq s'v \text{ for all } s \neq s' \in K, \text{ and } e_\Gamma v = v\}.$$

Take a maximal subset \mathcal{V} of \mathcal{W} subject to the condition that the sets Kv are pairwise disjoint for $v \in \mathcal{V}$. Then $\sigma(K)^{-1}\sigma(K)\mathcal{V} \supseteq \mathcal{W}$, whence $|\mathcal{V}| \geq |\mathcal{W}|/|K|^2 \geq (1 -$

$\varepsilon)d/|K|^2$. Denote by \mathcal{M} (resp. \mathcal{M}^\dagger) the R -submodule of $R\Gamma \otimes_R \mathcal{N}$ generated by $\delta_v e_\Gamma \otimes b' - \delta_{sv} s \otimes b'$ for all $v \in \mathcal{W}$ (resp. $v \in [d] \setminus \mathcal{W}$), $b' \in \mathcal{B}'$ and $s \in F$. Then $\mathcal{M}(e_\Gamma \otimes \mathcal{B}', F, \sigma) = \mathcal{M} + \mathcal{M}^\dagger$.

Set $\tilde{\mathcal{A}} = \sum_{v \in \mathcal{V}} \delta_v \mathcal{A}$. We claim that $\tilde{\mathcal{A}} \cap \mathcal{M} = \{0\}$. Let $x \in \tilde{\mathcal{A}} \cap \mathcal{M}$. Then $x = \sum_{v \in \mathcal{W}} \sum_{s \in F} (\delta_v e_\Gamma \otimes f(v, s) - \delta_{sv} s \otimes f(v, s))$ for some map $f : \mathcal{W} \times F \rightarrow \mathcal{B}'$. Since $e_\Gamma v = v$ for all $v \in \mathcal{W}$, we may assume that $f(v, e_\Gamma) = 0$ for all $v \in \mathcal{W}$, in case $e_\Gamma \in F$. Because $x \in \tilde{\mathcal{A}}$, we must have $f(v, s) = 0$ for all $(v, s) \in \mathcal{W} \times (F \setminus K)$ and all $(v, s) \in \mathcal{W} \times F$ with $sv \notin \mathcal{V}$. By our choice of \mathcal{V} , we then conclude that $f = 0$. Thus $x = 0$. This proves our claim.

Denote by φ the quotient map $(R\Gamma \otimes_R \mathcal{N})^d \rightarrow (R\Gamma \otimes_R \mathcal{N})^d / \mathcal{M}$. Then

$$\begin{aligned} L(\mathcal{M}(\mathcal{A}, e_\Gamma \otimes \mathcal{B}', F, \sigma)) &= L(\varphi(\mathcal{A}^d) / (\varphi(\mathcal{A}^d) \cap \varphi(\mathcal{M}^\dagger))) \\ &= L(\varphi(\mathcal{A}^d) + \varphi(\mathcal{M}^\dagger)) - L(\varphi(\mathcal{M}^\dagger)) \\ &\geq L(\varphi(\mathcal{A}^d)) - |[d] \setminus \mathcal{W}| \cdot |F| \cdot L(\mathcal{B}') \\ &\geq L(\varphi(\tilde{\mathcal{A}})) - d\varepsilon \cdot |F| \cdot L(\mathcal{B}') \\ &= L(\tilde{\mathcal{A}}) - d\varepsilon \cdot |F| \cdot L(\mathcal{B}') \\ &= |\mathcal{V}| \cdot L(\mathcal{A}) - d\varepsilon \cdot |F| \cdot L(\mathcal{B}') \\ &\geq L(\mathcal{A})(1 - \varepsilon)d/|K|^2 - d\varepsilon \cdot |F| \cdot L(\mathcal{B}'). \end{aligned}$$

Thus

$$\text{mL}_{\Sigma, \omega}(\mathcal{A}|e_\Gamma \otimes \mathcal{B}', F) \geq L(\mathcal{A})(1 - \varepsilon)/|K|^2 - \varepsilon \cdot |F| \cdot L(\mathcal{B}').$$

Letting $\varepsilon \rightarrow 0$, we get $\text{mL}_{\Sigma, \omega}(\mathcal{A}|e_\Gamma \otimes \mathcal{B}', F) \geq L(\mathcal{A})/|K|^2$, and hence $\text{mL}_{\Sigma, \omega}(\mathcal{A}|e_\Gamma \otimes \mathcal{B}') \geq L(\mathcal{A})/|K|^2$. By Lemma 3.3, we have

$$\text{mL}_{\Sigma, \omega}(\mathcal{A}|\mathcal{B}) \geq \text{mL}_{\Sigma, \omega}(\mathcal{A}|R\Gamma \otimes_R \mathcal{B}') = \text{mL}_{\Sigma, \omega}(\mathcal{A}|e_\Gamma \otimes \mathcal{B}') \geq L(\mathcal{A})/|K|^2.$$

Therefore

$$\text{mL}_{\Sigma, \omega}(\mathcal{A}|R\Gamma \otimes_R \mathcal{N}) \geq L(\mathcal{A})/|K|^2.$$

If $\text{mL}_{\Sigma, \omega}(\mathcal{M}|R\Gamma \otimes_R \mathcal{N}) = 0$, then $\text{mL}_{\Sigma, \omega}(\mathcal{A}|R\Gamma \otimes_R \mathcal{N}) = 0$ and hence $L(\mathcal{A}) = 0$ for all $\mathcal{A} \in \mathcal{F}(\mathcal{M})$, which implies $L(\mathcal{M}) = 0$ by the upper continuity of L . \square

To round this section, we compute the mean length in a fairly simple case.

Proposition 3.6. *Suppose that Γ is infinite and \mathcal{M} is an $R\Gamma$ -module with $L(\mathcal{M}) < +\infty$. Then $\text{mL}_{\Sigma, \omega}(\mathcal{M}) = 0$.*

Proof. Let $\mathcal{A} \in \mathcal{F}(\mathcal{M})$ and $\varepsilon > 0$. By the upper continuity of L , we can find a $\mathcal{B} \in \mathcal{F}(\mathcal{M})$ such that $L(\mathcal{M}) < L(\mathcal{B}) + \varepsilon$.

Let $K \in \mathcal{F}(\Gamma)$ be nonempty. Set $F = K^{-1}K \in \mathcal{F}(\Gamma)$. Let $\sigma : \Gamma \rightarrow \text{Sym}(d)$ be a good enough sofic approximation of Γ with $|\mathcal{W}| \geq (1 - \varepsilon)d$, where

$$\mathcal{W} := \{v \in [d] : \sigma_s v \neq \sigma_t v \text{ for all distinct } s, t \in K, \text{ and } (\sigma_s)^{-1} \sigma_t v = \sigma_{s^{-1}t} v \text{ for all } s, t \in K\}.$$

Take a maximal subset \mathcal{V} of \mathcal{W} subject to the condition that the sets Kv are pairwise disjoint for $v \in \mathcal{V}$. Then $\sigma(F)\mathcal{V} = \sigma(K^{-1}K)\mathcal{V} = \sigma(K)^{-1}\sigma(K)\mathcal{V} \supseteq \mathcal{W}$ and $|\mathcal{V}| \leq d/|K|$.

Denote by \mathcal{M} the R -submodule of \mathcal{M}^d generated by $\delta_v \mathcal{M}$ for $v \in \mathcal{V}$. Denote by ψ the quotient map $\mathcal{M}^d \rightarrow \mathcal{M}^d / \mathcal{M}(\mathcal{B}, F, \sigma)$. For each $s \in F$, set $\mathcal{B}_s := s\mathcal{B} \cap \mathcal{A}$. Then $L(\mathcal{A}/\mathcal{B}_s) \leq L(\mathcal{M}/s\mathcal{B}) \leq \varepsilon$. For any $(v, s) \in \mathcal{V} \times F$ and any $a \in \mathcal{B}_s$, say $a = sb$ with $b \in \mathcal{B}$, we have

$$\psi(\delta_{sv}a) = \psi(\delta_{sv}sb) = \psi(\delta_vb) \in \psi(\mathcal{M}).$$

Thus $\psi(\sum_{v \in \mathcal{V}} \sum_{s \in F} \delta_{sv} \mathcal{B}_s) \subseteq \psi(\mathcal{M})$, whence

$$L(\psi(\sum_{v \in \mathcal{V}} \sum_{s \in F} \delta_{sv} \mathcal{B}_s)) \leq L(\psi(\mathcal{M})) \leq L(\mathcal{M}) = |\mathcal{V}|L(\mathcal{M}).$$

Set $\mathcal{W}' := \{sv : s \in F, v \in \mathcal{V}\}$. Then $\mathcal{W}' \supseteq \mathcal{W}$. Therefore

$$\begin{aligned} L(\mathcal{M}(\mathcal{A}, \mathcal{B}, F, \sigma)) &= L(\psi(\sum_{v \in \mathcal{V}} \sum_{s \in F} \delta_{sv} \mathcal{B}_s)) + L(\psi(\mathcal{A}^d)/\psi(\sum_{v \in \mathcal{V}} \sum_{s \in F} \delta_{sv} \mathcal{B}_s)) \\ &\leq |\mathcal{V}|L(\mathcal{M}) + L(\mathcal{A}^d / \sum_{v \in \mathcal{V}} \sum_{s \in F} \delta_{sv} \mathcal{B}_s) \\ &= |\mathcal{V}|L(\mathcal{M}) + |[d] \setminus \mathcal{W}'|L(\mathcal{A}) + \sum_{v' \in \mathcal{W}'} L(\mathcal{A} / \sum_{v \in \mathcal{V}, s \in F, sv=v'} \mathcal{B}_s) \\ &\leq d(1/|K| + \varepsilon)L(\mathcal{M}) + d\varepsilon. \end{aligned}$$

It follows that $mL_{\Sigma, \omega}(\mathcal{A}|\mathcal{M}) \leq (1/|K| + \varepsilon)L(\mathcal{M}) + \varepsilon$. Since K is an arbitrary nonempty finite subset of Γ , we get $mL_{\Sigma, \omega}(\mathcal{A}|\mathcal{M}) \leq (L(\mathcal{M}) + 1)\varepsilon$. As ε is an arbitrary positive number, we get $mL_{\Sigma, \omega}(\mathcal{A}|\mathcal{M}) = 0$. Thus $mL_{\Sigma, \omega}(\mathcal{M}) = 0$. \square

4. STABLY DIRECT FINITENESS

In this section we prove Theorem 1.2.

For any unital ring R , one has its opposite ring $R^{\text{op}} := \{a^{\text{op}} : a \in R\}$ with operations defined by $a^{\text{op}} - b^{\text{op}} = (a - b)^{\text{op}}$ and $a^{\text{op}}b^{\text{op}} = (ba)^{\text{op}}$. Using the transpose map it is easy to see that $(M_n(R))^{\text{op}} \cong M_n(R^{\text{op}})$ for every $n \in \mathbb{N}$. Thus R is stably direct finite if and only if R^{op} is so. Then Theorem 1.2 follows from Lemma 4.1 and Theorem 4.2 below.

Lemma 4.1. *For any unital ring R and any (not necessarily sofic) group Γ , $R^{\text{op}}\Gamma$ is isomorphic to $(R\Gamma)^{\text{op}}$.*

Proof. For each $x \in R^{\text{op}}\Gamma$, writing x as $\sum_{s \in \Gamma} a_s^{\text{op}} s$, where $a_s \in R$ for each $s \in \Gamma$ and $a_s = 0$ for all but finitely many $s \in \Gamma$, we define an element $\varphi(x)$ of $(R\Gamma)^{\text{op}}$ by $\varphi(x) = (\sum_{s \in \Gamma} a_s s^{-1})^{\text{op}}$. It is easily checked that the map $\varphi : R^{\text{op}}\Gamma \rightarrow (R\Gamma)^{\text{op}}$ sending x to $\varphi(x)$ is an isomorphism. \square

For any R -module \mathcal{M} , we denote by $\text{End}_R(\mathcal{M})$ the endomorphism ring of \mathcal{M} .

Theorem 4.2. *Let R be a unital ring, Γ be a sofic group, and \mathcal{M} be a nonzero Noetherian R -module. Set $\tilde{R} := \text{End}_R(\mathcal{M})$. Then $\tilde{R}\Gamma$ is stably direct finite.*

Theorem 4.2 was proved by Ceccherini-Silberstein and Coornaert under the further assumption that \mathcal{M} is also Artinian [7, Corollary 1.4]. Theorem 4.2 follows from Lemmas 4.3, 4.4 and Theorem 4.5 below, and the fact that $\text{End}_R(\mathcal{M}^{\oplus n}) \cong M_n(\text{End}_R(\mathcal{M}))$ for every $n \in \mathbb{N}$, where $\mathcal{M}^{\oplus n}$ denotes the direct sum of n copies of \mathcal{M} .

Lemma 4.3. *Let R be a unital ring, \mathcal{M} be a nonzero finitely generated R -module, and Γ be a (not necessarily sofic) group. Set $\tilde{R} := \text{End}_R(\mathcal{M})$. Then $\text{End}_{R\Gamma}(R\Gamma \otimes_R \mathcal{M})$ is isomorphic to $\tilde{R}\Gamma$.*

Proof. Each element a of $R\Gamma \otimes_R \mathcal{M}$ can be written as $\sum_{t \in \Gamma} t \otimes a_t$ with $a_t \in \mathcal{M}$ for each $t \in \Gamma$ and $a_t = 0$ for all but finitely many $t \in \Gamma$. For each $f \in \tilde{R}\Gamma$, writing f as $\sum_{s \in \Gamma} f_s s$ with $f_s \in \tilde{R}$ for each $s \in \Gamma$ and $f_s = 0$ for all but finitely many $s \in \Gamma$, we define a $\varphi(f) \in \text{End}_{R\Gamma}(R\Gamma \otimes_R \mathcal{M})$ by

$$\varphi(f)\left(\sum_{t \in \Gamma} t \otimes a_t\right) = \sum_{s, t \in \Gamma} ts^{-1} \otimes f_s(a_t).$$

It is easily checked that the map $\varphi : \tilde{R}\Gamma \rightarrow \text{End}_{R\Gamma}(R\Gamma \otimes_R \mathcal{M})$ sending f to $\varphi(f)$ is an isomorphism. \square

The following lemma is well known.

Lemma 4.4. *Let R be a unital ring and \mathcal{M} be a nonzero R -module. Then $\text{End}_R(\mathcal{M})$ is directly finite if and only if \mathcal{M} has no nontrivial direct summand isomorphic to itself.*

Proof. We prove the “if” part first. Suppose that $\text{End}_R(\mathcal{M})$ is not directly finite. Then we can find $f, g \in \text{End}_R(\mathcal{M})$ such that fg is the identity map id on \mathcal{M} , while gf is not. Note that $(gf)^2 = gf$. Set $\mathcal{M}_1 = gf(\mathcal{M})$ and $\mathcal{M}_2 = (\text{id} - gf)(\mathcal{M})$. Then $\mathcal{M} = \mathcal{M}_1 \oplus \mathcal{M}_2$ and $\mathcal{M}_2 \neq 0$. Note that $\mathcal{M}_1 \subseteq g(\mathcal{M})$. Since $gx = gf(gx) \in \mathcal{M}_1$ for every $x \in \mathcal{M}$, we have $g(\mathcal{M}) \subseteq \mathcal{M}_1$, and hence $\mathcal{M}_1 = g(\mathcal{M})$. The surjective homomorphism $\mathcal{M} \rightarrow g(\mathcal{M})$ sending x to gx is injective since $f(gx) = x$. Therefore \mathcal{M}_1 is isomorphic to \mathcal{M} .

Next we prove the “only if” part. Suppose that \mathcal{M} has a nontrivial direct summand isomorphic to itself. Say, $\mathcal{M} = \mathcal{M}_1 \oplus \mathcal{M}_2$, $\mathcal{M}_2 \neq 0$, and $\mathcal{M} \cong \mathcal{M}_1$. Let $\varphi : \mathcal{M} \rightarrow \mathcal{M}_1$ be an isomorphism. Denoting by ι the embedding $\mathcal{M}_1 \rightarrow \mathcal{M}$, we set $g = \iota \circ \varphi \in \text{End}_R(\mathcal{M})$. Denoting by π the projection $\mathcal{M} \rightarrow \mathcal{M}_1$, we set $f = \varphi^{-1} \circ \pi \in \text{End}_R(\mathcal{M})$. Then $fg = \text{id}$ while $gf \neq \text{id}$. \square

An $R\Gamma$ -module \mathcal{M} is called *hopfian* if every surjective module homomorphism $\mathcal{M} \rightarrow \mathcal{M}$ is injective.

Theorem 4.5. *Let R be a unital ring, Γ be a sofic group, and \mathcal{M} be a Noetherian R -module. Then the $R\Gamma$ -module $R\Gamma \otimes_R \mathcal{M}$ is hopfian.*

Using mean length for amenable groups, Virili showed that if R is a unital left Noetherian ring, Γ is a finitely generated amenable group, and \mathcal{M} is a finitely generated R -module, then the $R\Gamma$ -module $R\Gamma \otimes_R \mathcal{M}$ is *hereditarily hopfian* in the sense that every $R\Gamma$ -submodule of $R\Gamma \otimes_R \mathcal{M}$ is hopfian [69, Theorem A]. Our proof of Theorem 4.5 follows Virili's method, but uses the sofic mean length defined in Section 3.

We shall use the notations in Section 2.1. Let α be an ordinal and $\mathcal{M} \in {}_R\mathfrak{M}$. Denote by $T_\alpha(\mathcal{M})$ the set of all $x \in \mathcal{M}$ such that $Rx \in \mathfrak{A}_\alpha$. Since \mathfrak{A}_α is a Serre-category, $T_\alpha(\mathcal{M})$ is an R -submodule of \mathcal{M} . Note that $T_\alpha(\mathcal{M}) \subseteq T_\gamma(\mathcal{M})$ for all ordinals $\alpha < \gamma$, and $T_\alpha(\mathcal{M}) = \bigcup_{\beta < \alpha} T_\beta(\mathcal{M})$ for every limit ordinal α .

Lemma 4.6. *Let R, Γ and \mathcal{M} be as in Theorem 4.5. Let \mathcal{N} be a nonzero R -submodule of $R\Gamma \otimes_R \mathcal{M}$. Then there is an ordinal α such that $T_\alpha(\mathcal{N}) \neq \mathcal{N}$ and $T_{\alpha+1}(\mathcal{N}) = \mathcal{N}$.*

Proof. For any ordinal α , one has $T_\alpha(R\Gamma \otimes_R \mathcal{M}) = R\Gamma \otimes_R T_\alpha(\mathcal{M})$ and $T_\alpha(\mathcal{N}) = T_\alpha(R\Gamma \otimes_R \mathcal{M}) \cap \mathcal{N}$. Since \mathcal{M} is Noetherian, there are only finitely many ordinals α satisfying $T_\alpha(\mathcal{M}) \neq T_{\alpha+1}(\mathcal{M})$. Thus there are only finitely many ordinals α satisfying $T_\alpha(\mathcal{N}) \neq T_{\alpha+1}(\mathcal{N})$. Denote by β the Krull dimension of \mathcal{M} . Then $T_\beta(\mathcal{M}) = \mathcal{M}$, whence $T_\beta(\mathcal{N}) = \mathcal{N}$. Therefore, for the largest ordinal α satisfying $T_\alpha(\mathcal{N}) \neq T_{\alpha+1}(\mathcal{N})$, we must have $T_\alpha(\mathcal{N}) \neq \mathcal{N}$ and $T_{\alpha+1}(\mathcal{N}) = \mathcal{N}$. \square

Lemma 4.7. *Let $\varphi : \mathcal{M} \rightarrow \mathcal{N}$ be a surjective homomorphism of R -modules. Suppose that Rx is Noetherian for every $x \in \mathcal{M}$ and that β is an ordinal with $T_\beta(\ker(\varphi)) = \ker(\varphi)$. Then $\varphi(T_\beta(\mathcal{M})) = T_\beta(\mathcal{N})$.*

Proof. Clearly $\varphi(T_\beta(\mathcal{M})) \subseteq T_\beta(\mathcal{N})$. Let $y \in T_\beta(\mathcal{N})$. Take $x \in \mathcal{M}$ with $\varphi(x) = y$. Then we have a short exact sequence

$$0 \rightarrow Rx \cap \ker(\varphi) \rightarrow Rx \rightarrow Ry \rightarrow 0$$

of R -modules. Since Rx is Noetherian, $Rx \cap \ker(\varphi)$ is a finitely generated R -module. Because $T_\beta(\ker(\varphi)) = \ker(\varphi)$, for every $z \in Rx \cap \ker(\varphi)$ we have $Rz \in \mathfrak{A}_\beta$. It follows that $Rx \cap \ker(\varphi) \in \mathfrak{A}_\beta$. Because $y \in T_\beta(\mathcal{N})$, we also have $Ry \in \mathfrak{A}_\beta$. Thus $Rx \in \mathfrak{A}_\beta$. That is, $x \in T_\beta(\mathcal{M})$. \square

We are ready to prove Theorem 4.5.

Proof of Theorem 4.5. Let φ be a surjective $R\Gamma$ -module homomorphism from $R\Gamma \otimes_R \mathcal{M}$ onto itself. Suppose that $\ker(\varphi) \neq 0$. By Lemma 4.6 we can find an ordinal α such that $T_\alpha(\ker(\varphi)) \neq \ker(\varphi)$ and $T_{\alpha+1}(\ker(\varphi)) = \ker(\varphi)$. By Lemma 4.7 we have $\varphi(T_{\alpha+1}(R\Gamma \otimes_R \mathcal{M})) = T_{\alpha+1}(R\Gamma \otimes_R \mathcal{M})$. But $T_{\alpha+1}(R\Gamma \otimes_R \mathcal{M}) = R\Gamma \otimes_R \mathcal{N}$ for $\mathcal{N} := T_{\alpha+1}(\mathcal{M})$. Thus $(R\Gamma \otimes_R \mathcal{N}) / \ker(\varphi) \cong R\Gamma \otimes_R \mathcal{N}$ as $R\Gamma$ -modules.

Let $L := L_\alpha$ be the length function on R -modules defined in Example 2.4. Then we have the sofic mean length $mL_{\Sigma, \omega}$ defined in Definition 3.1. Since L_α takes finite

values on modules in $\mathfrak{A}_{\alpha+1}$, \mathcal{N} is locally L_α -finite. By Theorem 1.1 we have

$$\begin{aligned} \mathrm{mL}_{\Sigma, \omega}(R\Gamma \otimes_R \mathcal{N}) &= \mathrm{mL}_{\Sigma, \omega}(\ker(\varphi)|R\Gamma \otimes_R \mathcal{N}) + \mathrm{mL}_{\Sigma, \omega}((R\Gamma \otimes_R \mathcal{N})/\ker(\varphi)) \\ &= \mathrm{mL}_{\Sigma, \omega}(\ker(\varphi)|R\Gamma \otimes_R \mathcal{N}) + \mathrm{mL}_{\Sigma, \omega}(R\Gamma \otimes_R \mathcal{N}). \end{aligned}$$

Since \mathcal{M} is Noetherian, \mathcal{N} is finitely generated. Thus $\mathcal{N} \in \mathfrak{A}_{\alpha+1}$, and hence $L_\alpha(\mathcal{N}) < +\infty$. By Proposition 3.5 we have $\mathrm{mL}_{\Sigma, \omega}(R\Gamma \otimes_R \mathcal{N}) = L_\alpha(\mathcal{N}) < +\infty$. Therefore $\mathrm{mL}_{\Sigma, \omega}(\ker(\varphi)|R\Gamma \otimes_R \mathcal{N}) = 0$. By Proposition 3.5 we get $L_\alpha(\ker(\varphi)) = 0$.

Since $T_\alpha(\ker(\varphi)) \neq \ker(\varphi)$, we can find some $x \in \ker(\varphi)$ with $Rx \notin \mathfrak{A}_\alpha$. Then $L_\alpha(\ker(\varphi)) \geq L_\alpha(Rx) > 0$, which is a contradiction. Therefore φ must be injective. \square

5. AMENABLE GROUP CASE FOR MEAN LENGTH

In this section we consider the amenable group case for the sofic mean length and prove Theorem 5.1. Throughout this section, we let Γ be a discrete amenable group.

We recall first the definition of mean length for amenable groups. For any locally L -finite $R\Gamma$ -module \mathcal{M} , any $\mathcal{A} \in \mathcal{F}(\mathcal{M})$, and any $F \subseteq \Gamma$, we set $\mathcal{A}^F = \sum_{s \in F} s^{-1} \mathcal{A}$. For any $t \in \Gamma$ and $F \in \mathcal{F}(\Gamma)$ we have $L(\mathcal{A}^{Ft}) = L(\mathcal{A}^F)$. For any $F_1, F_2 \in \mathcal{F}(\Gamma)$, noting that $\mathcal{A}^{F_1 \cap F_2}$ is isomorphic to an R -submodule of the kernel of the natural surjective R -module homomorphism $\mathcal{A}^{F_1} \oplus \mathcal{A}^{F_2} \rightarrow \mathcal{A}^{F_1 \cup F_2}$ we have

$$L(\mathcal{A}^{F_1 \cup F_2}) + L(\mathcal{A}^{F_1 \cap F_2}) \leq L(\mathcal{A}^{F_1} \oplus \mathcal{A}^{F_2}) = L(\mathcal{A}^{F_1}) + L(\mathcal{A}^{F_2}).$$

Thus the limit $\lim_F \frac{L(\mathcal{A}^F)}{|F|}$ as $F \in \mathcal{F}(\Gamma)$ becomes more and more left invariant exists and is equal to $\inf_{F \in \mathcal{F}(\Gamma) \setminus \{\emptyset\}} \frac{L(\mathcal{A}^F)}{|F|}$ [39, Lemma 3.3], which we denote by $\mathrm{mL}(\mathcal{A})$. That is, for any $\varepsilon > 0$, there exist a nonempty $K \in \mathcal{F}(\Gamma)$ and a $\delta > 0$ such that for any nonempty $F \in \mathcal{F}(\Gamma)$ with $|KF \setminus F| < \delta|F|$, one has $\left| \frac{L(\mathcal{A}^F)}{|F|} - \mathrm{mL}(\mathcal{A}) \right| < \varepsilon$. Then the *mean length* of \mathcal{M} is defined as

$$\mathrm{mL}(\mathcal{M}) := \sup_{\mathcal{A} \in \mathcal{F}(\mathcal{M})} \mathrm{mL}(\mathcal{A}).$$

This mean length $\mathrm{mL}(\mathcal{M})$ was studied first in [58, 60] for the case $\Gamma = \mathbb{Z}$ and L is discrete in the sense that the set of finite values of L is order isomorphic to \mathbb{N} , then in [38] for general amenable groups assuming $L(R) < +\infty$, and also in [69] for general amenable groups assuming L is discrete.

Theorem 5.1. *For any locally L -finite $R\Gamma$ -module \mathcal{M} and any $\mathcal{A} \in \mathcal{F}(\mathcal{M})$, we have*

$$\mathrm{mL}_{\Sigma, \omega}(\mathcal{A}|\mathcal{M}) = \mathrm{mL}(\mathcal{A}).$$

If particular, for any $R\Gamma$ -modules $\mathcal{M}_1 \subseteq \mathcal{M}_2$ such that \mathcal{M}_2 is locally L -finite, we have

$$\mathrm{mL}_{\Sigma, \omega}(\mathcal{M}_1|\mathcal{M}_2) = \mathrm{mL}(\mathcal{M}_1).$$

The following lemma is [32, Lemma 4.6]. We need it a few times.

Lemma 5.2. *For any $K \in \mathcal{F}(\Gamma)$ and $0 < \varepsilon < 1/2$, there are $\ell \in \mathbb{N}$ and nonempty $F_1, \dots, F_\ell \in \mathcal{F}(\Gamma)$ with $|KF_k \setminus F_k| < \varepsilon|F_k|$ for all $1 \leq k \leq \ell$ such that, for any good enough sofic approximation $\sigma : \Gamma \rightarrow \text{Sym}(d)$ for Γ and any $\mathcal{W} \subseteq [d]$ with $|\mathcal{W}| \geq (1 - \varepsilon)d$, there exist $\mathcal{C}_1, \dots, \mathcal{C}_\ell \subseteq \mathcal{W}$ such that*

- (1) *for every $k = 1, \dots, \ell$, the map $(s, c) \mapsto sc$ from $F_k \times \mathcal{C}_k$ to $[d]$ is injective,*
- (2) *the family $\{F_1\mathcal{C}_1, \dots, F_\ell\mathcal{C}_\ell\}$ is disjoint and $|\bigcup_{1 \leq k \leq \ell} F_k\mathcal{C}_k| \geq (1 - 2\varepsilon)d$.*

Theorem 5.1 follows from Lemmas 5.3 and 5.4 below.

Lemma 5.3. *For any locally L-finite $R\Gamma$ -module \mathcal{M} and any $\mathcal{A} \in \mathcal{F}(\mathcal{M})$, we have $\text{mL}_{\Sigma, \omega}(\mathcal{A}|\mathcal{M}) \geq \text{mL}(\mathcal{A})$.*

Proof. Let $\mathcal{B} \in \mathcal{F}(\mathcal{M})$ and $K \in \mathcal{F}(\Gamma)$.

Take $0 < \varepsilon < 1/2$. Then we have ℓ and F_1, \dots, F_ℓ in Lemma 5.2.

Let $\sigma : \Gamma \rightarrow \text{Sym}(d)$ be a good enough sofic approximation for Γ such that $|\mathcal{W}| > (1 - \varepsilon)d$ for

$$\mathcal{W} := \{v \in [d] : s(tv) = (st)v \text{ for all } s \in K \text{ and } t \in \bigcup_{k=1}^{\ell} F_k\}.$$

Then we have $\mathcal{C}_1, \dots, \mathcal{C}_\ell$ as in Lemma 5.2. Denote by \mathcal{L} the set of all (k, c) such that $k \in \{1, \dots, \ell\}$ and $c \in \mathcal{C}_k$.

Let $1 \leq k \leq \ell$. Set $F'_k = \{t \in F_k : Kt \subseteq F_k\}$. Then $|F_k \setminus F'_k| \leq |K| \cdot |KF_k \setminus F_k| < \varepsilon|F_k| \cdot |K|$. For each $c \in \mathcal{C}_k$, denote by $\mathcal{M}(\mathcal{B}, k, c)$ the R -submodule of \mathcal{M}^d generated by $\delta_{tc}b - \delta_{stc}sb$ for $t \in F'_k$, $s \in K$ and $b \in \mathcal{B}$.

Denote by \mathcal{M}^\dagger the R -submodule of \mathcal{M}^d generated by $\delta_vb - \delta_{sv}sb$ for $v \in [d] \setminus \bigcup_{k=1}^{\ell} F'_k\mathcal{C}_k$, $s \in K$ and $b \in \mathcal{B}$. Set $\mathcal{M} = \sum_{(k,c) \in \mathcal{L}} \mathcal{M}(\mathcal{B}, k, c)$. Then $\mathcal{M}(\mathcal{B}, K, \sigma) = \mathcal{M} + \mathcal{M}^\dagger$.

Note that

$$\begin{aligned} \left| [d] \setminus \bigcup_{1 \leq k \leq \ell} F'_k\mathcal{C}_k \right| &= \left| [d] \setminus \bigcup_{1 \leq k \leq \ell} F_k\mathcal{C}_k \right| + \left| \bigcup_{1 \leq k \leq \ell} (F_k \setminus F'_k)\mathcal{C}_k \right| \\ &\leq 2\varepsilon d + \sum_{1 \leq k \leq \ell} |F_k \setminus F'_k| \cdot |\mathcal{C}_k| \\ &\leq 2\varepsilon d + \sum_{1 \leq k \leq \ell} \varepsilon|F_k| \cdot |K| \cdot |\mathcal{C}_k| \\ &= 2\varepsilon d + \varepsilon|K| \cdot \left| \bigcup_{1 \leq k \leq \ell} F_k\mathcal{C}_k \right| \\ &\leq 2\varepsilon d + \varepsilon|K|d. \end{aligned}$$

Denote by ϕ the R -module homomorphism $\mathcal{M}^d \rightarrow \bigoplus_{(k,c) \in \mathcal{L}} \mathcal{M}$ such that $\phi(\delta_v\mathcal{M}) = 0$ for all $v \in [d] \setminus \bigcup_{k=1}^{\ell} F_k\mathcal{C}_k$ and $\phi(\delta_{tc}tx)$ takes value x at (k, c) and 0 everywhere else for all $(k, c) \in \mathcal{L}$, $t \in F_k$ and $x \in \mathcal{M}$. Note that $\phi(\mathcal{A}^d) = \bigoplus_{(k,c) \in \mathcal{L}} \mathcal{A}^{F_k}$ and

$\phi(\mathcal{M}) = 0$. Thus

$$\begin{aligned}
L(\mathcal{M}(\mathcal{A}, \mathcal{B}, K, \sigma)) &= L((\mathcal{A}^d + \mathcal{M}(\mathcal{B}, K, \sigma))/\mathcal{M}(\mathcal{B}, K, \sigma)) \\
&\geq L(\phi(\mathcal{A}^d + \mathcal{M}(\mathcal{B}, K, \sigma))/\phi(\mathcal{M}(\mathcal{B}, K, \sigma))) \\
&= L((\bigoplus_{(k,c) \in \mathcal{L}} \mathcal{A}^{F_k} + \phi(\mathcal{M}^\dagger))/\phi(\mathcal{M}^\dagger)) \\
&= L(\bigoplus_{(k,c) \in \mathcal{L}} \mathcal{A}^{F_k} + \phi(\mathcal{M}^\dagger)) - L(\phi(\mathcal{M}^\dagger)) \\
&\geq L(\bigoplus_{(k,c) \in \mathcal{L}} \mathcal{A}^{F_k}) - L(\mathcal{M}^\dagger) \\
&\geq \sum_{(k,c) \in \mathcal{L}} L(\mathcal{A}^{F_k}) - \left| [d] \setminus \bigcup_{1 \leq k \leq \ell} F'_k \mathcal{C}_k \right| \cdot |K| \cdot L(\mathcal{B}) \\
&\geq \sum_{(k,c) \in \mathcal{L}} |F_k| \text{mL}(\mathcal{A}) - (2 + |K|)\varepsilon d \cdot |K| \cdot L(\mathcal{B}) \\
&= \left| \bigcup_{1 \leq k \leq \ell} F_k \mathcal{C}_k \right| \text{mL}(\mathcal{A}) - (2 + |K|)\varepsilon d \cdot |K| \cdot L(\mathcal{B}) \\
&\geq d(1 - 2\varepsilon) \text{mL}(\mathcal{A}) - (2 + |K|)\varepsilon d \cdot |K| \cdot L(\mathcal{B}).
\end{aligned}$$

Therefore

$$\text{mL}_{\Sigma, \omega}(\mathcal{A}|\mathcal{B}, K) \geq (1 - 2\varepsilon) \text{mL}(\mathcal{A}) - (2 + |K|)\varepsilon \cdot |K| \cdot L(\mathcal{B}).$$

Letting $\varepsilon \rightarrow 0$, we get $\text{mL}_{\Sigma, \omega}(\mathcal{A}|\mathcal{B}, K) \geq \text{mL}(\mathcal{A})$. Since $\mathcal{B} \in \mathcal{F}(\mathcal{M})$ and $K \in \mathcal{F}(\Gamma)$ are arbitrary, we conclude that $\text{mL}_{\Sigma, \omega}(\mathcal{A}|\mathcal{M}) \geq \text{mL}(\mathcal{A})$. \square

Lemma 5.4. *For any $R\Gamma$ -module \mathcal{M} and any $\mathcal{A} \in \mathcal{F}(\mathcal{M})$ with $L(\mathcal{A}) < +\infty$, we have $\text{mL}_{\Sigma, \omega}(\mathcal{A}|\mathcal{M}) \leq \text{mL}(\mathcal{A})$.*

Proof. Let $\delta > 0$. There exist nonempty $K \in \mathcal{F}(\Gamma)$ and $0 < \varepsilon < 1/2$ such that for any nonempty $F \in \mathcal{F}(\Gamma)$ with $|KF \setminus F| < \varepsilon|F|$, one has $L(\mathcal{A}^F) \leq |F|(\text{mL}(\mathcal{A}) + \delta)$. Then we have ℓ and F_1, \dots, F_ℓ in Lemma 5.2. In particular,

$$L(\mathcal{A}^{F_k}) \leq |F_k|(\text{mL}(\mathcal{A}) + \delta)$$

for all $1 \leq k \leq \ell$.

Set $F = \bigcup_{k=1}^\ell F_k \in \mathcal{F}(\Gamma)$ and $\mathcal{B} = \mathcal{A}^F \in \mathcal{F}(\mathcal{M})$.

Let $\sigma : \Gamma \rightarrow \text{Sym}(d)$ be a good enough sofic approximation for Γ , and set $\mathcal{W} = [d]$. Then we have $\mathcal{C}_1, \dots, \mathcal{C}_\ell$ as in Lemma 5.2. Denote by φ the quotient map $\mathcal{M}^d \rightarrow \mathcal{M}^d/\mathcal{M}(\mathcal{B}, F, \sigma)$. Set $\mathcal{A}' = \sum_{v \in [d] \setminus \bigcup_{k=1}^\ell F_k \mathcal{C}_k} \delta_v \mathcal{A}$. Let $1 \leq k \leq \ell$ and $c \in \mathcal{C}_k$. Set $\mathcal{A}^\#(k, c) = \delta_c(\mathcal{A}^{F_k})$. Then $\mathcal{A}^\#(k, c)$ is isomorphic to \mathcal{A}^{F_k} as R -modules. For any $s \in F_k$ and $a \in \mathcal{A}$, we have

$$\varphi(\delta_{sc}a) = \varphi(\delta_c s^{-1}a) \in \varphi(\mathcal{A}^\#(k, c)).$$

Thus

$$\mathcal{M}(\mathcal{A}, \mathcal{B}, F, \sigma) \subseteq \varphi(\mathcal{A}') + \sum_{1 \leq k \leq \ell} \sum_{c \in \mathcal{C}_k} \varphi(\mathcal{A}^\sharp(k, c)).$$

Therefore

$$\begin{aligned} L(\mathcal{M}(\mathcal{A}, \mathcal{B}, F, \sigma)) &\leq L(\varphi(\mathcal{A}') + \sum_{1 \leq k \leq \ell} \sum_{c \in \mathcal{C}_k} \varphi(\mathcal{A}^\sharp(k, c))) \\ &\leq L(\mathcal{A}') + \sum_{1 \leq k \leq \ell} \sum_{c \in \mathcal{C}_k} L(\mathcal{A}^\sharp(k, c)) \\ &\leq L(\mathcal{A}) \cdot \left| [d] \setminus \bigcup_{1 \leq k \leq \ell} F_k \mathcal{C}_k \right| + \sum_{1 \leq k \leq \ell} |\mathcal{C}_k| \cdot L(\mathcal{A}^{F_k}) \\ &\leq 2L(\mathcal{A})d\varepsilon + \sum_{1 \leq k \leq \ell} |\mathcal{C}_k| \cdot |F_k| \cdot (mL(\mathcal{A}) + \delta) \\ &\leq 2L(\mathcal{A})d\varepsilon + d \cdot (mL(\mathcal{A}) + \delta). \end{aligned}$$

Thus

$$mL_{\Sigma, \omega}(\mathcal{A}|\mathcal{M}) \leq mL_{\Sigma, \omega}(\mathcal{A}|\mathcal{B}, F) \leq 2L(\mathcal{A})\varepsilon + mL(\mathcal{A}) + \delta.$$

Letting $\varepsilon \rightarrow 0$ and then $\delta \rightarrow 0$, we get $mL_{\Sigma, \omega}(\mathcal{A}|\mathcal{M}) \leq mL(\mathcal{A})$. \square

6. FINITELY GENERATED SUBMODULES OF FREE MODULES

In this section we give a formula for the mean length of a finitely generated $R\Gamma$ -module relative to a free $R\Gamma$ -module.

Let σ be a map from Γ to $\text{Sym}(d)$ for some $d \in \mathbb{N}$. For each $f \in R\Gamma$, we define an R -module homomorphism $\bar{\sigma}_f : R^d \rightarrow R^d$ by

$$(3) \quad (\bar{\sigma}_f(w))_v = \sum_{s \in \Gamma} w_{sv} f_s$$

for all $v \in [d]$. For any $m, n \in \mathbb{N}$ and $f \in M_{m,n}(R\Gamma)$, we then define an R -module homomorphism $\bar{\sigma}_f : (R^d)^{1 \times m} \rightarrow (R^d)^{1 \times n}$ by

$$(4) \quad \bar{\sigma}_f = (\bar{\sigma}_{f_{k,j}})_{1 \leq k \leq m, 1 \leq j \leq n} \in M_{m,n}(\text{End}_R(R^d)).$$

Proposition 6.1. *Let $f \in M_{m,n}(R\Gamma)$ for some $m, n \in \mathbb{N}$. Set $\mathcal{M}_1 = (R\Gamma)^{1 \times m} f$ and $\mathcal{M}_2 = (R\Gamma)^{1 \times n}$. Suppose that $L(R) < \infty$. Then*

$$mL_{\Sigma, \omega}(\mathcal{M}_1|\mathcal{M}_2) = \lim_{i \rightarrow \omega} \frac{L(\text{im } \bar{\sigma}_{i,f})}{d_i}.$$

Proof. Denote by A the set of all rows of f , and by \mathcal{A} the R -submodule of \mathcal{M}_1 generated by A . Then \mathcal{A} generates \mathcal{M}_1 as an $R\Gamma$ -module. By Lemma 3.3 we have $mL_{\Sigma, \omega}(\mathcal{M}_1|\mathcal{M}_2) = mL_{\Sigma, \omega}(\mathcal{A}|\mathcal{M}_2)$.

Denote by F' the union of the supports of elements in A (as finite subsets of Γ). Let e_1, \dots, e_n be the standard basis of \mathcal{M}_2 . Denote by $\tilde{\mathcal{A}}$ the R -submodule of \mathcal{M}_2 generated by e_1, \dots, e_n . Let $\mathcal{B} \in \mathcal{F}(\mathcal{M}_2)$ contain $\tilde{\mathcal{A}}$, and $F \in \mathcal{F}(\Gamma)$ contain F' . Let σ be a map from Γ to $\text{Sym}(d)$ for some $d \in \mathbb{N}$. Denote by φ the quotient map

$\mathcal{M}_2^d \rightarrow \mathcal{M}_2^d / \mathcal{M}(\mathcal{B}, F, \sigma)$. For each $1 \leq k \leq m$, denote by f_k the k -th row of f , and write it as $\sum_{j=1}^n (\sum_{s \in \Gamma} f_{k,j,s} s) e_j$ with $f_{k,j,s} \in R$. Define a surjective R -module homomorphism $\phi : (R^d)^{1 \times m} \rightarrow \mathcal{A}^d$ by

$$\phi((w_{k,v})_{1 \leq k \leq m, v \in [d]}) = \sum_{k=1}^m \sum_{v \in [d]} w_{k,v} \delta_v f_k.$$

Also define an R -module isomorphism ψ from $(R^d)^{1 \times n}$ to \mathcal{A}^d sending $(w_{j,v})_{1 \leq j \leq n, v \in [d]}$ to $\sum_{j=1}^n \sum_{v \in [d]} w_{j,v} \delta_v e_j$. Let $w = (w_{k,v})_{1 \leq k \leq m, v \in [d]} \in (R^d)^{1 \times m}$. We have

$$\begin{aligned} \varphi(\phi(w)) &= \varphi\left(\sum_{k=1}^m \sum_{v \in [d]} w_{k,v} \delta_v f_k\right) \\ &= \sum_{k=1}^m \sum_{v \in [d]} \sum_{j=1}^n \sum_{s \in F'} w_{k,v} f_{k,j,s} \varphi(\delta_v s e_j) \\ &= \sum_{k=1}^m \sum_{v \in [d]} \sum_{j=1}^n \sum_{s \in F'} w_{k,v} f_{k,j,s} \varphi(\delta_{\sigma_s^{-1}(v)} e_j) \\ &= \sum_{k=1}^m \sum_{v \in [d]} \sum_{j=1}^n \sum_{s \in \Gamma} w_{k,sv} f_{k,j,s} \varphi(\delta_v e_j) \\ &= \varphi\left(\sum_{k=1}^m \sum_{v \in [d]} \sum_{j=1}^n \sum_{s \in \Gamma} w_{k,sv} f_{k,j,s} \delta_v e_j\right). \end{aligned}$$

For any $1 \leq j \leq n$ and $v \in [d]$, we have

$$(\bar{\sigma}_f(w))_{j,v} = \sum_{k=1}^m (\bar{\sigma}_{f_{k,j}}(w_k))_v = \sum_{k=1}^m \sum_{s \in \Gamma} w_{k,sv} f_{k,j,s},$$

thus

$$\psi(\bar{\sigma}_f(w)) = \sum_{j=1}^n \sum_{v \in [d]} \sum_{k=1}^m \sum_{s \in \Gamma} w_{k,sv} f_{k,j,s} \delta_v e_j.$$

Therefore

$$\varphi(\phi(w)) = \varphi(\psi(\bar{\sigma}_f(w))).$$

Then

(5)

$$\mathcal{M}(\mathcal{A}, \mathcal{B}, F, \sigma) = \varphi(\mathcal{A}^d) = \varphi(\phi((R^d)^{1 \times m})) = \varphi(\psi(\bar{\sigma}_f((R^d)^{1 \times m}))) = \varphi(\psi(\text{im } \bar{\sigma}_f)).$$

From (5) we have

$$L(\mathcal{M}(\mathcal{A}, \mathcal{B}, F, \sigma)) = L(\varphi(\psi(\text{im } \bar{\sigma}_f))) \leq L(\text{im } \bar{\sigma}_f),$$

whence

$$(6) \quad \text{mL}_{\Sigma, \omega}(\mathcal{A} | \mathcal{B}, F) \leq \lim_{i \rightarrow \omega} \frac{L(\text{im } \bar{\sigma}_{i,f})}{d_i}.$$

From (5) we also have

$$\begin{aligned}
L(\mathcal{M}(\mathcal{A}, \mathcal{B}, F, \sigma)) &= L(\psi(\text{im}\bar{\sigma}_f)) - L(\psi(\text{im}\bar{\sigma}_f) \cap \mathcal{M}(\mathcal{B}, F, \sigma)) \\
&\geq L(\text{im}\bar{\sigma}_f) - L(\mathcal{A}^d \cap \mathcal{M}(\mathcal{B}, F, \sigma)) \\
&= L(\text{im}\bar{\sigma}_f) - L(\mathcal{A}^d) + L(\mathcal{M}(\mathcal{A}, \mathcal{B}, F, \sigma)) \\
&= L(\text{im}\bar{\sigma}_f) - ndL(R) + L(\mathcal{M}(\mathcal{A}, \mathcal{B}, F, \sigma)),
\end{aligned}$$

and hence

$$mL_{\Sigma, \omega}(\mathcal{A}|\mathcal{B}, F) \geq \lim_{i \rightarrow \omega} \frac{L(\text{im}\bar{\sigma}_{i,f})}{d_i} - nL(R) + mL_{\Sigma, \omega}(\mathcal{A}|\mathcal{B}, F).$$

Note that \mathcal{A} generates $\mathcal{M}_2 = R\Gamma \otimes_R R^n$ as an $R\Gamma$ -module. Thus by Lemma 3.3 and Proposition 3.5 we have $mL_{\Sigma, \omega}(\mathcal{A}|\mathcal{M}_2) = mL_{\Sigma, \omega}(\mathcal{M}_2) = nL(R)$. Therefore $mL(\mathcal{A}|\mathcal{B}, F) \geq nL(R)$, whence

$$(7) \quad mL_{\Sigma, \omega}(\mathcal{A}|\mathcal{B}, F) \geq \lim_{i \rightarrow \omega} \frac{L(\text{im}\bar{\sigma}_{i,f})}{d_i}.$$

Combining (6) and (7) we get $mL_{\Sigma, \omega}(\mathcal{A}|\mathcal{B}, F) = \lim_{i \rightarrow \omega} \frac{L(\text{im}\bar{\sigma}_{i,f})}{d_i}$. By Lemma 3.3 we get $mL_{\Sigma, \omega}(\mathcal{M}_1|\mathcal{M}_2) = mL_{\Sigma, \omega}(\mathcal{A}|\mathcal{M}_2) = \lim_{i \rightarrow \omega} \frac{L(\text{im}\bar{\sigma}_{i,f})}{d_i}$. \square

From Propositions 6.1 and 3.5, and Theorem 1.1 we have the following consequence.

Corollary 6.2. *Let Γ' be a subgroup of Γ and denote by Σ' the restriction of Σ to Γ' . Let $f \in M_{m,n}(R\Gamma')$ for some $m, n \in \mathbb{N}$. Suppose that $L(R) < \infty$. Then*

$$mL_{\Sigma, \omega}((R\Gamma)^{1 \times m} f | (R\Gamma)^{1 \times n}) = mL_{\Sigma', \omega}((R\Gamma')^{1 \times m} f | (R\Gamma')^{1 \times n}),$$

and

$$mL_{\Sigma, \omega}((R\Gamma)^{1 \times n} / (R\Gamma)^{1 \times m} f) = mL_{\Sigma', \omega}((R\Gamma')^{1 \times n} / (R\Gamma')^{1 \times m} f).$$

Example 6.3. Suppose that $L(R) = 1$ and $s \in \Gamma$ has infinite order. Then the subgroup Γ' of Γ generated by s is isomorphic to \mathbb{Z} . Clearly $s - 1$ is not a right zero-divisor in $R\Gamma'$, whence $R\Gamma'(s - 1) \cong R\Gamma'$ as $R\Gamma'$ -modules. By Corollary 6.2 and Theorem 5.1 we have

$$mL_{\Sigma, \omega}(R\Gamma(s - 1)|R\Gamma) = mL_{\Sigma', \omega}(R\Gamma'(s - 1)|R\Gamma') = mL(R\Gamma'(s - 1)) = mL(R\Gamma') = 1,$$

and

$$mL_{\Sigma, \omega}(R\Gamma/R\Gamma(s - 1)) = mL_{\Sigma', \omega}(R\Gamma'/R\Gamma'(s - 1)) = mL(R\Gamma') - mL(R\Gamma'(s - 1)) = 0.$$

In particular, we can take Γ to be the free group \mathbb{F}_2 with canonical generators s and t . It is well known that $R\mathbb{F}_2$ has a free submodule with generators $s - 1$ and $t - 1$ [54, Corollary 10.3.7.(iv)]. Thus $R\mathbb{F}_2/R\mathbb{F}_2(s - 1)$ contains an $R\mathbb{F}_2$ -submodule isomorphic to $R\mathbb{F}_2$, while $mL_{\Sigma, \omega}(R\mathbb{F}_2/R\mathbb{F}_2(s - 1)) = 0$.

7. MEAN RANK AND VON NEUMANN-LÜCK RANK

In this section we introduce the relative von Neumann-Lück rank and study its relation with mean length. Throughout this section, we fix R to be a unital subring of \mathbb{C} . As in Example 2.3, we consider the length function on R -modules given by $\text{rk}(\mathcal{M}) = \dim_Q(Q \otimes_R \mathcal{M})$, where Q denotes the fraction field for R . Then we have the relative mean length $\text{mrk}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2)$ defined in Definition 3.1 for all $R\Gamma$ -modules $\mathcal{M}_1 \subseteq \mathcal{M}_2$.

We shall use the notations in Section 2.3. For any $R\Gamma$ -module \mathcal{M} , its *von Neumann-Lück rank* is defined as

$$\text{vrk}(\mathcal{M}) := \dim_{\mathcal{L}\Gamma}(\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}).$$

We shall show that $\text{mrk}_{\Sigma, \omega}(\mathcal{M}) = \text{vrk}(\mathcal{M})$ for any $R\Gamma$ -module \mathcal{M} when R is contained in the algebraic closure $\bar{\mathbb{Q}}$ of \mathbb{Q} . The proof uses the relative mean length $\text{mrk}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2)$. Correspondingly, we must introduce a relative version of the von Neumann-Lück rank.

Definition 7.1. For any $R\Gamma$ -modules $\mathcal{M}_1 \subseteq \mathcal{M}_2$, denoting by ϕ the natural map $\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_1 \rightarrow \mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_2$, we define the *von Neumann-Lück rank of \mathcal{M}_1 relative to \mathcal{M}_2* as

$$\text{vrk}(\mathcal{M}_1 | \mathcal{M}_2) := \dim_{\mathcal{L}\Gamma} \phi(\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_1).$$

Note that when $\mathcal{M}_1 = \mathcal{M}_2$, we have $\text{vrk}(\mathcal{M}_1 | \mathcal{M}_2) = \text{vrk}(\mathcal{M}_1)$. Now we can state our main result of this section.

Theorem 7.2. *Suppose that $R \subseteq \bar{\mathbb{Q}}$. For any $R\Gamma$ -modules $\mathcal{M}_1 \subseteq \mathcal{M}_2$, we have*

$$\text{mrk}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2) = \text{vrk}(\mathcal{M}_1 | \mathcal{M}_2).$$

In particular, for any $R\Gamma$ -module \mathcal{M} we have $\text{mrk}_{\Sigma, \omega}(\mathcal{M}) = \text{vrk}(\mathcal{M})$.

From Theorem 7.2 and Proposition 3.6 we have the following application to the von Neumann-Lück rank.

Corollary 7.3. *Suppose that Γ is infinite and $R \subseteq \bar{\mathbb{Q}}$. Let \mathcal{M} be an $R\Gamma$ -module with $\text{rk}(\mathcal{M}) < +\infty$. Then $\text{vrk}(\mathcal{M}) = 0$.*

For any $m, n \in \mathbb{N}$ and $f \in M_{m, n}(R\Gamma)$, denote by $\ker f$ the kernel of the bounded linear operator $M_f : (\ell^2(\Gamma))^{n \times 1} \rightarrow (\ell^2(\Gamma))^{m \times 1}$ sending z to fz , and by P_f the orthogonal projection from $(\ell^2(\Gamma))^{n \times 1}$ onto $\ker f$. Taking direct sum of the right regular representation r of Γ on $\ell^2(\Gamma)$, we get the unitary representations $r^{\oplus n}$ and $r^{\oplus m}$ of Γ on $(\ell^2(\Gamma))^{n \times 1}$ and $(\ell^2(\Gamma))^{m \times 1}$ respectively. Clearly $M_f \circ r_s^{\oplus n} = r_s^{\oplus m} \circ M_f$ for every $s \in \Gamma$. Thus $r_s^{\oplus n}(\ker f) = \ker f$, whence P_f commutes with $r_s^{\oplus n}$ for every $s \in \Gamma$. It follows that $P_f \in M_n(\mathcal{L}\Gamma)$.

Lemma 7.4. *Suppose that $R \subseteq \bar{\mathbb{Q}}$. Let $f \in M_{m, n}(R\Gamma)$ for some $m, n \in \mathbb{N}$. Then*

$$\lim_{i \rightarrow \omega} \frac{\text{rk}(\text{im } \bar{\sigma}_{i, f})}{d_i} = n - \text{tr}_{\mathcal{L}\Gamma} P_f,$$

where $\bar{\sigma}_{i,f}$ is defined in (4).

Proof. Let σ be a map from Γ to $\text{Sym}(d)$ for some $d \in \mathbb{N}$. The formulas (3) and (4) in fact define \mathbb{C} -linear maps $\mathbb{C}^d \rightarrow \mathbb{C}^d$ and $(\mathbb{C}^d)^{1 \times m} \rightarrow (\mathbb{C}^d)^{1 \times n}$ respectively. We still denote the latter by $\bar{\sigma}_f$. Then

$$\text{rk}(\bar{\sigma}_f((R^d)^{1 \times m})) = \dim_{\mathbb{C}}(\bar{\sigma}_f((\mathbb{C}^d)^{1 \times m})).$$

For each $s \in \Gamma$, the permutation σ_s induces a linear isomorphism $\mathbb{C}^d \rightarrow \mathbb{C}^d$, which we still denote by σ_s . Explicitly, $(\sigma_s w)_{sv} = w_v$ for all $w \in \mathbb{C}^d$ and $v \in [d]$. We extend this map $\Gamma \rightarrow \text{End}_{\mathbb{C}}(\mathbb{C}^d)$ linearly to $R\Gamma \rightarrow \text{End}_{\mathbb{C}}(\mathbb{C}^d)$, and denote the image of $h \in R\Gamma$ by σ_h . Explicitly,

$$(\sigma_h w)_v = \sum_{s \in \Gamma, v' \in [d], sv' = v} h_s w_{v'}$$

for all $h \in R\Gamma$, $w \in \mathbb{C}^d$ and $v \in [d]$. Now we extend the map $R\Gamma \rightarrow \text{End}_{\mathbb{C}}(\mathbb{C}^d)$ to $M_{m,n}(R\Gamma) \rightarrow M_{m,n}(\text{End}_{\mathbb{C}}(\mathbb{C}^d)) = \text{Hom}_{\mathbb{C}}((\mathbb{C}^d)^{n \times 1}, (\mathbb{C}^d)^{m \times 1})$, and still denote the image of $h \in M_{m,n}(R\Gamma)$ by σ_h . Explicitly, for any $h \in M_{m,n}(R\Gamma)$ and $w \in (\mathbb{C}^d)^{n \times 1}$, one has

$$(\sigma_h w)_k = \sum_{j=1}^n \sigma_{h_{k,j}} w_j$$

for all $1 \leq k \leq m$, and thus

$$(\sigma_h w)_{k,v} = \left(\sum_{j=1}^n \sigma_{h_{k,j}} w_j \right)_v = \sum_{j=1}^n (\sigma_{h_{k,j}} w_j)_v = \sum_{j=1}^n \sum_{s \in \Gamma, v' \in [d], sv' = v} h_{k,j,s} w_{j,v'}$$

for all $1 \leq k \leq m$ and $v \in [d]$.

We have the canonical bilinear pairing between $(\mathbb{C}^d)^{1 \times n}$ and $(\mathbb{C}^d)^{n \times 1}$ given by

$$\langle w', w \rangle = \sum_{j=1}^n \langle w'_j, w_j \rangle = \sum_{j=1}^n \sum_{v \in [d]} w'_{j,v} w_{j,v}$$

for all $w' \in (\mathbb{C}^d)^{1 \times n}$ and $w \in (\mathbb{C}^d)^{n \times 1}$, and similarly the pairing between $(\mathbb{C}^d)^{1 \times m}$ and $(\mathbb{C}^d)^{m \times 1}$. For any $u \in (\mathbb{C}^d)^{1 \times m}$ and $w \in (\mathbb{C}^d)^{n \times 1}$, we have

$$(\bar{\sigma}_f u)_{j,v} = \left(\sum_{k=1}^m \bar{\sigma}_{f_{k,j}}(u_k) \right)_v = \sum_{k=1}^m \sum_{s \in \Gamma} u_{k,sv} f_{k,j,s}$$

for all $1 \leq j \leq n$ and $v \in [d]$, and hence

$$\begin{aligned} \langle \bar{\sigma}_f u, w \rangle &= \sum_{j=1}^n \sum_{v \in [d]} (\bar{\sigma}_f(u))_{j,v} w_{j,v} \\ &= \sum_{j=1}^n \sum_{v \in [d]} \sum_{k=1}^m \sum_{s \in \Gamma} u_{k,sv} f_{k,j,s} w_{j,v} \end{aligned}$$

$$\begin{aligned}
&= \sum_{j=1}^n \sum_{k=1}^m \sum_{v \in [d]} \sum_{s \in \Gamma, v' \in [d], sv'=v} u_{k,v} f_{k,j,s} w_{j,v'} \\
&= \sum_{k=1}^m \sum_{v \in [d]} u_{k,v} (\sigma_f w)_{k,v} \\
&= \langle u, \sigma_f w \rangle.
\end{aligned}$$

Thus $\langle \bar{\sigma}_f((\mathbb{C}^d)^{1 \times m}), w \rangle = 0$ iff $\sigma_f w = 0$. Therefore $\ker \sigma_f$ is naturally the dual vector space of $(\mathbb{C}^d)^{1 \times n} / \bar{\sigma}_f((\mathbb{C}^d)^{1 \times m})$. It follows that

$$\begin{aligned}
\dim_{\mathbb{C}}(\ker \sigma_f) &= \dim_{\mathbb{C}}((\mathbb{C}^d)^{1 \times n} / \bar{\sigma}_f((\mathbb{C}^d)^{1 \times m})) \\
&= dn - \dim_{\mathbb{C}}(\bar{\sigma}_f((\mathbb{C}^d)^{1 \times m})) \\
&= dn - \text{rk}(\bar{\sigma}_f((R^d)^{1 \times m})).
\end{aligned}$$

Since $R \subseteq \bar{\mathbb{Q}}$, by [62, Theorem 4.3.(iv)] we have

$$\text{tr}_{\mathcal{L}\Gamma} P_f = \lim_{i \rightarrow \omega} \frac{\dim_{\mathbb{C}}(\ker \sigma_{i,f})}{d_i}.$$

It follows that

$$\text{tr}_{\mathcal{L}\Gamma} P_f = n - \lim_{i \rightarrow \omega} \frac{\text{rk}(\bar{\sigma}_{i,f}((R^{d_i})^{1 \times m}))}{d_i}.$$

□

The following lemma is [38, Lemma 5.4], which was stated only for the case $R = \mathbb{Z}$, but whose proof works for any unital subring R of \mathbb{C} .

Lemma 7.5. *For any (not necessarily sofic) group Γ , any $m, n \in \mathbb{N}$ and any $f \in M_{m,n}(R\Gamma)$, setting $\mathcal{M} = (R\Gamma)^{1 \times n} / (R\Gamma)^{1 \times m} f$, one has*

$$\text{tr}_{\mathcal{L}\Gamma} P_f = \text{vrk}(\mathcal{M}).$$

Lemma 7.6. *Suppose that $R \subseteq \bar{\mathbb{Q}}$. For any finitely presented $R\Gamma$ -module \mathcal{M} , one has*

$$\text{mrk}_{\Sigma, \omega}(\mathcal{M}) = \text{vrk}(\mathcal{M}).$$

Proof. We have $\mathcal{M} = (R\Gamma)^{1 \times n} / (R\Gamma)^{1 \times m} f$ for some $m, n \in \mathbb{N}$ and $f \in M_{m,n}(R\Gamma)$. Set $\mathcal{M}_1 = (R\Gamma)^{1 \times m} f$ and $\mathcal{M}_2 = (R\Gamma)^{1 \times n}$. From Proposition 6.1 and Lemmas 7.4 and 7.5 we get

$$\text{mrk}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2) = n - \text{tr}_{\mathcal{L}\Gamma} P_f = n - \text{vrk}(\mathcal{M}).$$

By Proposition 3.5 and Theorem 1.1 we have

$$\begin{aligned}
n &= \text{mrk}_{\Sigma, \omega}(\mathcal{M}_2) \\
&= \text{mrk}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2) + \text{mrk}_{\Sigma, \omega}(\mathcal{M}) \\
&= n - \text{vrk}(\mathcal{M}) + \text{mrk}_{\Sigma, \omega}(\mathcal{M}).
\end{aligned}$$

Thus $\text{mrk}_{\Sigma, \omega}(\mathcal{M}) = \text{vrk}(\mathcal{M})$. □

Lemma 7.7. *Let \mathcal{R} be a unital ring. Suppose that for any \mathcal{R} -modules $\mathcal{M}_1 \subseteq \mathcal{M}_2$ we assign a value $L(\mathcal{M}_1|\mathcal{M}_2) \in \mathbb{R}_{\geq 0} \cup \{+\infty\}$ with the following properties:*

- (i) *$L(\mathcal{M}_1|\mathcal{M}_2)$ depends only on the isomorphism class of the pair $(\mathcal{M}_1, \mathcal{M}_2)$, i.e. if $\mathcal{M}_1 \subseteq \mathcal{M}_2$ and $\mathcal{M}'_1 \subseteq \mathcal{M}'_2$ are \mathcal{R} -modules and there is an isomorphism $\varphi : \mathcal{M}_2 \rightarrow \mathcal{M}'_2$ with $\varphi(\mathcal{M}_1) = \mathcal{M}'_1$, then $L(\mathcal{M}_1|\mathcal{M}_2) = L(\mathcal{M}'_1|\mathcal{M}'_2)$,*
- (ii) *one has $L(\mathcal{M}_2) = L(\mathcal{M}_1|\mathcal{M}_2) + L(\mathcal{M}_2/\mathcal{M}_1)$, where we set $L(\mathcal{M}) = L(\mathcal{M}|\mathcal{M})$ for all \mathcal{R} -modules \mathcal{M} ,*
- (iii) *$L(\mathcal{R}^n) < +\infty$ for every $n \in \mathbb{N}$,*
- (iv) *if $\{\mathcal{M}'_j\}_{j \in \mathcal{J}}$ is an increasing net of \mathcal{R} -submodules of \mathcal{M}_1 with union \mathcal{M}_1 , then $L(\mathcal{M}'_j|\mathcal{M}_2) \rightarrow L(\mathcal{M}_1|\mathcal{M}_2)$ as $j \rightarrow \infty$,*
- (v) *if \mathcal{M}_1 is a finitely generated \mathcal{R} -module, and $\{\mathcal{M}'_j\}_{j \in \mathcal{J}}$ is an increasing net of \mathcal{R} -submodules of \mathcal{M}_2 containing \mathcal{M}_1 with union \mathcal{M}_2 , then $L(\mathcal{M}_1|\mathcal{M}'_j) \rightarrow L(\mathcal{M}_1|\mathcal{M}_2)$ as $j \rightarrow \infty$.*

Then L is determined by the values $L(\mathcal{M})$ for all finitely presented \mathcal{R} -modules \mathcal{M} .

Proof. We shall give an algorithm to compute $L(\mathcal{M}_1|\mathcal{M}_2)$ for all \mathcal{R} -modules $\mathcal{M}_1 \subseteq \mathcal{M}_2$, given the values of $L(\mathcal{M})$ for all finitely presented \mathcal{R} -modules \mathcal{M} .

Consider first the case \mathcal{M}_2 is a finitely generated free \mathcal{R} -module, and \mathcal{M}_1 is a finitely generated submodule of \mathcal{M}_2 . Then both \mathcal{M}_2 and $\mathcal{M}_2/\mathcal{M}_1$ are finitely presented \mathcal{R} -modules. By the conditions (ii) and (iii), we have $L(\mathcal{M}_1|\mathcal{M}_2) + L(\mathcal{M}_2/\mathcal{M}_1) = L(\mathcal{M}_2) < +\infty$, whence $L(\mathcal{M}_1|\mathcal{M}_2) = L(\mathcal{M}_2) - L(\mathcal{M}_2/\mathcal{M}_1)$ is determined.

Next we consider the case \mathcal{M} is a finitely generated \mathcal{R} -module. We can write \mathcal{M} as $\mathcal{M}_2/\mathcal{M}_1$ for some finitely generated free \mathcal{R} -module \mathcal{M}_2 and some submodule \mathcal{M}_1 of \mathcal{M}_2 . Take an increasing net $\{\mathcal{M}'_j\}_{j \in \mathcal{J}}$ of finitely generated submodules of \mathcal{M}_1 with union \mathcal{M}_1 . We have determined $L(\mathcal{M}'_j|\mathcal{M}_2)$ already. By the condition (iv), $L(\mathcal{M}_1|\mathcal{M}_2) = \lim_{j \rightarrow \infty} L(\mathcal{M}'_j|\mathcal{M}_2)$ is determined. By the conditions (ii) and (iii), we have $L(\mathcal{M}_1|\mathcal{M}_2) + L(\mathcal{M}_2/\mathcal{M}_1) = L(\mathcal{M}_2) < +\infty$, whence $L(\mathcal{M}) = L(\mathcal{M}_2/\mathcal{M}_1) = L(\mathcal{M}_2) - L(\mathcal{M}_1|\mathcal{M}_2) < +\infty$ is determined.

Now we consider the case \mathcal{M}_1 is a finitely generated submodule of some \mathcal{R} -module \mathcal{M}_2 . Take an increasing net $\{\mathcal{M}'_j\}_{j \in \mathcal{J}}$ of finitely generated submodules of \mathcal{M}_2 containing \mathcal{M}_1 with union \mathcal{M}_2 . Then both \mathcal{M}'_j and $\mathcal{M}'_j/\mathcal{M}_1$ are finitely generated, thus we have determined $L(\mathcal{M}'_j)$ and $L(\mathcal{M}'_j/\mathcal{M}_1)$ already and we know that $L(\mathcal{M}'_j/\mathcal{M}_1) < +\infty$. From the condition (ii) we get that $L(\mathcal{M}_1|\mathcal{M}'_j) = L(\mathcal{M}'_j) - L(\mathcal{M}'_j/\mathcal{M}_1)$ is determined. By the condition (v), $L(\mathcal{M}_1|\mathcal{M}_2) = \lim_{j \rightarrow \infty} L(\mathcal{M}_1|\mathcal{M}'_j)$ is determined.

Finally we consider arbitrary \mathcal{R} -modules $\mathcal{M}_1 \subseteq \mathcal{M}_2$. Take an increasing net $\{\mathcal{M}'_j\}_{j \in \mathcal{J}}$ of finitely generated submodules of \mathcal{M}_1 with union \mathcal{M}_1 . We have determined $L(\mathcal{M}'_j|\mathcal{M}_2)$ already. By the condition (iv), $L(\mathcal{M}_1|\mathcal{M}_2) = \lim_{j \rightarrow \infty} L(\mathcal{M}'_j|\mathcal{M}_2)$ is determined. \square

Lemma 7.8. *The von Neumann-Lück rank $\text{vrk}(\mathcal{M}_1|\mathcal{M}_2)$ for $R\Gamma$ -modules $\mathcal{M}_1 \subseteq \mathcal{M}_2$ satisfies the hypotheses of Lemma 7.7.*

Proof. (i). This is obvious.

(ii). Denote by φ the natural map $\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_1 \rightarrow \mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_2$. Since the tensor functor is right exact [1, Proposition 19.13], the sequence

$$\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_1 \rightarrow \mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_2 \rightarrow \mathcal{L}\Gamma \otimes_{R\Gamma} (\mathcal{M}_2/\mathcal{M}_1) \rightarrow 0$$

of $\mathcal{L}\Gamma$ -modules is exact. In other words, we have the short exact sequence

$$0 \rightarrow \varphi(\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_1) \rightarrow \mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_2 \rightarrow \mathcal{L}\Gamma \otimes_{R\Gamma} (\mathcal{M}_2/\mathcal{M}_1) \rightarrow 0$$

of $\mathcal{L}\Gamma$ -modules. Since $\dim_{\mathcal{L}\Gamma}$ is a length function on $\mathcal{L}\Gamma$ -modules, we get

$$\begin{aligned} \text{vrk}(\mathcal{M}_2) &= \dim_{\mathcal{L}\Gamma}(\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_2) \\ &= \dim_{\mathcal{L}\Gamma}(\varphi(\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_1)) + \dim_{\mathcal{L}\Gamma}(\mathcal{L}\Gamma \otimes_{R\Gamma} (\mathcal{M}_2/\mathcal{M}_1)) \\ &= \text{vrk}(\mathcal{M}_1|\mathcal{M}_2) + \text{vrk}(\mathcal{M}_2/\mathcal{M}_1). \end{aligned}$$

(iii). By Theorem 2.5 we have $\dim_{\mathcal{L}\Gamma}(\mathcal{L}\Gamma) = 1$, thus $\text{vrk}((R\Gamma)^n) = \dim_{\mathcal{L}\Gamma}((\mathcal{L}\Gamma)^n) = n \dim_{\mathcal{L}\Gamma}(\mathcal{L}\Gamma) = n$.

(iv). Denote by φ the natural map $\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_1 \rightarrow \mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_2$ and by φ_j the natural map $\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}'_j \rightarrow \mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_2$ for each $j \in \mathcal{J}$. Then $\{\varphi_j(\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}'_j)\}_{j \in \mathcal{J}}$ is an increasing net of $\mathcal{L}\Gamma$ -submodules of $\varphi(\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_1)$ with union $\varphi(\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_1)$. Since $\dim_{\mathcal{L}\Gamma}$ is a length function on $\mathcal{L}\Gamma$ -modules, we get

$$\begin{aligned} \text{vrk}(\mathcal{M}_1|\mathcal{M}_2) &= \dim_{\mathcal{L}\Gamma}(\varphi(\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_1)) \\ &= \lim_{j \rightarrow \infty} \dim_{\mathcal{L}\Gamma}(\varphi_j(\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}'_j)) = \lim_{j \rightarrow \infty} \text{vrk}(\mathcal{M}'_j|\mathcal{M}_2). \end{aligned}$$

(v). Note that $\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_1$ is a finitely generated $\mathcal{L}\Gamma$ -module, and hence $\dim_{\mathcal{L}\Gamma}(\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_1) < +\infty$ by the condition (iii) and the fact that $\dim_{\mathcal{L}\Gamma}$ is a length function on $\mathcal{L}\Gamma$ -modules. Denote by φ the natural map $\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_1 \rightarrow \mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_2$ and by φ_j the natural map $\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_1 \rightarrow \mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}'_j$ for each $j \in \mathcal{J}$. Since \mathcal{M}_2 is the direct limit $\varinjlim \mathcal{M}'_j$ and the tensor functor $\mathcal{L}\Gamma \otimes_{R\Gamma} \cdot$ preserves direct limits [57, Theorem 6.159], we have $\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_2 = \varinjlim \mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}'_j$. It follows that $\{\ker \varphi_j\}_{j \in \mathcal{J}}$ is an increasing net of $\mathcal{L}\Gamma$ -submodules of $\ker \varphi$ with union $\ker \varphi$. Because $\dim_{\mathcal{L}\Gamma}$ is a length function on $\mathcal{L}\Gamma$ -modules, we get

$$\begin{aligned} \text{vrk}(\mathcal{M}_1|\mathcal{M}_2) &= \dim_{\mathcal{L}\Gamma}(\text{im } \varphi) \\ &= \dim_{\mathcal{L}\Gamma}(\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_1) - \dim_{\mathcal{L}\Gamma}(\ker \varphi) \\ &= \lim_{j \rightarrow \infty} (\dim_{\mathcal{L}\Gamma}(\mathcal{L}\Gamma \otimes_{R\Gamma} \mathcal{M}_1) - \dim_{\mathcal{L}\Gamma}(\ker \varphi_j)) \\ &= \lim_{j \rightarrow \infty} \dim_{\mathcal{L}\Gamma}(\text{im } \varphi_j) \\ &= \lim_{j \rightarrow \infty} \text{vrk}(\mathcal{M}_1|\mathcal{M}'_j). \end{aligned}$$

□

By Theorem 1.1, Lemma 3.3 and Proposition 3.4 we know that the mean rank $\text{mrk}_{\Sigma, \omega}(\mathcal{M}_1|\mathcal{M}_2)$ for $R\Gamma$ -modules $\mathcal{M}_1 \subseteq \mathcal{M}_2$ satisfies the hypotheses of Lemma 7.7. Then Theorem 7.2 follows from Lemmas 7.6, 7.7 and 7.8.

8. RELATIVE SOFIC MEAN TOPOLOGICAL DIMENSION

In this section we introduce the relative mean topological dimension and establish some basic properties. Throughout the rest of this paper, Γ will be a countable sofic group, and $\Sigma = \{\sigma_i : \Gamma \rightarrow \text{Sym}(d_i)\}_{i \in \mathbb{N}}$ will be a sofic approximation sequence for Γ .

We recall first the mean topological dimension for actions of sofic groups defined in [37].

For a finite open cover \mathcal{U} of a compact metrizable space Z , set

$$\text{ord}(\mathcal{U}) = \max_{z \in Z} \sum_{U \in \mathcal{U}} 1_U(z) - 1, \text{ and } \mathcal{D}(\mathcal{U}) = \inf_{\mathcal{V}} \text{ord}(\mathcal{V})$$

for \mathcal{V} ranging over all finite open covers of Z finer than \mathcal{U} , i.e. every element of \mathcal{V} is contained in some element of \mathcal{U} . Then the *covering dimension* of Z is defined as $\sup_{\mathcal{U}} \mathcal{D}(\mathcal{U})$ for \mathcal{U} ranging over all finite open covers of Z .

Let Γ act continuously on a compact metrizable space X .

Definition 8.1. Let ρ be a continuous pseudometric on X . Let $F \in \mathcal{F}(\Gamma)$ and $\delta > 0$. Let σ be a map from Γ to $\text{Sym}(d)$ for some $d \in \mathbb{N}$. We define continuous pseudometrics ρ_2 and ρ_∞ on X^d by

$$\begin{aligned} \rho_2(\varphi, \psi) &= \left(\frac{1}{d} \sum_{v \in [d]} \rho(\varphi_v, \psi_v)^2 \right)^{1/2}, \\ \rho_\infty(\varphi, \psi) &= \max_{v \in [d]} \rho(\varphi_v, \psi_v). \end{aligned}$$

We define $\text{Map}(\rho, F, \delta, \sigma)$ to be the set of all maps $\varphi : [d] \rightarrow X$ satisfying $\rho_2(s\varphi, \varphi s) \leq \delta$ for all $s \in F$.

We remark that in Definition 8.1 we treat σ as an approximate action of Γ on $[d]$, and then $\text{Map}(\rho, F, \delta, \sigma)$ is intuitively the set of all approximately equivariant maps $[d] \rightarrow X$.

A continuous pseudometric ρ on X is called *dynamically generating* if for any distinct $x_1, x_2 \in X$ one has $\sup_{s \in \Gamma} \rho(sx_1, sx_2) > 0$.

The following lemma is [33, Lemma 2.3]. It essentially says that the space $\text{Map}(\rho, F, \delta, \sigma)$ does not depend on the choice of the dynamically generating continuous pseudometric ρ .

Lemma 8.2. *Let ρ and ρ' be continuous pseudometrics on X such that ρ' is dynamically generating. For any $F \in \mathcal{F}(\Gamma)$ and any $\delta > 0$, there exist $F' \in \mathcal{F}(\Gamma)$ and $\delta' > 0$ such that for any sufficiently good sofic approximation $\sigma : \Gamma \rightarrow \text{Sym}(d)$ one has $\text{Map}(\rho', F', \delta', \sigma) \subseteq \text{Map}(\rho, F, \delta, \sigma)$.*

Note that $\text{Map}(\rho, F, \delta, \sigma)$ is a closed subset of X^d . For a finite open cover \mathcal{U} of X , denote by \mathcal{U}^d the open cover of X^d consisting of $\prod_{v \in [d]} U_v$, where $U_v \in \mathcal{U}$ for each $v \in [d]$. Consider the restriction $\mathcal{U}^d|_{\text{Map}(\rho, F, \delta, \sigma)} := \{U \cap \text{Map}(\rho, F, \delta, \sigma) : U \in \mathcal{U}^d\}$ of \mathcal{U}^d to $\text{Map}(\rho, F, \delta, \sigma)$. Denote $\mathcal{D}(\mathcal{U}^d|_{\text{Map}(\rho, F, \delta, \sigma)})$ by $\mathcal{D}(\mathcal{U}, \rho, F, \delta, \sigma)$.

Definition 8.3. Let ρ be a dynamically generating continuous pseudometric on X . Let $F \in \mathcal{F}(\Gamma)$ and $\delta > 0$. For a finite open cover \mathcal{U} of X we define

$$\begin{aligned} \text{mdim}_{\Sigma, \omega}(\mathcal{U}, \rho, F, \delta) &= \lim_{i \rightarrow \omega} \frac{\mathcal{D}(\mathcal{U}, \rho, F, \delta, \sigma_i)}{d_i}, \\ \text{mdim}_{\Sigma, \omega}(\mathcal{U}, \rho) &= \inf_{F \in \mathcal{F}(\Gamma)} \inf_{\delta > 0} \text{mdim}_{\Sigma, \omega}(\mathcal{U}, \rho, F, \delta). \end{aligned}$$

If the set of $i \in \mathbb{N}$ with $\text{Map}(\rho, F, \delta, \sigma_i) = \emptyset$ is in ω , we set $\text{mdim}_{\Sigma, \omega}(\mathcal{U}, \rho, F, \delta) = -\infty$. The *sofic mean topological dimension* of $\Gamma \curvearrowright X$ is defined as

$$\text{mdim}_{\Sigma, \omega}(X, \rho) := \sup_{\mathcal{U}} \text{mdim}_{\Sigma, \omega}(\mathcal{U}, \rho)$$

for \mathcal{U} ranging over all finite open covers of X . By Lemma 8.2 the quantities $\text{mdim}_{\Sigma, \omega}(\mathcal{U}, \rho)$ and $\text{mdim}_{\Sigma, \omega}(X, \rho)$ do not depend on the choice of ρ , and we shall write them as $\text{mdim}_{\Sigma, \omega}(\mathcal{U})$ and $\text{mdim}_{\Sigma, \omega}(X)$ respectively.

Remark 8.4. The definition of sofic mean topological dimension in [37, Definition 2.4] uses $\overline{\lim}_{i \rightarrow \infty}$ instead of $\lim_{i \rightarrow \omega}$, and the resulting quantities will be denoted by $\text{mdim}_{\Sigma}(\mathcal{U}, \rho, F, \delta)$, $\text{mdim}_{\Sigma}(\mathcal{U})$ and $\text{mdim}_{\Sigma}(X)$ respectively. In this paper we use $\lim_{i \rightarrow \omega}$ instead of $\overline{\lim}_{i \rightarrow \infty}$ for three reasons. The first is all the results known for using $\overline{\lim}_{i \rightarrow \infty}$ hold for using $\lim_{i \rightarrow \omega}$ with the same proof. The second is that $\lim_{i \rightarrow \omega}$ is needed in Definition 3.1 in order to obtain the addition formula in Theorem 1.1, and then correspondingly we must use $\lim_{i \rightarrow \omega}$ in Definition 8.3. The third is that in fact one can recover $\text{mdim}_{\Sigma}(X)$ from $\text{mdim}_{\Sigma, \omega}(X)$ for all free ultrafilters ω on \mathbb{N} , as Proposition 8.5 below shows. In particular, if for some action $\Gamma \curvearrowright X$, $\text{mdim}_{\Sigma, \omega}(X)$ takes the same value for all ω , then so does $\text{mdim}_{\Sigma}(X)$.

Proposition 8.5. *For any continuous action of Γ on a compact metrizable space X , one has $\text{mdim}_{\Sigma}(X) = \sup_{\omega} \text{mdim}_{\Sigma, \omega}(X)$ for ω ranging over all free ultrafilters on \mathbb{N} .*

Proof. For any free ultrafilter ω on \mathbb{N} , clearly one has $\text{mdim}_{\Sigma}(\mathcal{U}) \geq \text{mdim}_{\Sigma, \omega}(\mathcal{U})$ for every finite open cover \mathcal{U} of X , and hence $\text{mdim}_{\Sigma}(X) \geq \text{mdim}_{\Sigma, \omega}(X)$.

To show $\text{mdim}_{\Sigma}(X) \leq \sup_{\omega} \text{mdim}_{\Sigma, \omega}(X)$, it suffices to show that for any finite open cover \mathcal{U} of X , there exists a free ultrafilter ω on \mathbb{N} with $\text{mdim}_{\Sigma}(\mathcal{U}) = \text{mdim}_{\Sigma, \omega}(\mathcal{U})$. Take an increasing sequence $\{F_n\}_{n \in \mathbb{N}}$ in $\mathcal{F}(\Gamma)$ with union Γ and a decreasing sequence $\{\delta_n\}_{n \in \mathbb{N}}$ of positive numbers converging to 0. We can find a strictly increasing sequence $\{i_n\}_{n \in \mathbb{N}}$ of positive integers such that $\frac{\mathcal{D}(\mathcal{U}, \rho, F_n, \delta_n, \sigma_{i_n})}{d_{i_n}} \geq \text{mdim}_{\Sigma}(\mathcal{U}) - \frac{1}{n}$ for all $n \in \mathbb{N}$.

For any infinite subset W of \mathbb{N} , the set \mathfrak{F} consisting of all subsets V of \mathbb{N} satisfying $|W \setminus V| < +\infty$ is a filter over \mathbb{N} . By Zorn's lemma we can find a maximal filter ω over \mathbb{N} containing \mathfrak{F} . Then ω is a free ultrafilter on \mathbb{N} with $W \in \omega$.

In particular, we can find a free ultrafilter ω on \mathbb{N} with $\{i_n : n \in \mathbb{N}\} \in \omega$. Then $\text{mdim}_{\Sigma, \omega}(\mathcal{U}, \rho, F_n, \delta_n) \geq \text{mdim}_{\Sigma}(\mathcal{U}) - \frac{1}{n}$ for all $n \in \mathbb{N}$. It follows that $\text{mdim}_{\Sigma, \omega}(\mathcal{U}) \geq \text{mdim}_{\Sigma}(\mathcal{U})$, and hence $\text{mdim}_{\Sigma, \omega}(\mathcal{U}) = \text{mdim}_{\Sigma}(\mathcal{U})$. \square

Now we define the sofic mean topological dimension of an action relative to an extension. Let Γ act on compact metrizable spaces X and Y respectively, and let $\pi : X \rightarrow Y$ be a Γ -equivariant continuous surjective map. Then π is called a *factor map*, $\Gamma \curvearrowright Y$ is called a *factor* of $\Gamma \curvearrowright X$, and $\Gamma \curvearrowright X$ is called an *extension* of $\Gamma \curvearrowright Y$.

Let ρ be a continuous pseudometric on X . Let $F \in \mathcal{F}(\Gamma)$ and $\delta > 0$. Let σ be a map from Γ to $\text{Sym}(d)$ for some $d \in \mathbb{N}$. Denote by $\text{Map}(\pi, \rho, F, \delta, \sigma)$ the set of all $\pi \circ \varphi$ for φ ranging in $\text{Map}(\rho, F, \delta, \sigma)$.

Note that $\text{Map}(\pi, \rho, F, \delta, \sigma)$ is a closed subset of Y^d . For a finite open cover \mathcal{U} of Y , consider the restriction $\mathcal{U}^d|_{\text{Map}(\pi, \rho, F, \delta, \sigma)} := \{U \cap \text{Map}(\pi, \rho, F, \delta, \sigma) : U \in \mathcal{U}^d\}$ of \mathcal{U}^d to $\text{Map}(\pi, \rho, F, \delta, \sigma)$. Denote $\mathcal{D}(\mathcal{U}^d|_{\text{Map}(\pi, \rho, F, \delta, \sigma)})$ by $\mathcal{D}(\pi, \mathcal{U}, \rho, F, \delta, \sigma)$.

Definition 8.6. Let ρ be a dynamically generating continuous pseudometric on X . Let $F \in \mathcal{F}(\Gamma)$ and $\delta > 0$. For a finite open cover \mathcal{U} of Y we define

$$\begin{aligned} \text{mdim}_{\Sigma, \omega}(\pi, \mathcal{U}, \rho, F, \delta) &= \lim_{i \rightarrow \omega} \frac{\mathcal{D}(\pi, \mathcal{U}, \rho, F, \delta, \sigma_i)}{d_i}, \\ \text{mdim}_{\Sigma, \omega}(\pi, \mathcal{U}, \rho) &= \inf_{F \in \mathcal{F}(\Gamma)} \inf_{\delta > 0} \text{mdim}_{\Sigma, \omega}(\pi, \mathcal{U}, \rho, F, \delta). \end{aligned}$$

If the set of $i \in \mathbb{N}$ with $\text{Map}(\rho, F, \delta, \sigma_i) = \emptyset$ is in ω , we set $\text{mdim}_{\Sigma, \omega}(\pi, \mathcal{U}, \rho, F, \delta) = -\infty$. We define the *sofic mean topological dimension of $\Gamma \curvearrowright Y$ relative to the extension $\Gamma \curvearrowright X$* as

$$\text{mdim}_{\Sigma, \omega}(Y|X, \rho) := \sup_{\mathcal{U}} \text{mdim}_{\Sigma, \omega}(\pi, \mathcal{U}, \rho)$$

for \mathcal{U} ranging over all finite open covers of Y . By Lemma 8.2 the quantities $\text{mdim}_{\Sigma, \omega}(\pi, \mathcal{U}, \rho)$ and $\text{mdim}_{\Sigma, \omega}(Y|X, \rho)$ do not depend on the choice of ρ , and we shall write them as $\text{mdim}_{\Sigma, \omega}(\mathcal{U}|X)$ and $\text{mdim}_{\Sigma, \omega}(Y|X)$ respectively. We also define the *lower sofic mean topological dimension of $\Gamma \curvearrowright Y$ relative to the extension $\Gamma \curvearrowright X$* as

$$\underline{\text{mdim}}_{\Sigma, \omega}(Y|X) := \sup_{\mathcal{U}} \text{mdim}_{\Sigma, \omega}(\pi^{-1}(\mathcal{U}))$$

for \mathcal{U} ranging over all finite open covers of Y .

Clearly when π is a homeomorphism, one has

$$\underline{\text{mdim}}_{\Sigma, \omega}(Y|X) = \text{mdim}_{\Sigma, \omega}(Y|X) = \text{mdim}_{\Sigma, \omega}(Y).$$

In general one has the following obvious proposition.

Proposition 8.7. *One has $\underline{\text{mdim}}_{\Sigma, \omega}(Y|X) \leq \text{mdim}_{\Sigma, \omega}(Y|X) \leq \text{mdim}_{\Sigma, \omega}(Y)$ and $\underline{\text{mdim}}_{\Sigma, \omega}(Y|X) \leq \text{mdim}_{\Sigma, \omega}(X)$.*

Now we discuss the behaviour of the relative mean topological dimension under taking inverse limits. For a sequence of topological spaces $\{Y_j\}_{j \in \mathbb{N}}$ with continuous maps $p_j : Y_{j+1} \rightarrow Y_j$ for all $j \in \mathbb{N}$, the inverse limit $\varprojlim_{j \rightarrow \infty} Y_j$ is defined as the

subspace of $\prod_{j \in \mathbb{N}} Y_j$ consisting of all elements $(y_j)_{j \in \mathbb{N}}$ satisfying $p_j(y_{j+1}) = y_j$ for all $j \in \mathbb{N}$.

Proposition 8.8. *Let $\{Y_j\}_{j \in \mathbb{N}}$ be a sequence of compact metrizable spaces carrying continuous Γ -actions and factor maps $p_j : Y_{j+1} \rightarrow Y_j$ for all $j \in \mathbb{N}$. Set $Y = \varprojlim_{j \rightarrow \infty} Y_j$. Let X be a compact metrizable space carrying a continuous Γ -action and $\pi : X \rightarrow Y$ be a factor map. Consider the factor map $X \rightarrow Y \rightarrow Y_j$. Then*

$$\text{mdim}_{\Sigma, \omega}(Y|X) \leq \overline{\lim}_{j \rightarrow \infty} \text{mdim}_{\Sigma, \omega}(Y_j|X),$$

and

$$\underline{\text{mdim}}_{\Sigma, \omega}(Y|X) = \lim_{j \rightarrow \infty} \underline{\text{mdim}}_{\Sigma, \omega}(Y_j|X) = \sup_{j \in \mathbb{N}} \underline{\text{mdim}}_{\Sigma, \omega}(Y_j|X).$$

Proof. Clearly $\underline{\text{mdim}}_{\Sigma, \omega}(Y_j|X)$ increases with j and $\underline{\text{mdim}}_{\Sigma, \omega}(Y|X) \geq \lim_{j \rightarrow \infty} \underline{\text{mdim}}_{\Sigma, \omega}(Y_j|X)$.

Denote by q_j the factor map $Y \rightarrow Y_j$, and set $\pi_j := q_j \circ \pi$. For any finite open cover \mathcal{U}_j of Y_j , clearly one has

$$\text{mdim}_{\Sigma, \omega}(\pi_j^{-1}(\mathcal{U}_j)) \leq \text{mdim}_{\Sigma, \omega}(q_j^{-1}(\mathcal{U}_j)|X) \leq \text{mdim}_{\Sigma, \omega}(\mathcal{U}_j|X).$$

For any finite open cover \mathcal{U} of Y and any $N \in \mathbb{N}$, there exists a finite open cover \mathcal{U}_j of Y_j for some $j \geq N$ such that $q_j^{-1}(\mathcal{U}_j)$ refines \mathcal{U} , whence

$$\text{mdim}_{\Sigma, \omega}(\mathcal{U}|X) \leq \text{mdim}_{\Sigma, \omega}(q_j^{-1}(\mathcal{U}_j)|X) \leq \text{mdim}_{\Sigma, \omega}(\mathcal{U}_j|X) \leq \text{mdim}_{\Sigma, \omega}(Y_j|X),$$

and

$$\text{mdim}_{\Sigma, \omega}(\pi^{-1}(\mathcal{U})) \leq \text{mdim}_{\Sigma, \omega}(\pi_j^{-1}(\mathcal{U}_j)) \leq \underline{\text{mdim}}_{\Sigma, \omega}(Y_j|X) \leq \lim_{k \rightarrow \infty} \underline{\text{mdim}}_{\Sigma, \omega}(Y_k|X).$$

It follows that $\text{mdim}_{\Sigma, \omega}(Y|X) \leq \overline{\lim}_{k \rightarrow \infty} \text{mdim}_{\Sigma, \omega}(Y_k|X)$ and $\underline{\text{mdim}}_{\Sigma, \omega}(Y|X) \leq \lim_{k \rightarrow \infty} \underline{\text{mdim}}_{\Sigma, \omega}(Y_k|X)$. Therefore $\underline{\text{mdim}}_{\Sigma, \omega}(Y|X) = \lim_{k \rightarrow \infty} \underline{\text{mdim}}_{\Sigma, \omega}(Y_k|X)$. \square

Next we discuss the relation between the small-boundary property (SBP) and zero mean topological dimension. The notion of the SBP was introduced in [40, 42]. We extend the formulation of the SBP in [37, Definition 8.1] to relative situation.

Definition 8.9. Denote by $M(X, \Gamma)$ the set of Γ -invariant Borel probability measures on X . We say that a closed subset Z of Y is *small relative to $\Gamma \curvearrowright X$* if $\pi_*\mu(Z) = 0$ for every $\mu \in M(X, \Gamma)$. We say that $\Gamma \curvearrowright Y$ has the *small-boundary property (SBP) relative to $\Gamma \curvearrowright X$* if for every point y in Y and every neighborhood U of y , there is an open neighborhood $V \subseteq U$ of y such that the boundary of V is small relative to $\Gamma \curvearrowright X$.

The following result is the relative version of [37, Theorem 8.2]. The proof of [37, Theorem 8.2] also works here.

Theorem 8.10. *Suppose that $\Gamma \curvearrowright Y$ has the SBP relative to $\Gamma \curvearrowright X$. Then $\text{mdim}_{\Sigma, \omega}(Y|X) \leq 0$.*

To round this section, we discuss what happens when Γ is amenable.

We recall first the definition of the mean topological dimension of $\Gamma \curvearrowright X$ for amenable group Γ in [42]. Let \mathcal{U} be a finite open cover of X . For any nonempty finite subset F of Γ , we set $\mathcal{U}^F = \bigvee_{s \in F} s^{-1}\mathcal{U}$. The function $F \mapsto \mathcal{D}(\mathcal{U}^F)$ defined on the set of all nonempty finite subsets of Γ satisfies the conditions of the Ornstein-Weiss lemma [52] [42, Theorem 6.1], whence $\frac{\mathcal{D}(\mathcal{U}^F)}{|F|}$ converges to some real number, denoted by $\text{mdim}(\mathcal{U})$, as F becomes more and more left invariant. That is, for any $\varepsilon > 0$, there exist a nonempty finite subset K of Γ and $\delta > 0$ such that for any nonempty finite subset F of Γ with $|KF \setminus F| < \delta|F|$, one has $\left| \frac{\mathcal{D}(\mathcal{U}^F)}{|F|} - \text{mdim}(\mathcal{U}) \right| < \varepsilon$. The *mean topological dimension* of $\Gamma \curvearrowright X$ is defined as

$$\text{mdim}(X) := \sup_{\mathcal{U}} \text{mdim}(\mathcal{U})$$

for \mathcal{U} ranging over all finite open covers of X [42, page 5].

The following lemma is the relative version of [37, Lemma 3.5]. The proof of [37, Lemma 3.5] also works here.

Lemma 8.11. *Suppose that Γ is a countably infinite amenable group. Then for any finite open cover \mathcal{U} of Y we have $\text{mdim}_{\Sigma, \omega}(\mathcal{U}|X) \geq \text{mdim}(\mathcal{U})$. In particular, $\text{mdim}_{\Sigma, \omega}(Y|X) \geq \text{mdim}(Y)$.*

By Proposition 8.7 and [37, Lemma 3.7], when Γ is amenable, we have

$$\text{mdim}_{\Sigma, \omega}(Y|X) \leq \text{mdim}_{\Sigma, \omega}(Y) \leq \text{mdim}(Y).$$

Combining these inequalities with Lemma 8.11, we get

Theorem 8.12. *Suppose that Γ is a countably infinite amenable group. Then*

$$\text{mdim}_{\Sigma, \omega}(Y|X) = \text{mdim}_{\Sigma, \omega}(Y) = \text{mdim}(Y).$$

9. RELATIVE SOFIC METRIC MEAN DIMENSION

In this section we introduce relative topological entropy and relative metric mean dimension, and establish some basic properties.

We recall first the definition of sofic topological entropy in [33, Definition 2.5] and sofic metric mean dimension in [37, Definition 4.1].

For any continuous pseudometric ρ_X on a compact space X and any $\varepsilon > 0$, a subset Z of X is called (ρ_X, ε) -separated if $\rho_X(z_1, z_2) > \varepsilon$ for all distinct $z_1, z_2 \in Z$. We set $N_\varepsilon(X, \rho_X) := \max_Z |Z|$ for Z ranging over all (ρ_X, ε) -separated subsets of X .

Let Γ act continuously on a compact metrizable space X .

Definition 9.1. Let ρ be a continuous pseudometric of X . Let $F \in \mathcal{F}(\Gamma)$ and $\delta > 0$. For $\varepsilon > 0$ we define

$$h_{\Sigma, \omega, \infty}^\varepsilon(\rho, F, \delta) = \lim_{i \rightarrow \omega} \frac{1}{d_i} \log N_\varepsilon(\text{Map}(\rho, F, \delta, \sigma_i), \rho_\infty),$$

$$h_{\Sigma, \omega, \infty}^{\varepsilon}(\rho) = \inf_{F \in \mathcal{F}(\Gamma)} \inf_{\delta > 0} h_{\Sigma, \omega, \infty}^{\varepsilon}(\rho, F, \delta),$$

$$h_{\Sigma, \omega, \infty}(\rho) = \sup_{\varepsilon > 0} h_{\Sigma, \omega, \infty}^{\varepsilon}(\rho).$$

If the set of $i \in \mathbb{N}$ with $\text{Map}(\rho, F, \delta, \sigma_i) = \emptyset$ is in ω , we set $h_{\Sigma, \omega, \infty}^{\varepsilon}(\rho, F, \delta) = -\infty$. We similarly define $h_{\Sigma, \omega, 2}^{\varepsilon}(\rho, F, \delta)$, $h_{\Sigma, \omega, 2}^{\varepsilon}(\rho)$ and $h_{\Sigma, \omega, 2}(\rho)$ using $N_{\varepsilon}(\cdot, \rho_2)$ instead of $N_{\varepsilon}(\cdot, \rho_{\infty})$. By [33, Proposition 2.4] the quantities $h_{\Sigma, \omega, \infty}(\rho)$ and $h_{\Sigma, \omega, 2}(\rho)$ coincide, and do not depend on the choice of dynamically generating continuous pseudometric ρ . They will be called the *sofic topological entropy of $\Gamma \curvearrowright X$* and denoted by $h_{\Sigma, \omega}(X)$. We define the *sofic metric mean dimension of $\Gamma \curvearrowright X$ with respect to ρ* as

$$\text{mdim}_{\Sigma, \omega, M}(X, \rho) := \lim_{\varepsilon \rightarrow 0} \frac{1}{|\log \varepsilon|} h_{\Sigma, \omega, \infty}^{\varepsilon}(\rho),$$

and the *sofic metric mean dimension of $\Gamma \curvearrowright X$* as

$$\text{mdim}_{\Sigma, \omega, M}(X) := \inf_{\rho} \text{mdim}_{\Sigma, \omega, M}(X, \rho)$$

for ρ ranging over all compatible metrics on X .

Remark 9.2. Remark 8.4 and Proposition 8.5 also apply to the sofic topological entropy, though it is not clear whether Proposition 8.5 holds for the sofic metric mean dimension.

Now we define the sofic topological entropy and the sofic metric mean dimension of an action relative to an extension. Let Γ act on compact metrizable spaces X and Y respectively, and let $\pi : X \rightarrow Y$ be a factor map.

Definition 9.3. Let ρ_X and ρ_Y be continuous pseudometrics of X and Y respectively such that ρ_X is dynamically generating. Let $F \in \mathcal{F}(\Gamma)$ and $\delta > 0$. For $\varepsilon > 0$ we define

$$h_{\Sigma, \omega, \infty}^{\varepsilon}(\rho_Y, F, \delta | \rho_X) = \lim_{i \rightarrow \omega} \frac{1}{d_i} \log N_{\varepsilon}(\text{Map}(\pi, \rho_X, F, \delta, \sigma_i), \rho_{Y, \infty}),$$

$$h_{\Sigma, \omega, \infty}^{\varepsilon}(\rho_Y | X) = \inf_{F \in \mathcal{F}(\Gamma)} \inf_{\delta > 0} h_{\Sigma, \omega, \infty}^{\varepsilon}(\rho_Y, F, \delta | \rho_X),$$

$$h_{\Sigma, \omega, \infty}(\rho_Y | X) = \sup_{\varepsilon > 0} h_{\Sigma, \omega, \infty}^{\varepsilon}(\rho_Y | X).$$

If the set of $i \in \mathbb{N}$ with $\text{Map}(\rho_X, F, \delta, \sigma_i) = \emptyset$ is in ω , we set $h_{\Sigma, \omega, \infty}^{\varepsilon}(\rho_Y, F, \delta | \rho_X) = -\infty$. By Lemma 8.2, the quantities $h_{\Sigma, \omega, \infty}^{\varepsilon}(\rho_Y | X)$ and $h_{\Sigma, \omega, \infty}(\rho_Y | X)$ do not depend on the choice of ρ_X . We similarly define $h_{\Sigma, \omega, 2}^{\varepsilon}(\rho_Y, F, \delta | \rho_X)$, $h_{\Sigma, \omega, 2}^{\varepsilon}(\rho_Y | X)$ and $h_{\Sigma, \omega, 2}(\rho_Y | X)$ using $N_{\varepsilon}(\cdot, \rho_{Y, 2})$ instead of $N_{\varepsilon}(\cdot, \rho_{Y, \infty})$. By Lemma 9.5 below, the quantities $h_{\Sigma, \omega, \infty}(\rho_Y | X)$ and $h_{\Sigma, \omega, 2}(\rho_Y | X)$ coincide, and do not depend on the choice of the dynamically generating continuous pseudometric ρ_Y on Y . They will be called the *sofic topological entropy of $\Gamma \curvearrowright Y$ relative to $\Gamma \curvearrowright X$* and denoted by $h_{\Sigma, \omega}(Y | X)$.

We define the *sofic metric mean dimension of $\Gamma \curvearrowright Y$ relative to $\Gamma \curvearrowright X$ with respect to ρ_Y* as

$$\text{mdim}_{\Sigma, \omega, M}(Y, \rho_Y | X) := \lim_{\varepsilon \rightarrow 0} \frac{1}{|\log \varepsilon|} h_{\Sigma, \omega, \infty}^{\varepsilon}(\rho_Y | X),$$

and the *sofic metric mean dimension of $\Gamma \curvearrowright Y$ relative to $\Gamma \curvearrowright X$* as

$$\text{mdim}_{\Sigma, \omega, M}(Y | X) := \inf_{\rho_Y} \text{mdim}_{\Sigma, \omega, M}(Y, \rho_Y | X)$$

for ρ_Y ranging over all compatible metrics on Y .

Clearly when π is a homeomorphism, one has

$$h_{\Sigma, \omega}(Y | X) = h_{\Sigma, \omega}(Y),$$

and

$$\text{mdim}_{\Sigma, \omega, M}(Y, \rho_Y | X) = \text{mdim}_{\Sigma, \omega, M}(Y, \rho_Y)$$

for every dynamically generating continuous pseudometric ρ_Y on Y , and

$$\text{mdim}_{\Sigma, \omega, M}(Y | X) = \text{mdim}_{\Sigma, \omega, M}(Y).$$

Remark 9.4. Similarly one can define the sofic entropy of a probability-measure-preserving action relative to an extension, which we shall explore in a subsequent paper.

The following lemma is the relative version of [33, Proposition 2.4]. The proof of [33, Proposition 2.4] works here.

Lemma 9.5. *Let ρ_Y and ρ'_Y be dynamically generating continuous pseudometrics on Y . Then*

$$h_{\Sigma, \omega, 2}(\rho_Y | X) = h_{\Sigma, \omega, 2}(\rho'_Y | X) = h_{\Sigma, \omega, \infty}(\rho'_Y | X) = h_{\Sigma, \omega, \infty}(\rho_Y | X).$$

The following lemma is the relative version of [37, Lemma 4.4]. The proof of [37, Lemma 4.4] also works here.

Lemma 9.6. *Let ρ_Y be a dynamically generating continuous pseudometric on Y . Enumerate the elements of Γ as s_1, s_2, \dots . Define ρ'_Y on Y by $\rho'_Y(y, z) = \sum_{n=1}^{\infty} \frac{1}{2^n} \rho(s_n y, s_n z)$ for all $y, z \in Y$. Then ρ'_Y is a compatible metric on Y . Furthermore, if $e_{\Gamma} = s_m$ then for any $\varepsilon > 0$ one has*

$$h_{\Sigma, \omega, \infty}^{4\varepsilon}(\rho'_Y | X) \leq h_{\Sigma, \omega, \infty}^{\varepsilon}(\rho_Y | X) \leq h_{\Sigma, \omega, \infty}^{\varepsilon/2^m}(\rho'_Y | X).$$

In particular, $\text{mdim}_{\Sigma, \omega, M}(Y, \rho_Y | X) = \text{mdim}_{\Sigma, \omega, M}(Y, \rho'_Y | X)$.

From Lemma 9.6 we have the following relative version of [37, Proposition 4.5].

Proposition 9.7. *One has*

$$\text{mdim}_{\Sigma, \omega, M}(Y | X) = \inf_{\rho_Y} \text{mdim}_{\Sigma, \omega, M}(Y, \rho_Y | X)$$

for ρ_Y ranging over all dynamically generating continuous pseudometrics on Y .

The following proposition is obvious.

Proposition 9.8. *One has $h_{\Sigma,\omega}(Y|X) \leq \min(h_{\Sigma,\omega}(X), h_{\Sigma,\omega}(Y))$ and $\text{mdim}_{\Sigma,\omega,M}(Y, \rho_Y|X) \leq \text{mdim}_{\Sigma,\omega,M}(Y, \rho_Y)$ for every continuous pseudometric ρ_Y on Y . In particular, $\text{mdim}_{\Sigma,\omega,M}(Y|X) \leq \text{mdim}_{\Sigma,\omega,M}(Y)$.*

The following result is the relative version of [37, Theorem 6.1]. The proof of [37, Theorem 6.1] also works here.

Theorem 9.9. *We have $\text{mdim}_{\Sigma,\omega}(Y|X) \leq \text{mdim}_{\Sigma,\omega,M}(Y|X)$.*

Next we discuss the behaviour of the relative metric mean dimension under taking inverse limits. The following lemma is the generalization of [38, Lemma 7.7] from amenable groups to sofic groups and to the relative case. We follow the argument in the proof of [38, Lemma 7.7].

Lemma 9.10. *Let $\{Y_j\}_{j \in \mathbb{N}}$ be a sequence of compact metrizable spaces carrying continuous Γ -actions and factor maps $p_j : Y_{j+1} \rightarrow Y_j$ for all $j \in \mathbb{N}$. Set $Y = \varprojlim_{j \rightarrow \infty} Y_j$. Let X be a compact metrizable space carrying a continuous Γ -action and $\pi : X \rightarrow Y$ be a factor map. Denote by π_j the factor map $X \rightarrow Y \rightarrow Y_j$. Let ρ_j be a continuous pseudometric of Y_j for each $j \in \mathbb{N}$ such that $\rho_j(p_j(y), p_j(z)) \leq \rho_{j+1}(y, z)$ for all $y, z \in Y_{j+1}$. Then there is a decreasing sequence $\{\lambda_j\}_{j \in \mathbb{N}}$ of positive numbers such that the continuous pseudometric of Y defined by*

$$\rho((y_j)_j, (z_j)_j) = \max_{j \in \mathbb{N}} \lambda_j \rho_j(y_j, z_j)$$

satisfies

$$\text{mdim}_{\Sigma,\omega,M}(Y, \rho|X) = \lim_{j \rightarrow \infty} \text{mdim}_{\Sigma,\omega,M}(Y_j, \rho_j|X).$$

Proof. We shall require that $\lambda_j \text{diam}(Y_j, \rho_j) < 1/2^j$ for all $j \in \mathbb{N}$, which implies that ρ is a continuous pseudometric on Y . We shall also require $\lambda_{j+1} \leq \lambda_j \leq 1$ for all $j \in \mathbb{N}$.

Let ρ_X be a compatible metric of X .

Let $k \in \mathbb{N}$. Define a continuous pseudometric ρ'_k of Y_k by

$$\rho'_k(y, z) = \max_{1 \leq j \leq k} \lambda_j \rho_j(p_j \circ p_{j+1} \circ \cdots \circ p_{k-1}(y), p_j \circ p_{j+1} \circ \cdots \circ p_{k-1}(z)).$$

Then $\rho'_k \leq \rho_k$. Thus

$$\text{mdim}_{\Sigma,\omega,M}(Y_k, \rho'_k|X) \leq \text{mdim}_{\Sigma,\omega,M}(Y_k, \rho_k|X).$$

Then we can find some $0 < \varepsilon_k < \frac{1}{k}$ such that

$$\frac{1}{|\log \varepsilon_k|} h_{\Sigma,\omega,\infty}^{\varepsilon_k}(\rho'_k|X) \leq \text{mdim}_{\Sigma,\omega,M}(Y_k, \rho'_k|X) + \frac{1}{k} \leq \text{mdim}_{\Sigma,\omega,M}(Y_k, \rho_k|X) + \frac{1}{k}.$$

Denote by q_k the natural factor map $Y \rightarrow Y_k$. Note that

$$\rho(y, z) \leq \max(\rho'_k(q_k(y), q_k(z)), \max_{j > k} \lambda_j \text{diam}(Y_j, \rho_j))$$

for all $y, z \in Y$. It follows that for any $d \in \mathbb{N}$, one has

$$\rho_\infty(\varphi, \psi) \leq \max((\rho'_k)_\infty(q_k \circ \varphi, q_k \circ \psi), \max_{j>k} \lambda_j \text{diam}(Y_j, \rho_j))$$

for all $\varphi, \psi \in Y^d$. Thus for any $\varepsilon > \max_{j>k} \lambda_j \text{diam}(Y_j, \rho_j)$, if $\Phi \subseteq Y^d$ is $(\rho_\infty, \varepsilon)$ -separated, then $q_k \circ \Phi := \{q_k \circ \varphi : \varphi \in \Phi\}$ is $((\rho'_k)_\infty, \varepsilon)$ -separated. Therefore, if $\max_{j>k} \lambda_j \text{diam}(Y_j, \rho_j) < \varepsilon_k$, then

$$N_{\varepsilon_k}(\text{Map}(\pi, \rho_X, F, \delta, \sigma), \rho_\infty) \leq N_{\varepsilon_k}(\text{Map}(\pi_k, \rho_X, F, \delta, \sigma), (\rho'_k)_\infty)$$

for all $F \in \mathcal{F}(\Gamma)$, $\delta > 0$ and $\sigma : \Gamma \rightarrow \text{Sym}(d)$, and hence

$$\frac{1}{|\log \varepsilon_k|} h_{\Sigma, \omega, \infty}^{\varepsilon_k}(\rho|X) \leq \frac{1}{|\log \varepsilon_k|} h_{\Sigma, \omega, \infty}^{\varepsilon_k}(\rho'_k|X) \leq \text{mdim}_{\Sigma, \omega, M}(Y_k, \rho_k|X) + \frac{1}{k}.$$

Now we require further that $\max_{j>k} \lambda_j \text{diam}(Y_j, \rho_j) < \varepsilon_k$ for all $k \in \mathbb{N}$. Since we can choose ε_k once $\lambda_1, \dots, \lambda_k$ are given, by induction such a sequence $\{\lambda_j\}_{j \in \mathbb{N}}$ exists. Then

$$\begin{aligned} \text{mdim}_{\Sigma, \omega, M}(Y, \rho|X) &\leq \lim_{k \rightarrow \infty} \frac{1}{|\log \varepsilon_k|} h_{\Sigma, \omega, \infty}^{\varepsilon_k}(\rho|X) \\ &\leq \lim_{k \rightarrow \infty} (\text{mdim}_{\Sigma, \omega, M}(Y_k, \rho_k|X) + \frac{1}{k}) \\ &= \lim_{k \rightarrow \infty} \text{mdim}_{\Sigma, \omega, M}(Y_k, \rho_k|X). \end{aligned}$$

For any $k \in \mathbb{N}$, $\varepsilon > 0$, $F \in \mathcal{F}(\Gamma)$, $\delta > 0$ and $\sigma : \Gamma \rightarrow \text{Sym}(d)$, it is clear that

$$N_{\lambda_k \varepsilon}(\text{Map}(\pi, \rho_X, F, \delta, \sigma), \rho_\infty) \geq N_\varepsilon(\text{Map}(\pi_k, \rho_X, F, \delta, \sigma), (\rho_k)_\infty),$$

and hence

$$h_{\Sigma, \omega, \infty}^{\lambda_k \varepsilon}(\rho|X) \geq h_{\Sigma, \omega, \infty}^\varepsilon(\rho_k|X).$$

It follows that $\text{mdim}_{\Sigma, \omega, M}(Y, \rho|X) \geq \text{mdim}_{\Sigma, \omega, M}(Y_k, \rho_k|X)$. Similarly, one has $\text{mdim}_{\Sigma, \omega, M}(Y_j, \rho_j|X) \geq \text{mdim}_{\Sigma, \omega, M}(Y_k, \rho_k|X)$ for all $j \geq k$. Consequently, $\text{mdim}_{\Sigma, \omega, M}(Y, \rho|X) = \lim_{k \rightarrow \infty} \text{mdim}_{\Sigma, \omega, M}(Y_k, \rho_k|X)$. \square

To round this section, we discuss what happens when Γ is amenable.

We recall first the definition of the metric mean dimension of $\Gamma \curvearrowright X$ for amenable groups Γ in [42]. Let ρ be a continuous pseudometric of X . For a finite open cover \mathcal{U} of X , we define the *mesh of \mathcal{U} with respect to ρ* as

$$\text{mesh}(\mathcal{U}, \rho) := \max_{U \in \mathcal{U}} \text{diam}(U, \rho).$$

For a nonempty finite subset F of Γ , we define a continuous pseudometric ρ_F of X by

$$\rho_F(x_1, x_2) := \max_{s \in F} \rho(sx_1, sx_2).$$

For any $\varepsilon > 0$, the function $F \mapsto \log \min_{\text{mesh}(\mathcal{U}, \rho_F) < \varepsilon} |\mathcal{U}|$ defined on the set of all nonempty finite subsets of Γ satisfies the conditions of the Ornstein-Weiss lemma [52] [42, Theorem 6.1], whence $\frac{1}{|F|} \log \min_{\text{mesh}(\mathcal{U}, \rho_F) < \varepsilon} |\mathcal{U}|$ converges to some real number,

denoted by $S(X, \varepsilon, \rho)$, as F becomes more and more left invariant. The *metric mean dimension of $\Gamma \curvearrowright X$ with respect to ρ* [42, page 13] is defined as

$$\text{mdim}_M(X, \rho) := \lim_{\varepsilon \rightarrow 0} \frac{S(X, \varepsilon, \rho)}{|\log \varepsilon|}.$$

The *metric mean dimension of $\Gamma \curvearrowright X$* is defined as

$$\text{mdim}_M(X) := \inf_{\rho} \text{mdim}_M(X, \rho)$$

for ρ ranging over all compatible metrics of X .

The following lemma is the relative version of [37, Lemma 5.2]. The proof of [37, Lemma 5.2] also works here.

Lemma 9.11. *Suppose that Γ is amenable. Let ρ_Y be a continuous pseudometric on Y . Then $\text{mdim}_{\Sigma, \omega, M}(Y, \rho_Y|X) \geq \text{mdim}_M(Y, \rho_Y)$.*

By Proposition 9.8 and [37, Lemma 5.3] when Γ is amenable, for any continuous pseudometric ρ_Y on Y we have

$$\text{mdim}_{\Sigma, \omega, M}(Y, \rho_Y|X) \leq \text{mdim}_{\Sigma, \omega, M}(Y, \rho_Y) \leq \text{mdim}_M(Y, \rho_Y).$$

Combining these inequalities with Lemma 9.11, we get

Theorem 9.12. *Suppose that Γ is amenable. Then*

$$\text{mdim}_{\Sigma, \omega, M}(Y, \rho_Y|X) = \text{mdim}_{\Sigma, \omega, M}(Y, \rho_Y) = \text{mdim}_M(Y, \rho_Y)$$

for every continuous pseudometric ρ_Y on Y . In particular,

$$\text{mdim}_{\Sigma, \omega, M}(Y|X) = \text{mdim}_{\Sigma, \omega, M}(Y) = \text{mdim}_M(Y).$$

10. MEAN DIMENSION AND MEAN RANK

In this section we prove Theorem 10.1. Throughout the rest of this paper, we take $R = \mathbb{Z}$, and consider the length function on \mathbb{Z} -modules given by $\text{rk}(\mathcal{M}) = \dim_{\mathbb{Q}}(\mathbb{Q} \otimes_{\mathbb{Z}} \mathcal{M})$.

For any countable $\mathbb{Z}\Gamma$ -module \mathcal{M} , its Pontryagin dual $\widehat{\mathcal{M}}$ consisting of all group homomorphisms $\mathcal{M} \rightarrow \mathbb{R}/\mathbb{Z}$ is a compact metrizable abelian group, and Γ has an induced action on $\widehat{\mathcal{M}}$ by continuous automorphisms. Explicitly,

$$(sx)(a) = x(s^{-1}a)$$

for all $x \in \widehat{\mathcal{M}}$, $a \in \mathcal{M}$ and $s \in \Gamma$. In fact, all the actions of Γ on compact metrizable abelian groups by continuous automorphisms, called *algebraic actions*, arise this way [61]. A pseudometric ρ on a group X is called *translation-invariant* if $\rho(x_1x_3, x_2x_3) = \rho(x_3x_1, x_3x_2) = \rho(x_1, x_2)$ for all $x_1, x_2, x_3 \in X$.

Theorem 10.1. *Let $\mathcal{M}_1 \subseteq \mathcal{M}_2$ be countable $\mathbb{Z}\Gamma$ -modules. Then*

$$\text{mdim}_{\Sigma, \omega, M}(\widehat{\mathcal{M}_1} | \widehat{\mathcal{M}_2}) = \text{mdim}_{\Sigma, \omega}(\widehat{\mathcal{M}_1} | \widehat{\mathcal{M}_2}) = \underline{\text{mdim}}_{\Sigma, \omega}(\widehat{\mathcal{M}_1} | \widehat{\mathcal{M}_2}) = \text{mrk}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2).$$

Furthermore, there exists a translation-invariant compatible metric ρ on $\widehat{\mathcal{M}_1}$ with

$$\text{mdim}_{\Sigma, \omega, M}(\widehat{\mathcal{M}_1}, \rho | \widehat{\mathcal{M}_2}) = \text{mdim}_{\Sigma, \omega}(\widehat{\mathcal{M}_1} | \widehat{\mathcal{M}_2}).$$

Theorem 1.3 follows from Theorems 7.2 and 10.1.

Remark 10.2. Since it is not clear whether Proposition 8.5 holds for the sofic metric mean dimension, Theorem 10.1 does not imply directly the version using $\overline{\lim}_{i \rightarrow \infty}$ instead of $\lim_{i \rightarrow \infty}$. However, one can define the $\overline{\lim}_{i \rightarrow \infty}$ and $\underline{\lim}_{i \rightarrow \infty}$ versions of the sofic mean length in Definition 3.1, the relative sofic mean topological dimension in Definition 8.6, and the relative sofic metric mean dimension in Definition 9.3. Then the addition formula in Theorem 1.1 becomes several inequalities. In this way one can follow the proofs of Theorem 7.2 and 10.1 to prove their $\overline{\lim}_{i \rightarrow \infty}$ and $\underline{\lim}_{i \rightarrow \infty}$ versions.

We show first that the mean topological dimension is no less than the mean rank.

Lemma 10.3. *For any countable $\mathbb{Z}\Gamma$ -modules $\mathcal{M}_1 \subseteq \mathcal{M}_2$, one has*

$$\underline{\text{mdim}}_{\Sigma, \omega}(\widehat{\mathcal{M}_1} | \widehat{\mathcal{M}_2}) \geq \text{mrk}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2).$$

Proof. Fix a compatible metric ρ on $\widehat{\mathcal{M}_2}$, and denote by π the factor map $\widehat{\mathcal{M}_2} \rightarrow \widehat{\mathcal{M}_1}$.

Let $\mathcal{A} \in \mathcal{F}(\mathcal{M}_1)$. Say, \mathcal{A} is generated by a finite set A as an abelian group. Take a finite open cover \mathcal{U} of $\widehat{\mathcal{M}_1}$ such that for any $a \in A$, no item of \mathcal{U} intersects both $a^{-1}(\mathbb{Z})$ and $a^{-1}(1/2 + \mathbb{Z})$. Then it suffices to show that

$$\text{mdim}_{\Sigma, \omega}(\pi^{-1}(\mathcal{U})) \geq \text{mrk}_{\Sigma, \omega}(\mathcal{A} | \mathcal{M}_2).$$

Let $F \in \mathcal{F}(\Gamma)$ and $\delta > 0$. Take a finite subset B' of \mathcal{M}_2 such that for any $\xi, \zeta \in \widehat{\mathcal{M}_2}$ with $\xi(b') = \zeta(b')$ for all $b' \in B'$, one has $\rho(\xi, \zeta) < \delta$. Set \mathcal{B} to be the subgroup of \mathcal{M}_2 generated by $F^{-1}B'$. Let σ be a map from Γ to $\text{Sym}(d)$ for some $d \in \mathbb{N}$. Denote by φ the quotient map $\mathcal{M}_2^d \rightarrow \mathcal{M}_2^d / \mathcal{M}(\mathcal{B}, F, \sigma)$.

Take a maximal subset V of $[d] \times A$ subject to the condition that $W := \{\varphi(\delta_v a) : (v, a) \in V\}$ is linearly independent. Then $|V| = \text{rk}(\mathcal{M}(\mathcal{A}, \mathcal{B}, F, \sigma))$. Consider the abelian group homomorphism $\phi : \mathcal{M}_2^d / \mathcal{M}(\mathcal{B}, F, \sigma) \rightarrow \mathbb{Q} \otimes_{\mathbb{Z}} (\mathcal{M}_2^d / \mathcal{M}(\mathcal{B}, F, \sigma))$ sending w to $1 \otimes w$. Then ϕ is injective on W , and $\phi(W)$ is linearly independent. Extending $\phi(W)$ to a basis of $\mathbb{Q} \otimes_{\mathbb{Z}} (\mathcal{M}_2^d / \mathcal{M}(\mathcal{B}, F, \sigma))$, we find a linear map $\psi : \mathbb{Q} \otimes_{\mathbb{Z}} (\mathcal{M}_2^d / \mathcal{M}(\mathcal{B}, F, \sigma)) \rightarrow \text{span}_{\mathbb{Q}}(\phi(W))$ which is the identity map on $\text{span}_{\mathbb{Q}}(\phi(W))$. For each $\lambda = (\lambda_{v,a})_{v,a} \in [0, 1/2]^V$, we denote by θ_{λ} the linear map $\text{span}_{\mathbb{Q}}(\phi(W)) \rightarrow \mathbb{R}$ sending $\phi(\varphi(\delta_v a))$ to $\lambda_{v,a}$ for all $(v, a) \in V$, and by Φ_{λ} the abelian group homomorphism $\mathcal{M}_2^d \rightarrow \mathbb{R}/\mathbb{Z}$ sending h to $\theta_{\lambda}(\psi(\phi(\varphi(h)))) + \mathbb{Z}$. Then $\Phi_{\lambda} \in \widehat{\mathcal{M}_2^d} = \widehat{\mathcal{M}_2}^d$. The map $\Phi : [0, 1/2]^V \rightarrow \widehat{\mathcal{M}_2^d}$ sending λ to Φ_{λ} is clearly continuous. If λ and λ' are different elements of $[0, 1/2]^V$, then $\lambda_{v,a} \neq \lambda'_{v,a}$ for some $(v, a) \in V$,

and hence $\Phi_\lambda(\delta_v a) = \lambda_{v,a} + \mathbb{Z} \neq \lambda'_{v,a} + \mathbb{Z} = \Phi_{\lambda'}(\delta_v a)$. Thus Φ is an embedding of $[0, 1/2]^V$ into $\widehat{\mathcal{M}_2}^d$.

We claim that the image of Φ is contained in $\text{Map}(\rho, F, \delta, \sigma)$. Let $\lambda \in [0, 1/2]^V$. Let $v \in [d]$ and $s \in F$. For any $b' \in B'$, noting that $b := s^{-1}b'$ is in \mathcal{B} , we have

$$\begin{aligned} (s\Phi_{\lambda,v})(b') - \Phi_{\lambda,sv}(b') &= \Phi_{\lambda,v}(s^{-1}b') - \Phi_{\lambda,sv}(b') \\ &= \Phi_\lambda(\delta_v s^{-1}b') - \Phi_\lambda(\delta_{sv}b') \\ &= \Phi_\lambda(\delta_v b - \delta_{sv}sb) = 0. \end{aligned}$$

By our choice of B' , we have $\rho(s\Phi_{\lambda,v}, \Phi_{\lambda,sv}) < \delta$. Thus $\rho_\infty(s\Phi_\lambda, \Phi_\lambda s) < \delta$. Therefore $\Phi_\lambda \in \text{Map}(\rho, F, \delta, \sigma)$. This proves our claim.

The pull back $\Phi^{-1}(\pi^{-1}(\mathcal{U})^d)$ is a finite open cover of $[0, 1/2]^V$. We claim that no atom of $\Phi^{-1}(\pi^{-1}(\mathcal{U})^d)$ intersects two opposing faces of $[0, 1/2]^V$. Suppose that some atom $\Phi^{-1}(U)$ of $\Phi^{-1}(\pi^{-1}(\mathcal{U})^d)$ contains two points λ and λ' in opposing faces of $[0, 1/2]^V$, where U is an atom of $\pi^{-1}(\mathcal{U})^d$. Say, $U = \prod_{v' \in [d]} \pi^{-1}(U_{v'})$ with $U_{v'} \in \mathcal{U}$ for each $v' \in [d]$, and $\lambda_{v,a} = 0$ and $\lambda'_{v,a} = 1/2$ for some $(v, a) \in V$. Then

$$(\pi(\Phi_{\lambda,v}))(a) = \Phi_{\lambda,v}(a) = \theta_\lambda(\psi(\phi(\varphi(\delta_v a)))) + \mathbb{Z} = \lambda_{v,a} + \mathbb{Z} = \mathbb{Z},$$

and

$$(\pi(\Phi_{\lambda',v}))(a) = \Phi_{\lambda',v}(a) = \theta_{\lambda'}(\psi(\phi(\varphi(\delta_v a)))) + \mathbb{Z} = \lambda'_{v,a} + \mathbb{Z} = 1/2 + \mathbb{Z}.$$

Note that $\Phi_\lambda, \Phi_{\lambda'} \in U$, and hence $\pi(\Phi_{\lambda,v}), \pi(\Phi_{\lambda',v}) \in U_v$. Thus U_v intersects both $a^{-1}(\mathbb{Z})$ and $a^{-1}(1/2 + \mathbb{Z})$, contradicting our choice of \mathcal{U} . This proves our claim.

By [42, Lemma 3.2] for any finite open cover \mathcal{V} of $[0, 1/2]^V$ with no atom intersecting two opposing faces of the cube $[0, 1/2]^V$ one has $\text{ord}(\mathcal{V}) \geq |V|$. Therefore

$$\mathcal{D}(\pi^{-1}(\mathcal{U}), \rho, F, \delta, \sigma) \geq \mathcal{D}(\Phi^{-1}(\pi^{-1}(\mathcal{U})^d)) \geq |V| = \text{rk}(\mathcal{M}(\mathcal{A}, \mathcal{B}, F, \sigma)).$$

It follows that $\text{mdim}_{\Sigma, \omega}(\pi^{-1}(\mathcal{U})) \geq \text{mrk}_{\Sigma, \omega}(\mathcal{A}|\mathcal{M}_2)$. \square

The following lemma establishes one direction of the addition formula for the metric mean dimension in the algebraic setting.

Lemma 10.4. *Let X be a compact metrizable group, G be a closed subgroup of X , and Y be the homogeneous space X/G . Let Γ act on X by continuous automorphisms preserving G , and consider the induced Γ -action on Y . Let ρ_X be a translation-invariant dynamically generating continuous pseudometric on X . Let ρ_G be the restriction of ρ_X to G . Let ρ_Y be a continuous pseudometric on Y such that for some constant $C > 0$ and $K \in \mathcal{F}(\Gamma)$ one has $\rho_Y(\pi(x_1), \pi(x_2)) \leq C \max_{s \in K} \rho_X(sx_1, sx_2)$ for all $x_1, x_2 \in X$, where $\pi : X \rightarrow Y$ is the quotient map. Then*

$$\text{mdim}_{\Sigma, \omega, M}(X, \rho_X) \geq \text{mdim}_{\Sigma, \omega, M}(Y, \rho_Y|X) + \text{mdim}_{\Sigma, \omega, M}(G, \rho_G).$$

Proof. Fix an enumeration s_1, s_2, \dots of the elements of Γ . Define

$$\tilde{\rho}_X(x_1, x_2) = \sum_{j=1}^{\infty} \frac{1}{2^j} \rho_X(s_j x_1, s_j x_2)$$

for all $x_1, x_2 \in X$. Then $\tilde{\rho}_X$ is a translation-invariant compatible metric on X , and there exists $C' > 0$ such that

$$\rho_Y(\pi(x_1), \pi(x_2)) \leq C' \tilde{\rho}_X(x_1, x_2)$$

for all $x_1, x_2 \in X$. Denote by $\tilde{\rho}_G$ the restriction of $\tilde{\rho}_X$ to G . By [37, Lemma 4.4] we have

(8)

$$\text{mdim}_{\Sigma, \omega, M}(X, \rho_X) = \text{mdim}_{\Sigma, \omega, M}(X, \tilde{\rho}_X), \text{ and } \text{mdim}_{\Sigma, \omega, M}(G, \rho_G) = \text{mdim}_{\Sigma, \omega, M}(G, \tilde{\rho}_G).$$

Let $\varepsilon > 0$. Let $F \in \mathcal{F}(\Gamma)$ and $\delta > 0$. Let σ be a map $\Gamma \rightarrow \text{Sym}(d)$ for some $d \in \mathbb{N}$.

Let Ψ_Y be a $(\rho_{Y, \infty}, C'\varepsilon)$ -separated subset of $\text{Map}(\pi, \tilde{\rho}_X, F, \delta/2, \sigma)$ with

$$|\Psi_Y| = N_{C'\varepsilon}(\text{Map}(\pi, \tilde{\rho}_X, F, \delta/2, \sigma), \rho_{Y, \infty}).$$

For each $\psi \in \Psi_Y$ take $\psi' \in \text{Map}(\tilde{\rho}_X, F, \delta/2, \sigma)$ with $\psi = \pi \circ \psi'$. Set $\Psi'_X = \{\psi' : \psi \in \Psi_Y\}$. Also let Φ_G be a $(\tilde{\rho}_{G, \infty}, \varepsilon)$ -separated subset of $\text{Map}(\tilde{\rho}_G, F, \delta/2, \sigma)$ with

$$|\Phi_G| = N_\varepsilon(\text{Map}(\tilde{\rho}_G, F, \delta/2, \sigma), \tilde{\rho}_{G, \infty}).$$

Let $\psi' \in \Psi'_X$ and $\phi \in \Phi_G$. Denote by $\psi'\phi$ the map $[d] \rightarrow X$ sending v to $\psi'(v)\phi(v)$. For any $s \in F$, we have

$$\begin{aligned} \sum_{v \in [d]} \tilde{\rho}_X(s(\psi'\phi(v)), \psi'\phi(sv))^2 &= \sum_{v \in [d]} \tilde{\rho}_X((s\psi'(v))(s\phi(v)), \psi'(sv)\phi(sv))^2 \\ &\leq \sum_{v \in [d]} (\tilde{\rho}_X(s\psi'(v), \psi'(sv)) + \tilde{\rho}_X(s\phi(v), \phi(sv)))^2 \\ &= \sum_{v \in [d]} (\tilde{\rho}_X(s\psi'(v), \psi'(sv)) + \tilde{\rho}_G(s\phi(v), \phi(sv)))^2 \\ &\leq 2 \sum_{v \in [d]} \tilde{\rho}_X(s\psi'(v), \psi'(sv))^2 + 2 \sum_{v \in [d]} \tilde{\rho}_G(s\phi(v), \phi(sv))^2 \\ &\leq 2d(\delta/2)^2 + 2d(\delta/2)^2 = \delta^2 d, \end{aligned}$$

where in the first inequality we use the fact that $\tilde{\rho}_X$ is translation-invariant. Thus $\psi'\phi \in \text{Map}(\tilde{\rho}_X, F, \delta, \sigma)$.

We claim that the set $\{\psi'\phi : \psi' \in \Psi'_X, \phi \in \Phi_G\}$ is $(\tilde{\rho}_{X, \infty}, \varepsilon)$ -separated. Let $\psi'_1, \psi'_2 \in \Psi'_X$ and $\phi_1, \phi_2 \in \Phi_G$. If $\psi_1 \neq \psi_2$, then

$$C' \tilde{\rho}_{X, \infty}(\psi'_1 \phi_1, \psi'_2 \phi_2) \geq \rho_{Y, \infty}(\pi \circ (\psi'_1 \phi_1), \pi \circ (\psi'_2 \phi_2)) = \rho_{Y, \infty}(\psi_1, \psi_2) > C' \varepsilon.$$

If $\psi_1 = \psi_2$ and $\phi_1 \neq \phi_2$, then $\tilde{\rho}_{G, \infty}(\phi_1, \phi_2) > \varepsilon$, and hence

$$\tilde{\rho}_{X, \infty}(\psi'_1 \phi_1, \psi'_2 \phi_2) = \tilde{\rho}_{X, \infty}(\phi_1, \phi_2) = \tilde{\rho}_{G, \infty}(\phi_1, \phi_2) > \varepsilon,$$

where in the first equality we use the fact that $\tilde{\rho}_X$ is translation-invariant. This proves our claim.

Now we have

$$N_\varepsilon(\text{Map}(\tilde{\rho}_X, F, \delta, \sigma), \tilde{\rho}_{X,\infty}) \geq N_{C'\varepsilon}(\text{Map}(\pi, \tilde{\rho}_X, F, \delta/2, \sigma), \rho_{Y,\infty}) N_\varepsilon(\text{Map}(\tilde{\rho}_G, F, \delta/2, \sigma), \tilde{\rho}_{G,\infty}).$$

Thus

$$\begin{aligned} h_{\Sigma,\omega,\infty}^\varepsilon(\tilde{\rho}_X, F, \delta) &\geq h_{\Sigma,\omega,\infty}^{C'\varepsilon}(\rho_Y, F, \delta/2 | \tilde{\rho}_X) + h_{\Sigma,\omega,\infty}^\varepsilon(\tilde{\rho}_G, F, \delta/2) \\ &\geq h_{\Sigma,\omega,\infty}^{C'\varepsilon}(\rho_Y | X) + h_{\Sigma,\omega,\infty}^\varepsilon(\tilde{\rho}_G). \end{aligned}$$

Since F is an arbitrary finite subset of Γ and $\delta > 0$ is arbitrary, we get

$$h_{\Sigma,\omega,\infty}^\varepsilon(\tilde{\rho}_X) \geq h_{\Sigma,\omega,\infty}^{C'\varepsilon}(\rho_Y | X) + h_{\Sigma,\omega,\infty}^\varepsilon(\tilde{\rho}_G).$$

It follows that

$$\text{mdim}_{\Sigma,\omega,M}(X, \tilde{\rho}_X) \geq \text{mdim}_{\Sigma,\omega,M}(Y, \rho_Y | X) + \text{mdim}_{\Sigma,\omega,M}(G, \tilde{\rho}_G).$$

Now the lemma follows from (8). \square

Consider the translation-invariant metric ϑ on \mathbb{R}/\mathbb{Z} defined by

$$\vartheta(x + \mathbb{Z}, y + \mathbb{Z}) := \min_{z \in \mathbb{Z}} |x - y - z|.$$

For any $\mathbb{Z}\Gamma$ -module \mathcal{M} and any finite subset A of \mathcal{M} , we define a translation-invariant continuous pseudometric ϑ^A on $\widehat{\mathcal{M}}$ by

$$\vartheta^A(x, y) := \max_{a \in A} \vartheta(x(a), y(a)).$$

Note that A generates \mathcal{M} as a $\mathbb{Z}\Gamma$ -module if and only if ϑ^A is dynamically generating. Now we specialize Lemma 10.4 to algebraic actions.

Lemma 10.5. *Let $\mathcal{M}_1 \subseteq \mathcal{M}_2$ be finitely generated $\mathbb{Z}\Gamma$ -modules. Let A_1 and A_2 be finite generating subsets of \mathcal{M}_1 and \mathcal{M}_2 respectively. Denote by $\overline{A_2}$ the image of A_2 under the quotient map $\mathcal{M}_2 \rightarrow \mathcal{M}_2/\mathcal{M}_1$. Then*

$$\text{mdim}_{\Sigma,\omega,M}(\widehat{\mathcal{M}_2}, \vartheta^{A_2}) \geq \text{mdim}_{\Sigma,\omega,M}(\widehat{\mathcal{M}_1}, \vartheta^{A_1} | \widehat{\mathcal{M}_2}) + \text{mdim}_{\Sigma,\omega,M}(\widehat{\mathcal{M}_2/\mathcal{M}_1}, \vartheta^{\overline{A_2}}).$$

Proof. Note that $\vartheta^{\overline{A_2}}$ is the restriction of ϑ^{A_2} to $\widehat{\mathcal{M}_2/\mathcal{M}_1}$. Denote by π the factor map $\widehat{\mathcal{M}_2} \rightarrow \widehat{\mathcal{M}_1}$. Let $a \in A_1$. Then $a = \sum_{b \in A_2} f_b b$ for some $f_b \in \mathbb{Z}\Gamma$. Write each f_b as $\sum_{s \in K} f_{b,s} s$ with some $K \in \mathcal{F}(\Gamma)$ (independent of a and b) and $f_{b,s} \in \mathbb{Z}$. Set $C_a = \sum_{b \in A_2} \sum_{s \in K} |f_{b,s}|$. For any $x, y \in \widehat{\mathcal{M}_2}$, we have

$$\begin{aligned} \vartheta(x(a), y(a)) &= \vartheta\left(\sum_{b \in A_2} x(f_b b), \sum_{b \in A_2} y(f_b b)\right) \\ &= \vartheta\left(\sum_{b \in A_2} \sum_{s \in K} f_{b,s} (s^{-1} x)(b), \sum_{b \in A_2} \sum_{s \in K} f_{b,s} (s^{-1} y)(b)\right) \\ &\leq \sum_{b \in A_2} \sum_{s \in K} |f_{b,s}| \vartheta((s^{-1} x)(b), (s^{-1} y)(b)) \end{aligned}$$

$$\begin{aligned}
&\leq \sum_{b \in A_2} \sum_{s \in K} |f_{b,s}| \max_{t \in K^{-1}} \vartheta^{A_2}(tx, ty) \\
&= C_a \max_{t \in K^{-1}} \vartheta^{A_2}(tx, ty).
\end{aligned}$$

Set $C = \max_{a \in A_1} C_a$. Then

$$\vartheta^{A_1}(\pi(x), \pi(y)) \leq C \max_{t \in K^{-1}} \vartheta^{A_2}(tx, ty)$$

for all $x, y \in \widehat{\mathcal{M}}_2$. Therefore the lemma follows from Lemma 10.4. \square

The following lemma is a special case of [37, Lemma 7.3].

Lemma 10.6. *Let $\mathcal{M} = (\mathbb{Z}\Gamma)^n$ for some $n \in \mathbb{N}$. Take $A \in \mathcal{F}(\mathcal{M})$ to be the standard basis of \mathcal{M} as a $\mathbb{Z}\Gamma$ -module. Then*

$$\text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}}, \vartheta^A) = n.$$

In the next several lemmas, we prove the equality between the metric mean dimension and the mean rank for modules in increasing generality.

Lemma 10.7. *Let \mathcal{M} be a finitely presented $\mathbb{Z}\Gamma$ -module, and let A be a finite generating subset of \mathcal{M} . Then $\text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}}, \vartheta^A) = \text{mrk}_{\Sigma, \omega}(\mathcal{M})$.*

Proof. We may write \mathcal{M} as $(\mathbb{Z}\Gamma)^n / \mathcal{M}_1$ for some $n \in \mathbb{N}$ and some $\mathbb{Z}\Gamma$ -submodule \mathcal{M}_1 of $(\mathbb{Z}\Gamma)^n$ such that, denoting by A_2 the standard basis of $(\mathbb{Z}\Gamma)^n$ as a $\mathbb{Z}\Gamma$ -module, A is the image of A_2 under the quotient map $(\mathbb{Z}\Gamma)^n \rightarrow \mathcal{M}$. Set $\mathcal{M}_2 = (\mathbb{Z}\Gamma)^n$. Since \mathcal{M} is finitely presented, \mathcal{M}_1 is finitely generated [36, Proposition 4.26]. Take a finite generating subset A_1 of \mathcal{M}_1 .

By Lemma 10.6 and Proposition 3.5 we have

$$\text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}}_2, \vartheta^{A_2}) = n = \text{mrk}_{\Sigma, \omega}(\mathcal{M}_2).$$

By Lemma 10.5, Theorem 9.9, Proposition 8.7, Lemma 10.3, and Theorem 1.1 we have

$$\begin{aligned}
\text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}}_2, \vartheta^{A_2}) &\geq \text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}}_1, \vartheta^{A_1} | \widehat{\mathcal{M}}_2) + \text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}}, \vartheta^A) \\
&\geq \text{mdim}_{\Sigma, \omega}(\widehat{\mathcal{M}}_1 | \widehat{\mathcal{M}}_2) + \text{mdim}_{\Sigma, \omega}(\widehat{\mathcal{M}}) \\
&\geq \underline{\text{mdim}}_{\Sigma, \omega}(\widehat{\mathcal{M}}_1 | \widehat{\mathcal{M}}_2) + \text{mdim}_{\Sigma, \omega}(\widehat{\mathcal{M}}) \\
&\geq \text{mrk}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2) + \text{mrk}_{\Sigma, \omega}(\mathcal{M}) \\
&= \text{mrk}_{\Sigma, \omega}(\mathcal{M}_2) \\
&= \text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}}_2, \vartheta^{A_2}).
\end{aligned}$$

Thus $\text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}}, \vartheta^A) = \text{mrk}_{\Sigma, \omega}(\mathcal{M})$. \square

Lemma 10.8. *Let \mathcal{M} be a finitely generated $\mathbb{Z}\Gamma$ -module, and let A be a finite generating subset of \mathcal{M} . Then $\text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}}, \vartheta^A) = \text{mrk}_{\Sigma, \omega}(\mathcal{M})$.*

Proof. We may write \mathcal{M} as $\mathcal{M}'/\mathcal{M}_\infty$ for some finitely generated free $\mathbb{Z}\Gamma$ -module \mathcal{M}' and some $\mathbb{Z}\Gamma$ -submodule \mathcal{M}_∞ of \mathcal{M}' such that, denoting by A' the standard basis of \mathcal{M}' as a $\mathbb{Z}\Gamma$ -module, A is the image of A' under the quotient map $\mathcal{M}' \rightarrow \mathcal{M}$. Take an increasing sequence $\{\mathcal{M}_j\}_{j=1}^\infty$ of finitely generated $\mathbb{Z}\Gamma$ -submodules of \mathcal{M}_∞ with $\bigcup_{j=1}^\infty \mathcal{M}_j = \mathcal{M}_\infty$. Denote by A_j the image of A' under the quotient map $\mathcal{M}' \rightarrow \mathcal{M}'/\mathcal{M}_j$. For each $j \in \mathbb{N}$, $\mathcal{M}'/\mathcal{M}_j$ is a finitely presented $\mathbb{Z}\Gamma$ -module. Thus by Lemma 10.7 we have

$$\text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}'/\mathcal{M}_j}, \vartheta^{A_j}) = \text{mrk}_{\Sigma, \omega}(\mathcal{M}'/\mathcal{M}_j).$$

Note that ϑ^A is the restriction of ϑ^{A_j} to $\widehat{\mathcal{M}}$, thus $\text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}}, \vartheta^A) \leq \text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}'/\mathcal{M}_j}, \vartheta^{A_j})$. Therefore by Proposition 3.4 we have

$$\begin{aligned} \text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}}, \vartheta^A) &\leq \lim_{j \rightarrow \infty} \text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}'/\mathcal{M}_j}, \vartheta^{A_j}) \\ &= \lim_{j \rightarrow \infty} \text{mrk}_{\Sigma, \omega}(\mathcal{M}'/\mathcal{M}_j) \\ &= \text{mrk}_{\Sigma, \omega}(\mathcal{M}). \end{aligned}$$

By Theorem 9.9 and Lemma 10.3 we also have

$$\text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}}, \vartheta^A) \geq \text{mdim}_{\Sigma, \omega}(\widehat{\mathcal{M}}) \geq \text{mrk}_{\Sigma, \omega}(\mathcal{M}).$$

Thus $\text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}}, \vartheta^A) = \text{mrk}_{\Sigma, \omega}(\mathcal{M})$. \square

Lemma 10.9. *Let $\mathcal{M}_1 \subseteq \mathcal{M}_2$ be finitely generated $\mathbb{Z}\Gamma$ -modules, and let A be a finite generating subset of \mathcal{M}_1 . Then $\text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}_1}, \vartheta^A | \widehat{\mathcal{M}_2}) = \text{mrk}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2)$.*

Proof. Take a finite generating subset A_2 of \mathcal{M}_2 . Denote by $\overline{A_2}$ the image of A_2 under the quotient map $\mathcal{M}_2 \rightarrow \mathcal{M}_2/\mathcal{M}_1$. By Lemma 10.5, Theorem 9.9, Proposition 8.7, Lemma 10.3, Theorem 1.1, and Lemma 10.8 we have

$$\begin{aligned} \text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}_2}, \vartheta^{A_2}) &\geq \text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}_1}, \vartheta^A | \widehat{\mathcal{M}_2}) + \text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}_2/\mathcal{M}_1}, \vartheta^{\overline{A_2}}) \\ &\geq \text{mdim}_{\Sigma, \omega}(\widehat{\mathcal{M}_1} | \widehat{\mathcal{M}_2}) + \text{mdim}_{\Sigma, \omega}(\widehat{\mathcal{M}_2/\mathcal{M}_1}) \\ &\geq \underline{\text{mdim}}_{\Sigma, \omega}(\widehat{\mathcal{M}_1} | \widehat{\mathcal{M}_2}) + \text{mdim}_{\Sigma, \omega}(\widehat{\mathcal{M}_2/\mathcal{M}_1}) \\ &\geq \text{mrk}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2) + \text{mrk}_{\Sigma, \omega}(\mathcal{M}_2/\mathcal{M}_1) \\ &= \text{mrk}_{\Sigma, \omega}(\mathcal{M}_2) \\ &= \text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}_2}, \vartheta^{A_2}). \end{aligned}$$

Thus

$$\text{mdim}_{\Sigma, \omega, \mathcal{M}}(\widehat{\mathcal{M}_1}, \vartheta^A | \widehat{\mathcal{M}_2}) = \text{mrk}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2).$$

\square

Lemma 10.10. *Let \mathcal{M}_2 be a countable $\mathbb{Z}\Gamma$ -module, and let \mathcal{M}_1 be a finitely generated $\mathbb{Z}\Gamma$ -submodule of \mathcal{M}_2 with a finite generating set A . Then*

$$\text{mdim}_{\Sigma, \omega, M}(\widehat{\mathcal{M}}_1, \vartheta^A | \widehat{\mathcal{M}}_2) = \text{mrk}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2).$$

Proof. Take an increasing sequence $\{\mathcal{M}'_j\}_{j=1}^\infty$ of finitely generated $\mathbb{Z}\Gamma$ -submodules of \mathcal{M}_2 containing \mathcal{M}_1 such that $\bigcup_{j=1}^\infty \mathcal{M}'_j = \mathcal{M}_2$. For each $j \in \mathbb{N}$, we have

$$\text{mdim}_{\Sigma, \omega, M}(\widehat{\mathcal{M}}_1, \vartheta^A | \widehat{\mathcal{M}}_2) \leq \text{mdim}_{\Sigma, \omega, M}(\widehat{\mathcal{M}}_1, \vartheta^A | \widehat{\mathcal{M}}'_j).$$

Thus by Lemma 10.9 and Proposition 3.4 we get

$$\begin{aligned} \text{mdim}_{\Sigma, \omega, M}(\widehat{\mathcal{M}}_1, \vartheta^A | \widehat{\mathcal{M}}_2) &\leq \lim_{j \rightarrow \infty} \text{mdim}_{\Sigma, \omega, M}(\widehat{\mathcal{M}}_1, \vartheta^A | \widehat{\mathcal{M}}'_j) \\ &= \lim_{j \rightarrow \infty} \text{mrk}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}'_j) \\ &= \text{mrk}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2). \end{aligned}$$

By Theorem 9.9, Proposition 8.7, and Lemma 10.3, we also have

$$\text{mdim}_{\Sigma, \omega, M}(\widehat{\mathcal{M}}_1, \vartheta^A | \widehat{\mathcal{M}}_2) \geq \text{mdim}_{\Sigma, \omega}(\widehat{\mathcal{M}}_1 | \widehat{\mathcal{M}}_2) \geq \underline{\text{mdim}}_{\Sigma, \omega}(\widehat{\mathcal{M}}_1 | \widehat{\mathcal{M}}_2) \geq \text{mrk}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2).$$

Thus $\text{mdim}_{\Sigma, \omega, M}(\widehat{\mathcal{M}}_1, \vartheta^A | \widehat{\mathcal{M}}_2) = \text{mrk}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2)$. \square

We are ready to prove Theorem 10.1.

Proof of Theorem 10.1. Take an increasing sequence $\{\mathcal{M}'_j\}_{j \in \mathbb{N}}$ of finitely generated $\mathbb{Z}\Gamma$ -submodules of \mathcal{M}_1 with $\bigcup_{j=1}^\infty \mathcal{M}'_j = \mathcal{M}_1$. Take a finite generating subset A_j of \mathcal{M}'_j for each $j \in \mathbb{N}$ such that $A_j \subseteq A_{j+1}$ for all $j \in \mathbb{N}$. Denote by p_j the factor map $\widehat{\mathcal{M}}'_{j+1} \rightarrow \widehat{\mathcal{M}}'_j$. Then $\vartheta^{A_j}(p_j(x), p_j(y)) \leq \vartheta^{A_{j+1}}(x, y)$ for all $x, y \in \widehat{\mathcal{M}}'_{j+1}$. By Lemma 9.10 there is a decreasing sequence $\{\lambda_j\}_{j \in \mathbb{N}}$ of positive numbers such that the dynamically generating continuous pseudometric ρ of $\varprojlim_{j \rightarrow \infty} \widehat{\mathcal{M}}'_j = \widehat{\mathcal{M}}_1$ defined by

$$\rho(x, y) := \max_{j \in \mathbb{N}} \lambda_j \vartheta^{A_j}(x, y)$$

satisfies

$$\text{mdim}_{\Sigma, \omega, M}(\widehat{\mathcal{M}}_1, \rho | \widehat{\mathcal{M}}_2) = \lim_{j \rightarrow \infty} \text{mdim}_{\Sigma, \omega, M}(\widehat{\mathcal{M}}'_j, \vartheta^{A_j} | \widehat{\mathcal{M}}_2).$$

By Lemma 10.10 and Proposition 3.4 we have

$$\begin{aligned} \text{mdim}_{\Sigma, \omega, M}(\widehat{\mathcal{M}}_1, \rho | \widehat{\mathcal{M}}_2) &= \lim_{j \rightarrow \infty} \text{mdim}_{\Sigma, \omega, M}(\widehat{\mathcal{M}}'_j, \vartheta^{A_j} | \widehat{\mathcal{M}}_2) \\ &= \lim_{j \rightarrow \infty} \text{mrk}_{\Sigma, \omega}(\mathcal{M}'_j | \mathcal{M}_2) \\ &= \text{mrk}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2). \end{aligned}$$

By Theorem 9.9, Proposition 8.7, and Lemma 10.3, we also have

$$\text{mdim}_{\Sigma, \omega, M}(\widehat{\mathcal{M}}_1, \rho | \widehat{\mathcal{M}}_2) \geq \text{mdim}_{\Sigma, \omega}(\widehat{\mathcal{M}}_1 | \widehat{\mathcal{M}}_2) \geq \underline{\text{mdim}}_{\Sigma, \omega}(\widehat{\mathcal{M}}_1 | \widehat{\mathcal{M}}_2) \geq \text{mrk}_{\Sigma, \omega}(\mathcal{M}_1 | \mathcal{M}_2).$$

Thus

$$\text{mdim}_{\Sigma, \omega, M}(\widehat{\mathcal{M}}_1, \rho|\widehat{\mathcal{M}}_2) = \text{mdim}_{\Sigma, \omega}(\widehat{\mathcal{M}}_1|\widehat{\mathcal{M}}_2) = \underline{\text{mdim}}_{\Sigma, \omega}(\widehat{\mathcal{M}}_1|\widehat{\mathcal{M}}_2) = \text{mrk}_{\Sigma, \omega}(\mathcal{M}_1|\mathcal{M}_2).$$

Fix an enumeration s_1, s_2, \dots of the elements of Γ . Define

$$\tilde{\rho}(x, y) = \sum_{j=1}^{\infty} \frac{1}{2^j} \rho(s_j x, s_j y)$$

for all $x, y \in \widehat{\mathcal{M}}_1$. By Lemma 9.6, $\tilde{\rho}$ is a translation-invariant compatible metric on $\widehat{\mathcal{M}}_1$, and

$$\text{mdim}_{\Sigma, \omega, M}(\widehat{\mathcal{M}}_1, \tilde{\rho}|\widehat{\mathcal{M}}_2) = \text{mdim}_{\Sigma, \omega, M}(\widehat{\mathcal{M}}_1, \rho|\widehat{\mathcal{M}}_2).$$

□

11. APPLICATIONS TO MEAN DIMENSION

In this section we give three applications of Theorems 7.2, 10.1 and 1.3 to the mean dimension of algebraic actions.

Our first application is the following addition formula for the mean topological dimension of algebraic actions, which follows from Theorems 1.1 and 10.1.

Theorem 11.1. *Let Γ be a countable sofic group, and let*

$$0 \rightarrow X_1 \rightarrow X_2 \rightarrow X_3 \rightarrow 0$$

be a short exact sequence of compact metrizable abelian groups equipped with Γ -actions by continuous automorphisms such that the maps are Γ -equivariant. Then

$$\text{mdim}_{\Sigma, \omega}(X_2) = \text{mdim}_{\Sigma, \omega}(X_3|X_2) + \text{mdim}_{\Sigma, \omega}(X_1).$$

Our second application concerns the possible values of the mean topological dimension for algebraic actions. It is known that for any countable amenable group with subgroups of arbitrarily large finite index, the mean topological dimension of its continuous actions on compact metrizable spaces can take all values in $\mathbb{R}_{\geq 0} \cup \{+\infty\}$ [11, 42]. We shall show that this is not the case when one restricts to algebraic actions.

For any discrete group Γ , denote by $\mathcal{H}(\Gamma)$ the subgroup of \mathbb{Q} generated by $|H|^{-1}$ for H ranging over all finite subgroups of Γ . The class of *elementary amenable groups* is the smallest class of groups containing all finite groups and abelian groups and being closed under taking subgroups, quotient groups, group extensions and directed unions [9].

Notation 11.2. We denote by \mathfrak{C} the smallest class of groups containing all free groups and being closed under directed unions and extensions with elementary amenable quotients.

The following result is due to Linnell (see [43, Theorem 1.5] and also [45, Theorem 10.19]).

Theorem 11.3. *If a group Γ belongs to \mathfrak{C} and there is an upper bound on the orders of the finite subgroups of Γ , then the strong Atiyah conjecture holds for Γ , i.e. for any $m, n \in \mathbb{N}$ and any $f \in M_{m,n}(\mathbb{C}\Gamma)$, denoting by P_f the orthogonal projection from $(\ell^2(\Gamma))^{n \times 1}$ to $\ker f$, one has $\text{tr}_{\mathcal{L}\Gamma} P_f \in \mathcal{H}(\Gamma)$.*

The class of sofic groups is closed under directed unions, free products and extensions with amenable quotients [14, Theorem 1]. Thus every group in the class \mathfrak{C} is sofic.

Lemma 11.4. *Let Γ be a group in \mathfrak{C} with an upper bound on the orders of the finite subgroups of Γ . Let R be a unital subring of \mathbb{C} . For any $R\Gamma$ -modules $\mathcal{M}_1 \subseteq \mathcal{M}_2$, one has $\text{vrk}(\mathcal{M}_1|\mathcal{M}_2) \in \mathcal{H}(\Gamma) \cup \{+\infty\}$.*

Proof. Let \mathcal{M} be a finitely presented $R\Gamma$ -module. Then \mathcal{M} is of the form $(R\Gamma)^{1 \times n} / (R\Gamma)^{1 \times m} f$ for some $m, n \in \mathbb{N}$ and some $f \in M_{m,n}(R\Gamma)$. By Lemma 7.5 and Theorem 11.3 we have $\text{vrk}(\mathcal{M}) = \text{tr}_{\mathcal{L}\Gamma} P_f \in \mathcal{H}(\Gamma)$.

By Lemma 7.8 one can compute $\text{vrk}(\mathcal{M}_1|\mathcal{M}_2)$ for all $R\Gamma$ -modules $\mathcal{M}_1 \subseteq \mathcal{M}_2$ using the algorithm in the proof of Lemma 7.7. Note that $\mathcal{H}(\Gamma)$ is a discrete subgroup of \mathbb{R} . It follows that $\text{vrk}(\mathcal{M}_1|\mathcal{M}_2) \in \mathcal{H}(\Gamma) \cup \{+\infty\}$. \square

Combining Lemma 11.4 and Theorems 1.3 and 7.2, we get

Corollary 11.5. *Let Γ be a countable group in the class \mathfrak{C} with an upper bound on the orders of the finite subgroups of Γ . For any countable $\mathbb{Z}\Gamma$ -modules $\mathcal{M}_1 \subseteq \mathcal{M}_2$, one has*

$$\text{mdim}_{\Sigma, \omega}(\widehat{\mathcal{M}_1}|\widehat{\mathcal{M}_2}), \text{mrk}_{\Sigma, \omega}(\mathcal{M}_1|\mathcal{M}_2) \in \mathcal{H}(\Gamma) \cup \{+\infty\}.$$

Our last application concerns the relation between zero mean topological dimension actions and finite entropy actions. Lindenstrauss showed that when Γ is amenable, inverse limits of finite entropy actions must have zero mean topological dimension [40, Proposition 6.11]. This is also true for sofic groups.

Proposition 11.6. *Let Γ be a countable sofic group. Let $\{X_j\}_{j \in \mathbb{N}}$ be a sequence of compact metrizable spaces carrying continuous Γ -actions and factor maps $X_{j+1} \rightarrow X_j$ for all $j \in \mathbb{N}$. Set $X = \varprojlim_{j \rightarrow \infty} X_j$. Suppose that $h_{\Sigma, \omega}(X_j) < +\infty$ for every $j \in \mathbb{N}$. Then $\text{mdim}_{\Sigma, \omega}(X) = 0$ or $-\infty$. If furthermore $0 \leq h_{\Sigma, \omega}(X_j) < +\infty$ for every $j \in \mathbb{N}$, then $\text{mdim}_{\Sigma, \omega}(X) = 0$.*

Proof. Let $j \in \mathbb{N}$. By Proposition 9.8 we have $h_{\Sigma, \omega}(X_j|X) \leq h_{\Sigma, \omega}(X_j) < +\infty$. From Definition 9.3 it is clear that $\text{mdim}_{\Sigma, \omega, M}(X_j, \rho_j|X) \leq 0$ for every compatible metric ρ_j of X_j . By Theorem 9.9 we get $\text{mdim}_{\Sigma, \omega}(X_j|X) \leq \text{mdim}_{\Sigma, \omega, M}(X_j|X) \leq 0$.

From Proposition 8.8 we conclude that $\text{mdim}_{\Sigma, \omega}(X) \leq \varliminf_{j \rightarrow \infty} \text{mdim}_{\Sigma, \omega}(X_j|X) \leq 0$.

Now assume that $0 \leq h_{\Sigma, \omega}(X_j) < +\infty$ for every $j \in \mathbb{N}$. Take a compatible metric ρ'_j for each X_j with $\text{diam}(X_j, \rho'_j) \leq 1$. Denote by $p_{j,k}$ and π_j the factor maps

$X_k \rightarrow X_j$ for $j \leq k$ and $X \rightarrow X_j$ respectively. Define a new compatible metric ρ_k on X_k by

$$\rho_k(x, y) := \max_{1 \leq j \leq k} \rho'_j(p_{j,k}(x), p_{j,k}(y)).$$

Then $\text{diam}(X_k, \rho_k) \leq 1$, and $\rho_j(p_{j,k}(x), p_{j,k}(y)) \leq \rho_k(x, y)$ for all $j \leq k$ and $x, y \in X_k$. Now we define a compatible metric ρ for X by

$$\rho(x, y) := \sum_{j=1}^{\infty} \frac{1}{2^j} \rho_j(\pi_j(x), \pi_j(y)).$$

Let $F \in \mathcal{F}(\Gamma)$ and $\delta > 0$. We can find some $j \in \mathbb{N}$ such that $\rho(x, y) \leq \rho_j(\pi_j(x), \pi_j(y)) + \delta$ for all $x, y \in X$. It is easy to check that for any map $\sigma : \Gamma \rightarrow \text{Sym}(d)$, every $\varphi \in X^d$ with $\pi_j \circ \varphi \in \text{Map}(\rho_j, F, \delta, \sigma)$ belongs to $\text{Map}(\rho, F, 2\delta, \sigma)$. Since $0 \leq h_{\Sigma, \omega}(X_j)$, the set of $i \in \mathbb{N}$ with $\text{Map}(\rho_j, F, \delta, \sigma_i) \neq \emptyset$ is in ω . Thus the set of $i \in \mathbb{N}$ with $\text{Map}(\rho, F, 2\delta, \sigma_i) \neq \emptyset$ is in ω . Therefore $\text{mdim}_{\Sigma, \omega}(\mathcal{U}, \rho, F, 2\delta) \geq 0$ for every finite open cover \mathcal{U} of X . Consequently, $\text{mdim}_{\Sigma, \omega}(X) \geq 0$. \square

Now we turn our attention to algebraic actions. Note that the algebraic actions of countable sofic groups have fixed points, thus their topological entropy and mean topological dimension are always nonnegative.

We consider first finitely presented modules.

Corollary 11.7. *Let Γ be a countable sofic group and \mathcal{M} be a finitely presented $\mathbb{Z}\Gamma$ -module. Then $\text{mdim}_{\Sigma, \omega}(\widehat{\mathcal{M}}) = 0$ if and only if $h_{\Sigma, \omega}(\widehat{\mathcal{M}}) < +\infty$.*

Proof. By Proposition 11.6 we just need to show the “only if” part. We have $\mathcal{M} = (\mathbb{Z}\Gamma)^{1 \times n} / (\mathbb{Z}\Gamma)^{1 \times m} f$ for some $m, n \in \mathbb{N}$ and $f \in M_{m, n}(\mathbb{Z}\Gamma)$. By Theorem 1.3 if $\text{mdim}_{\Sigma, \omega}(\widehat{\mathcal{M}}) = 0$, then $\text{vrk}(\widehat{\mathcal{M}}) = 0$, and hence by Lemma 7.5 one has $\text{tr}_{\mathcal{L}\Gamma} P_f = 0$. Since $\text{tr}_{\mathcal{L}\Gamma}$ is faithful, we get $P_f = 0$, i.e. f is injective on $(\ell^2(\Gamma))^{n \times 1}$. By [26, Theorem 1.1], one concludes that $h_{\Sigma, \omega}(\widehat{\mathcal{M}}) < +\infty$. \square

Next we consider finitely generated modules. The following corollary was proved in [38, Corollary 9.5] under the further assumption that Γ is elementary amenable. Using Theorem 10.1, Proposition 3.4, and Corollaries 11.5 and 11.7 one can carry out the proof of [38, Corollary 9.5] in general case. Recall the class \mathfrak{C} of groups in Notation 11.2.

Corollary 11.8. *Let Γ be a countable sofic group in the class \mathfrak{C} with an upper bound on the orders of the finite subgroups of Γ , and \mathcal{M} be a finitely generated $\mathbb{Z}\Gamma$ -module. Then $\text{mdim}_{\Sigma, \omega}(\widehat{\mathcal{M}}) = 0$ if and only if $h_{\Sigma, \omega}(\widehat{\mathcal{M}}) < +\infty$.*

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